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# **Nonlinear Modelling of K-Band GaN Power Amplifier**

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**ABSTRACT:** An innovative nonlinear (NL) modelling of K-band power amplifier (KPA) designed and fabricated in Gallium Nitride (GaN) technology operating at frequency  $f_0 = 24$  GHz is investigated in this paper. Two KPA prototypes are characterized by singleand double-frequency tests (SFT and DFT). Then, fitting memory NL model from SFT established for input-output power (*Pin*-*Pout*) characteristic @ *f*<sup>0</sup> enables the confirmation of KPA performance. Accordingly, the KPA presents 27.8 dB gain when *Pin* increases from *−*5 dBm to 20 dBm, 40.8 dBm saturation output power, and 38.6% saturation power added efficiency (PAE). Moreover, the DFT with  $f_1 = 23.995$  GHz and  $f_2 = 24.005$  GHz enables the assess to the third-order intermodulation distortion (IMD3) which is assessed from 10.4 dBc to 35 dBc. The KPA critical IMD3 is identified with the *Pout* variation range from 16.35 dBm to 36.35 dBm. The developed NL model is useful in the future for the electromagnetic interference prediction of multi-carried front-end transceiver communication system due to NL distortion signal.

## <span id="page-0-0"></span>**1. INTRODUCTION**

To meet the public demand, communication circuit and sys-<br>tem design evolves toward the 6G technology [1, 2]. Beo meet the public demand, communication circuit and syshind the performance enhancement, transceiver (TxRx) is developed under challenging requirements [3–5]. Electromagnetic interference (EMI) challenges are currently hot topics of 6G TxRx system development [3, 4]. Among the challenging problems, innovative techniques are needed to overcome EMI induced by active circuit nonlinear (NL) effects especially at higher multi-carried frequency for example in the K-band [6, 7]. Therefore, NL analysis attracts particularly circuit design research engineers' attention. For example, relevant methods of modelling and simulation of EMI prediction due to the NL active circuits constituting the front-end system were investigated [8]. The unintentional NL effects are essentially related to RF and microwave power amplifiers (PAs) constituting the transmitter (Tx) front-end. The PA NL effect creates harmonic distortion causing intermodulation (IM) signals and unwanted transceiver EMIs [9–18]. The high-frequency (HF) harmonic distortion degrading the modulated signals cannot be neglected [8, 9]. Therefore, the NL analysis [11, 12] and modelling of active circuits as PA attracts the design research engineers [13–15].

So far, different methods of active device NL analysis as multi-parameter [16], state-space approach [17], harmonic balance [18], and Volterra series representation [19, 20] have been developed. Nevertheless, due to the circuit test and modelling difficulty, most of research works available in the literature [21–29] on RF and microwave circuit NL effect were carried out under 10-GHz. For this reason, the circuit modelling

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must be completed by NL experimental characterization [21– 26]. Nonetheless, to analyze the unintentional EMI issues degrading the communication signals, most of NL characterizations are based on experimental methods to assess the third order IM (IM3) from two-tone carrier input signal [22–29]. But the double frequency NL method does not enable the prediction of the 5G or 6G front-end TxRx EMI operating with multicarrier frequency interference. Meanwhile, today, more relevant methods enabling the characterization of IMs constitute the breakthrough of RF and microwave design and research engineers.

As a logic solution, multi-tone signal test method is expected to study the IMs degrading the 6G TxRx system performance [1–3]. However, few studies were available in the literature on  $f_0 = 24$ -GHz NL modelling and IM3 distortion (IMD3). For this reason, the present research work develops an innovative NL model of K-band PA (KPA) as a device under test (DUT) at frequency  $f_0$ .

## **2. NL TEST SETUP AND ANALYTICAL MODELLING**

The design of KPA and NL test setup is examined in this section.

#### **2.1. PoC Specifications**

Two KPAs under study dedicated to operating around frequency *f*<sup>0</sup> were designed and fabricated. The synoptic diagram and photos of GaN KPA DUTs are represented in Fig. 1. It acts as a broadband KPA designed with a K-connector RF input interface and WR28 waveguide RF output interface. The KPA has 25 dB typical small signal gain, 20-dB typical power gain, 40-dBm

## **PIER Letters**



**FIGURE 1**. (a) PA synoptic diagram. (b) KPA1 and (c) KPA2 prototype photos.



**FIGURE 2**. KPA (a) SFT and (b) DFT synoptic diagrams.

saturated output power, and 25-dBm maximum allowable input power.

In normal operation, PA was fed by two DC power supplies (PSs) referenced by Agilent E3632A and Agilent E3648A through its gate and drain connection ports. As illustrated by Fig. 2(a), the SFT NL test synoptic diagram with input voltage *vin* excites the KPA to generate output *vout*, whereas the synoptic diagram of the developed NL test bench of DFT is depicted in Fig. 2(b). The DFT input signal is restituted from harmonic components obtained by combining the outputs  $v_1(f_1)$ from  $SG_1$  and  $v_2(f_2)$  from  $SG_2$  which represent the utilized signal generators (SGs). In order to control the parameters of  $v_1(f_1)$  and  $v_2(f_2)$  constituting input  $v_{in}(f_1, f_2)$ , these two dif-

ferent SGs are needed. The SFT can be understood from the diagram by removing one of SGs and without power combiner.

The employed test instruments in the experimental setup are specified as  $SG_1$  to provide input signal  $v_1$  referenced as Agilent E8267D,  $SG<sub>2</sub>$  to provide input signal  $v<sub>2</sub>$  referenced as SMB 100A, the spectrum analyzer (SA) referenced as Agilent E4447A to measure and monitor the spectrum of *vout*, an attenuator with 20-dB nominal value referenced 2.92TS20-20-40 to protect the SA against the damage risk from tested signal highpower and several coaxial lines gathered with adapters to connect all instruments and the DUT. The analytical approach on the PA NL modelling is expressed in the next subsection.

## $\mathsf{PIER}$  Letters

### **2.2. Pin-Pout Characteristic Modelling**

From the SFT *Pin−Pout* characteristic data and the corresponding PA gain  $G = P_{out}/P_{in}$ , the traditional polynomial NL model incorporating memory effects can be established. The fitting model representing the PA dynamic nonlinearity is expressed as:

$$
z(n) = \sum_{i=0}^{p} \sum_{j=0}^{q} a_{ij} x(n-j) |x(n-j)|^{i}
$$
 (1)

where  $x(n)$  and  $z(n)$  are the KPA input and output signal voltages, and *p* and *q* are the polynomial order and memory depth. Substituting the input-output data into the memory polynomial model, one gets a system of equations with unknowns  $a_{ij}$  and indexes  $0 \le i \le p$  and  $0 \le j \le q$ :

<span id="page-2-0"></span>
$$
X \cdot a = z \tag{2}
$$

with *X* being a matrix formed by input signals, *a* a column vector composed of  $a_{ij}$ , and  $z$  a column vector formed by output signals. The following linear equation system is derived from relation([2\)](#page-2-0):

$$
X^T \cdot X \cdot A = X^T \cdot z \tag{3}
$$

After calculating the least square solution, it yields polynomial coefficients  $a_{ij}$  with  $0 \le i \le p$  and  $0 \le j \le q$ . After the SFT result modelling, the IMD3 from the DFT is described in the following subsection.

#### **2.3. Expression of PA Model NL Coefficients**

By assuming DFT input with frequencies  $f_1 < f_2$  and  $\Delta f =$  $f_2 - f_1 \ll f_0$ , the harmonic component  $v_{m=1,2}$  of  $v_{in}$  with amplitude  $A_{m=1,2}$  and angular frequency  $\omega_m = 2\pi f_m$  is expressed as:

$$
v_m(t) = A_m \cos(\omega_m t). \tag{4}
$$

Hence, the input signal generated from the DFT experimental setup shown in Fig. 1(b) can be expressed as  $v_{in} = v_1 +$  $v_2$ . If the network is memoryless, by taking real coefficients  $k_{m=1,2,3}$ , the corresponding output can be expressed as a *t*-time dependent power series:

<span id="page-2-1"></span>
$$
v_{out}(t) = \sum_{m=1}^{3} k_m v_{in}^m(t)
$$
 (5)

It is noteworthy that the present KPA study is limited to weak NL case  $(k_m = 0$  if  $m > 3)$ . According to the standard, most of TxRx IMD3 considered should not exceed 40 dBc. The NL coefficients are determined by linearization of SFT input  $v_{in}(t) = A \cos(\omega_0 t)$  with  $\omega_0 = 2\pi f_0$  as a function of range in  $A = amplitude(v_{in})$  at frequencies  $f = \{0, f_0\}$ . For example, we assume that the fundamental component of output signal is expressed by  $v_{out}(t) \approx A_{out} \cos(\omega_0 t)$  having  $A_{out} = amplitude(v_{out})$ . For the weak NL case, the small signal input amplitude is delimited by  $0 < A \leq 0.1$  V. By means of analytical exploration of Equation [\(5](#page-2-1)), the NL coefficient *k*<sup>1</sup> can be estimated from output spectrum:

$$
k_1 = A_{out,small}(f = f_0) / A_{small}(f = f_0). \tag{6}
$$

After linearization of  $v_{out}(t)$  with respect to single-tone input  $v_{in}(t)$  with weak signal input amplitude belonging to the range  $0.1 \text{ V} < A \leq 0.3 \text{ V}$ , the NL coefficients  $k_2$  and  $k_3$  estimated from output spectrum at frequencies  $f = \{0, f_0\}$  can be determined from equations:

$$
\begin{cases}\nk_2 = 2A_o(f=0)/A^2(f=0) \\
k_3 = \frac{4[A_o(f=f_0) - k_1A(f=f_0)]}{3A^3(f=f_0)}.\n\end{cases} (7)
$$

The DFT NL test consists in measuring the IM3 of combined fundamental frequency components  $f_{m=1,2}$ . If the considered test circuit is memoryless, the corresponding NL model can be expressed as represented in Equation [\(5](#page-2-1)) by taking real coefficients  $k_{m=1,2,3}$ . Through the established polynomial model, a series of frequency combination components generated by the output can be intuitively analyzed, and the interference components in the output response of the power amplifier can be obtained. Based on the proposed NL model, the KPA output for different harmonic amplitudes is approximated as discussed in the next section which is dedicated to the experimental result examination.

## **3. DISCUSSION ON KPA EXPERIMENTATION AND NL CHARACTERISTIC MODELLING RESULTS**

The present section describes the obtained NL experimental and empirical modelling results of KPA based on the measured input and output powers.

#### **3.1. Fitting Memorial Polynomial Modelling Results**

During the SFT operating at frequency  $f_0$ , the input power  $P_{in}$ was gradually increasing from  $P_{in,min} = 0$  dBm to  $P_{in,max} =$ 20 dBm with 1 dBm step. Fig. 3(a) and Fig. 3(b) show the KPAs gain and efficiency from the SFT versus *Pout*, respectively. The efficiency corresponding to measured *Pin* and *Pout* is calculated from equation  $\xi$ (%) = 100( $P_{out} - P_{in}$ )/ $P_{DC}$  by taking *PDC* as the DC power supply.

Moreover, measured values of *Pout*, gain, and efficiency at particular values of *Pin* are summarized in Table 1. As results of experimental characterization, at  $f_0$ , KPA1 has  $G = 27.8$  dB, saturation power  $P_{sat} = 40.821$  dBm and  $\xi = 38.31\%$ . Then, KPA2 presents  $G = 26.6$  dB,  $P_{sat} = 40.971$  dBm and  $\xi =$ 39*.*97%.

**TABLE 1**. KPA prototypes NL characteristics from SFT.

$P_{in}$ (dBm)	<b>KPA</b>	0	5	10	20
$P_{out}$ (dBm)		27.769	32.892	36.928	40.821
Gain (dB)	KPA1	27.419	27.542	26.578	21.471
$\xi$ (%)		2.72	8.89	20.44	38.31
$P_{out}$ (dBm)		26.137	32.007	36.545	40.981
Gain (dB)	KPA <sub>2</sub>	25.787	26.657	26.195	21.611
$\xi(%)$		1.86	7.25	18.71	39.97



**FIGURE 3**. KPA1 and KPA2 (a) gain and (b) PAE vs  $Pout$ .

**TABLE 2**. KPA *Pin*-*Pout* characteristic coefficients of the memory polynomial model.

j/i	1	2	3	$\overline{\bf{4}}$	5	6	7
	1.935	13.282			$\qquad \qquad \blacksquare$		
2	$-0.855$	26.196	$-6.514$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$		$\overline{\phantom{a}}$
3	$-0.756$	25.406	$-5.510$	$-0.310$			
$\overline{4}$	$-0.474$	22.183	1.762	$-5.585$	1.182		
5	$-0.450$	21.826	2.983	$-7.103$	1.954	$-0.137$	
6	$-0.058$	14.547	37.437	$-71.079$	56.442	$-21.549$	3.146



**FIGURE 4**. Comparison of fitted model and measured KPA *Pin*-*Pout* characteristic.

#### **3.2. KPA Fitting Memorial Polynomial Modelling**

According to Ohm's law, the harmonic signal amplitude equals the square root of the signal power multiplied by the characteristic impedance which is 50- $\Omega$ . When the polynomial order is  $p = 5$  and the memory depth  $q = 6$ , by converting the powers in dBm into voltage in V, the coefficients of the memory polynomial are computed using MATLAB. The obtained coefficients are addressed in Table 2. Fig. 4 shows the comparison between the MPA  $P_{in}P_{out}$  characteristic obtained through polynomial fitting indicated in Table 2 with the aforementioned coefficients and the measured *Pin*-*Pout* characteristic.



**FIGURE 5**. KPA1 and KPA2 output spectra with  $P_{in} = 15$  dBm.

After the fitting modelling, the KPA IMD3 from DFT results is analyzed. From Fig. 4, the fitted *Pin*-*Pout* characteristic aligns closely with the measured ones.

Due to the memory effect represented by the polynomial model, the first six points cannot be accurately plotted when the memory depth is set to  $q = 6$ .

#### **3.3. KPA IMD3 Analysis from DFT Results**

The present subsection focuses on the analysis of IM3s  $2f_1 - f_2$ and  $2f_2 - f_1$  when the input fundamentals are fixed to  $f_1 =$ 23.995 GHz and  $f_2 = 24.005$  GHz with same amplitudes  $A_1 =$ 



**FIGURE 6**. KPA1 and KPA2 (a)  $IM_3$  vs  $P_{in}$  and (b)  $IMD_3$  vs  $P_{out}$ .

Ref.	Method	Complexity	Cost
[30]	SFT and DFT		
$\lceil 31 \rceil$	DFT and MFT		
$\lceil 32 \rceil$	DFT and LTE Signal Test	Complex	
$\lceil 33 \rceil$	Automatic DFT		
This work	Automatic SFT and DFT	Simple	$5 \text{ min}$

**TABLE 3**. Comparison of PA IMD3 detection methods.

*A*<sup>2</sup> from DFT introduced in Fig. 2(b). The IM3 was empirically evaluated by gradually increasing  $P_{in}$  from  $P_{in,min} = -5$  dBm to  $P_{in, max} = 20$  dBm. The mathematical variation law governing the KPAs IM3 with respect to *Pin* is studied. One recalls that the IMD3 is calculated by equation IMD3 =  $P_{in}$  – IM3. Then, the IM3 component power is recorded and drawn. By increasing *Pin*, one observes whether IM3s appear near the spectrum of *Pout* displayed in Fig. 5 spanned from 23.98 GHz to 24.02 GHz. After the observation and analysis of IM3s, the signal power is recorded synchronously, the input and IM3 characteristic curve of the KPA is plotted. Then, the KPA IMD3 was calculated by adjusting the SG MOD mode to have the dualtone signal. During the DFT, the SG output was gradually increased from *−*5 dBm to 20 dBm by considering the power step 1 dBm interval until the SA is able to plot the expected IM3.

In practice, the SA marker was handled to record the test signal having spectrum constituted by components  $2f_1 - f_2 =$ 23*.*985 GHz, *f*<sup>1</sup> = 23*.*995 GHz, *f*<sup>2</sup> = 24*.*005 GHz and 2*f*<sup>2</sup> *−*  $f_1 = 24.015$  GHz. It corresponds to the spectrum power showing the IM3. It is worth to note that the attenuation and loss can be assessed from the output signal of the KPA DUTs. Therefore, we have the KPAs IM3 test data which are displayed in Fig. 6. In addition, the KPA IMD3s were calculated, implying the *Pin* vs IM3 and *Pout* vs IMD3 characteristic diagram drawn as shown in Fig. 6(a) and Fig. 6(b), respectively.

The following remark can be underlined:

• The two IMD3s are equal in theory, but in practice they can only be close due to factors such as signal source and attenuation.

- From the obtained IM3 diagrams of Fig. 6(b), one finds that the DFT induces small experimental error and guarantees considerable accuracy.
- As *Pin* increases gradually, the KPAs enters the NL region. Based on the behavioral plot of Fig. 6(a), the IM3 increase rate is greater than the fundamental component one.
- Moreover, as  $P_{in}$  increases gradually, IMD3 gradually decreases when  $P_{out} \approx 32.6$  dBm. Therefore, IMD3 of KPA1 and KPA2 is greater than 20.912 dBc. Such a measured value of DUT IMD3 meets industrial design requirements and corresponds to weak NL distortion.

#### **3.4. Advantages of Developed NL Characterization**

The developed NL test and model can be applied to any microwave active circuits with certain benefits. Doing this, the comparison of NL technique performances is addressed in the present subsection.

Table 3 summarizes the comparison of the achieved NL performances in terms of IMD3 detection ability with the developed test technique performed study with the existing ones in the literature [30–33].

Moreover, Table 4 indicates the developed SFT and DFT performance characteristics performances and the existing ones available in the literature [34–37] in terms of PA NL specifications. The developed NL technique not only is susceptible to operate in mm-wave range but also presents benefits in terms of test setup automatization, simplicity, and handling time.

In addition, the proposed NL technique testability was verified with good performance KPAs *Psat* and *Gain*. The per-



Ref.	Freq./GHz	Sat.P <sub>out</sub> /dBm	Sat.Gain/dB	Sat.Efficiency/%	Sat.  IMD3 /dBc
[34]	$25 - 26.5$	$28.9 - 30$	$5.2 - 6.5$	$29 - 40$	
$[35]$	24	15.7	17.5	30.4	
[36]	$24 - 29$	$30 - 31.3$	$\overline{\phantom{a}}$	$27.6 - 38.9$	
$[37]$	$24 - 29.5$	$19.2 - 21.3$	$13 - 15.9$	$23.2 - 28.4$	$\overline{\phantom{0}}$
This work	24	40.981	26.657	40.96	35.887

**TABLE 4**. Comparison with state-of-the-art mm-wave power amplifiers.

formance comparison enables promising potential applications of the SFT and DFT for EMI characterization of mm-wave Tx and Rx system in the future especially by assessing the NL effect influence.

## **4. CONCLUSION**

A simple and flexible NL modelling of microwave active devices is studied. The feasibility test was verified with a proof of concept represented by two different KPAs designed and implemented in GaN technology. The methodology of SFT and DFT technique is explained.

After brief design and SFT test method description, the experimentation of KPA proof of concept (PoC) is described by considering operating frequency fixed to  $f_0$ . Then, fitting model of Pin-Pout characteristic is developed. A very good correlation between the NL performances of the two different KPA prototypes is obtained. Moreover, the influence of the KPA1 NL characteristic on the communication system is studied via DFT by assessing the IMD3.

In the future, the research work result is helpful for the EMI analysis of multicarrier front-end communication system due to the undesirable NL implying transmission signal distortion.

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