

# Three-Phase Duty Cycle Modulation-Based Model Predictive Control Strategy for QZSI-PMSM System without Cost Function

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**ABSTRACT:** The finite set model predictive control (FCS-MPC) method for quasi-Z-source inverter-permanent magnet synchronous motor (QZSI-PMSM) system suffers from the problems of unclear linkage between control objectives, complex control system, and poor control performance. A three-phase duty cycle modulation-based model predictive control (TDCM-MPC) strategy without cost function is proposed. In this strategy, the control objectives are converted firstly to make a connection between the control variables of inverter-side and motor-side, and based on it construct a system of nonhomogeneous linear equations to calculate the three-phase duty cycle. In addition, the three-phase duty cycles may have a secondary correction according to the size of the capacitor voltage error to realize the overall control of the four control variables. Finally, the driving pulse is generated based on space vector modulation (SVM) to obtain smaller steady-state ripples. The experimental results show that, compared with the conventional FCS-MPC, the proposed TDCM-MPC strategy reduces the computation of the control system and can obtain better control performance.

## 1. INTRODUCTION

Z-source inverter (ZSI) is a new power conversion topology with a straight-through (ST) state. This state is able to realize the boost function, which overcomes the limitation of conventional voltage source inverters that can only realize the buck function [1], and it does not need to take into account the effect of the dead time of the switching devices on the output effect of the inverter. Quasi-Z-source inverter (QZSI) inherits the characteristics of ZSI, and it has continuous input current, which improves the practicality of the inverter [2, 3]. Based on the above advantages, QZSI has been used and developed widely in wind power generation, photovoltaic power generation, and hybrid vehicles [4–6].

Model predictive control (MPC) uses a mathematical model to predict the future behavior of the system and designs a cost function about the error of multiple control variables to find the optimal action [7]. It is widely used in areas such as power electronics and motor drives due to its ability to handle nonlinearities and complex constraints [8–12]. Currently, the application of MPC to PMSM control systems has received great attention. In [13, 14], the selection range of voltage vectors (VVs) is extended to minimize the steady-state ripple of output current. In [15], the reference voltage vector containing motor speed and current information is predicted based on the deadbeat control principle. The weighting factor is avoided because only the error of voltage vector is included in the cost function. In order to reduce the number of predictions, a method for fast screening of voltage vectors is used in [16], and a duty cycle modulation method is proposed in [17]. In [18], parameter identification method is combined with MPC to enhance the robustness of the system.

Some scholars attempted to optimize the application of MPC to QZSI by reducing the weight coefficients and the number of predictions of MPC [19]. Ref. [20] proposes to determine whether to use an ST vector in the next control cycle based on the predicted value of inductor current, removing the item of inductor current error from the cost function. In [21], an error ranking method based on logic operations is devised to eliminate all the weighting coefficients. In [22, 23], a sequential-MPC (S-MPC) method is proposed to eliminate some or all of the weighting coefficients. In [24], an ST VV, a zero VV, and an effective VV are used as joint voltage vector in one control cycle to obtain better steady state results. However, the authors did not consider the effect of increased switching frequency on the system. Ref. [25] proposes a QZSI predictive control method based on a discrete time averaging model, which does not require cost function estimation, weight factor selection, or proportional-integral (PI) parameter design.

Applying MPC approach to a QZSI-PMSM drive system is even more challenging due to the unclear connection between its control objectives. Ref. [26] uses two independent MMPC loops for ZSI and PMSM control and suppresses the steady-state ripple of control variables effectively by vector modulation. However, the control quantities generated by the two control loops may have conflict because the control variables on the two sides are independent of each other. In [27], the unified control of the inverter side and motor side in QZSI-PMSM system is realized. However, the weight coefficients in its cost function are too many, and only one VV is applied in one control cycle, which cannot obtain a better steady-state effect. In [28], an effective VV or an ST VV is selected by the FCS-MPC algorithm, and the duty cycle of the candidate VV is calculated and synthesized with zero VV. The dynamic per-

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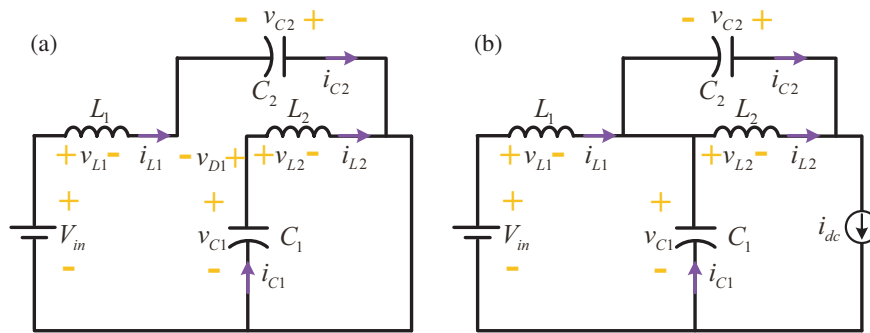


FIGURE 1. Two operating states of QZSI. (a) ST state. (b) NST state.

formance of the motor is improved, but it does not solve the problem of too many weight coefficients in the cost function. Ref. [29] adds an additional switching device to conventional quasi-Z-source topology and uses a two-stage ST VV to minimize torque fluctuations. However, this approach does not consider the performance of control variables on the QZSI side.

In this paper, a novel TDCM-MPC strategy is proposed for controlling all the control variables of a QZSI-PMSM system. Through the derivation of equations, the strategy concludes that the control of capacitor voltage on QZSI side can be equated to the control of DC bus current, and the control of output current on PMSM side can be equated to the control of the output voltage. In addition, a system of nonhomogeneous linear equations is constructed, which proves that it is impossible to realize the deadbeat control of the four control variables. The other methods must be proposed to minimize the overall output error of the system. The ST duty cycle and three-phase duty cycle are obtained by applying deadbeat control to the inductor current and output voltage, respectively. The three-phase duty cycle is corrected a second time when the error between the predicted and reference values of the capacitor voltage exceeds the correction threshold. This behavior sacrifices a small portion of the control accuracy for PMSM output current but realizes the overall control of the four control variables. The contributions of this paper can be summarized as follows:

1) Multiple non-straight-through (NST) VVs and an ST VV act alternately in a control cycle to generate driving pulses to reduce the steady-state ripple of capacitor voltage, inductor current, motor torque, and the THD of output current.

2) The control objectives of QZSI-PMSM system are converted equivalently to make a connection between the control variables on the QZSI side and PMSM side.

3) A system of nonhomogeneous linear equations is constructed to calculate the three-phase duty cycle directly without constructing a cost function or determining which sector the reference voltage vector is located. The control accuracy is improved while the computation of the control system is reduced greatly.

4) The three-phase duty cycles may have a secondary correction according to the size of the capacitor voltage error to realize the overall control of the four control variables.

## 2. QZSI-PMSM SYSTEM TOPOLOGY AND PREDICTION MODELING

In this section, the topology and prediction model of the QZSI-PMSM drive system will be presented. As shown in Fig. 3, the main circuit of this drive system consists of the following four components: a DC power supply, a quasi-Z source network, a three-phase inverter bridge, and a permanent magnet synchronous motor. Among them, the three-phase two-level inverter bridge and quasi-Z source network form the QZSI, which can provide voltage vectors with different effects. The DC power supply and PMSM serve as the energy input and output parts of the whole system, respectively.

### 2.1. Prediction Model of QZSI

The operating states of the quasi-Z source inverter can be categorized into straight-through (ST) state and non-straight-through (NST) state. The equivalent circuits of QZSI in these two states are shown in Fig. 1, where the two inductance and capacitance values are equal, i.e.,  $L_1 = L_2$  and  $C_1 = C_2$ , respectively.

When the QZSI operates in the ST state, as shown in Fig. 1(a), switch  $D_1$  is disconnected; the power supply and capacitor  $C_2$  charge inductor  $L_1$ ; and capacitor  $C_1$  discharges through inductor  $L_2$ . Under the premise of neglecting the inductor stray resistance, the state equations of inductor  $L_1$  and capacitor  $C_1$  can be expressed as:

$$\begin{cases} L_1 \frac{di_{L1}}{dt} = v_{C1} \\ C_1 \frac{dv_{C1}}{dt} = -i_{L1} \end{cases} \quad (1)$$

When the QZSI operates in the NST state, as shown in Fig. 1(b), switch  $D_1$  is closed; the power supply and inductor  $L_1$  charge capacitor  $C_1$ ; and inductor  $L_2$  charges capacitor  $C_2$ . Under the premise of neglecting the inductor stray resistance, the state equations of inductor  $L_1$  and capacitor  $C_1$  can be expressed as:

$$\begin{cases} L_1 \frac{di_{L1}}{dt} = V_{in} - v_{C1} \\ C_1 \frac{dv_{C1}}{dt} = i_{L1} - i_{dc} \end{cases} \quad (2)$$

where  $V_{in}$  is the power input voltage, and  $i_{dc}$  is the DC bus current, which is generally obtained from the switching state and output current of the three-phase inverter bridge.

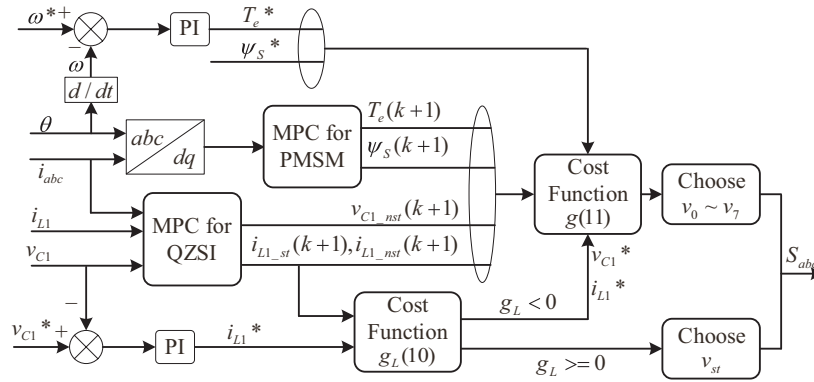


FIGURE 2. The block diagram of conventional FCS-MPC strategy.

As shown in Fig. 1, the equivalent circuits of QZSI in ST state and NST state are different, so the prediction models of  $v_{C1}$  and  $i_{L1}$  in the two operating states should be derived separately. The prediction model equations for  $i_{L1}$  and  $v_{C1}$  at the next moment are obtained using the first-order forward difference method as follows:

$$i_{L1}(k+1) = \begin{cases} i_{L1}(k) + \frac{T_s}{L_1} v_{C1}(k), & \text{ST state} \\ i_{L1}(k) + \frac{T_s}{L_1} [v_{in} - v_{C1}(k)], & \text{NST state} \end{cases} \quad (3)$$

$$v_{C1}(k+1) = \begin{cases} v_{C1}(k) + \frac{T_s}{C_1} [-i_{L1}(k+1)], & \text{ST state} \\ v_{C1}(k) + \frac{T_s}{C_1} [i_{L1}(k+1) - i_{dc}(k+1)], & \text{NST state} \end{cases} \quad (4)$$

where  $T_s$  is the control period, and  $i_{dc}(k+1)$  is the DC bus current for the next control period, which can be obtained from the switching state of the three-phase inverter bridge in the next control period and the output current at the current moment:

$$i_{dc}(k+1) = S_a i_a + S_b i_b + S_c i_c \quad (5)$$

When the upper bridge arm of a phase is on and the lower bridge arm is off ( $S_{xH} = 1, S_{xL} = 0, x = a, b, c$ ), the switching state of that phase is recorded as 1 ( $S_x = 1, x = a, b, c$ ); when the upper bridge arm of a phase is off and the lower bridge arm is on ( $S_{xH} = 0, S_{xL} = 1, x = a, b, c$ ), the switching state of that phase is recorded as 0 ( $S_x = 1, x = a, b, c$ ).

## 2.2. Prediction Model of PMSM

In this paper, the surface-mounted PMSM is the object of study. The motor stator and rotor core reluctance are neglected; eddy current and hysteresis losses are not counted; the rotor has no damping winding; and the induced electromotive force in the stator winding is a sinusoidal wave. Under the two-phase rotating coordinate system, the voltage equation and magnetic chain equation of the PMSM can be expressed respectively as:

$$\begin{cases} v_d = R_s i_d - \omega_e \psi_q + L_d \frac{di_d}{dt} \\ v_q = R_s i_q + \omega_e \psi_d + L_q \frac{di_q}{dt} \end{cases} \quad (6)$$

$$\begin{cases} \psi_d = L_d i_d + \psi_f \\ \psi_q = L_q i_q \end{cases} \quad (7)$$

The electromagnetic torque equation is:

$$T_e = \frac{3}{2} p_n \psi_f i_q \quad (8)$$

where  $R_s, L_d, L_q, \psi_f, \omega_e, v_d, v_q, i_d, i_q$  are stator resistance, the stator inductances of the  $d$ - $q$  axis, permanent magnet flux linkage, electrical angular velocity, the voltages of the  $d$ - $q$  axis, the currents of the  $d$ - $q$  axis, respectively.

The motor speed can be regarded as an invariable value for a short time interval due to the slow change of mechanical quantities relative to electrical quantities. Applying first-order Eulerian discretization to (6), the prediction equation for the motor current during the following sampling period is represented as:

$$\begin{cases} i_d(k+1) = (1 - \frac{R_s T_s}{L_d}) i_d + \frac{T_s \omega_e L_q i_q}{L_d} + \frac{T_s v_d}{L_d} \\ i_q(k+1) = (1 - \frac{R_s T_s}{L_q}) i_q - \frac{T_s \omega_e (L_d i_d + \psi_f)}{L_q} + \frac{T_s v_q}{L_q} \end{cases} \quad (9)$$

## 3. TRADITIONAL FCS-MPC STRATEGY

The block diagram of conventional FCS-MPC strategy for QZSI-PMSM system is shown in Fig. 2. The reference value of the electromagnetic torque is generated through the speed PI loop, and the reference value of the inductor current is generated through the quasi-Z-source capacitor voltage PI loop.

The FCS-MPC strategy first determines whether the next cycle adopts the ST state based on the cost function  $g_L$  about the quasi-Z source inductor current [27]. If  $g_L \geq 0$ , the ST VV is directly selected as the switching state in the next cycle; otherwise, the optimal VV is selected by calculating the cost function  $g$  including multiple control objectives such as capacitance voltage, inductance current, motor torque, and motor flux linkage. The NST VV corresponding to the smallest cost function is used as the switching state in the next cycle. The cost function for this strategy to determine whether to use the ST state can be defined as:

$$g_L = |i_{L1.ref} - i_{L1.nst}| - |i_{L1.ref} - i_{L1.st}| \quad (10)$$

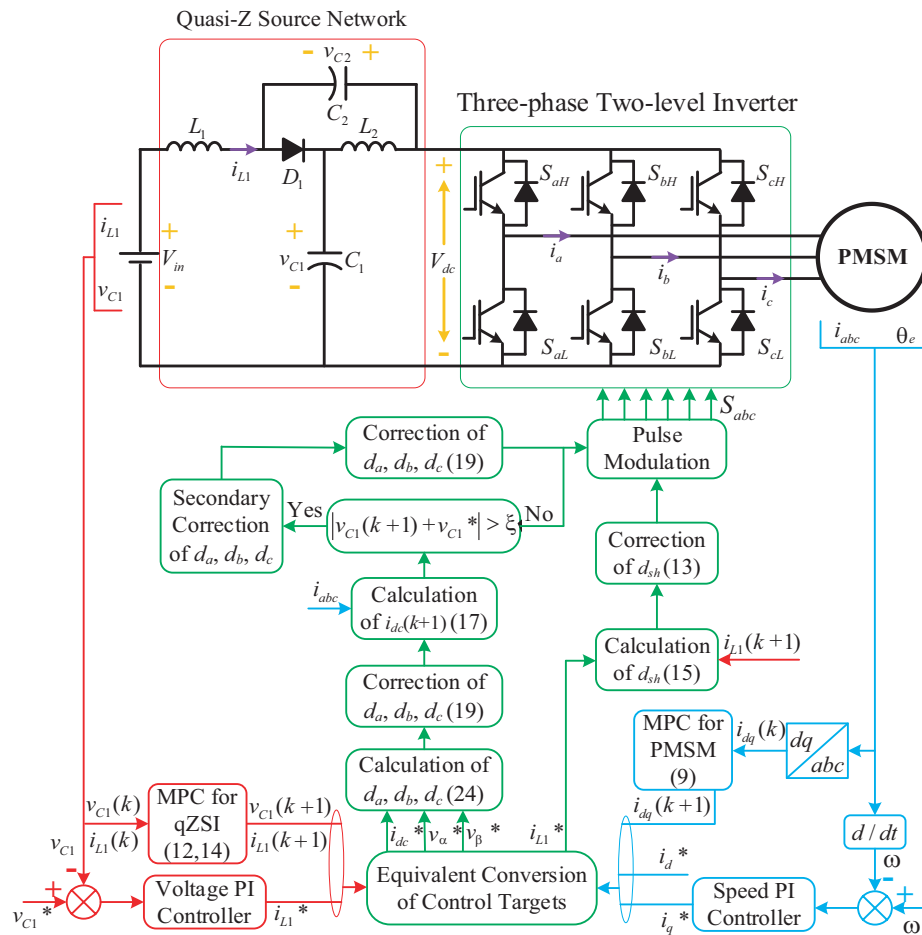


FIGURE 3. The block diagram of proposed TDCM-MPC strategy.

The multi-control objective cost function for this strategy can be defined as:

$$g = |T_e * -T_e(k + 1)| + Q_\psi |\psi_s * -\psi_s(k + 1)| + Q_L |i_{L1.ref} - i_{L1}(k + 1)| + Q_C |v_{C1.ref} - v_{C1}(k + 1)| \quad (11)$$

The cost function constructed by (11) has three weighting coefficients. However, there is no corresponding formula for the calculation of the weighting coefficients, which is generally obtained through several experimental verifications, and the size of the weighting coefficients will directly affect the system performance. This poses a great challenge to the design of the control system, and the behavior of optimization by using the cost function several times will also increase the computation of the control system.

#### 4. PROPOSED TDCM-MPC STRATEGY

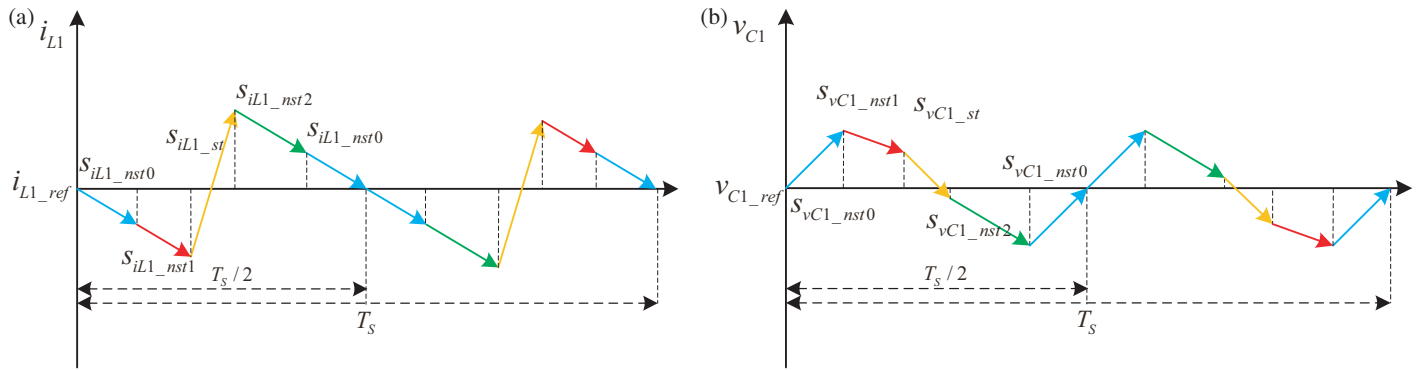
In order to reduce the computational amount of the control system of the traditional FCS-MPC strategy and realize the overall control of all control variables of a QZSI-PMSM system, a novel TDCM-MPC strategy is proposed in this paper. The method consists of four key components: the equivalent conversion of control objectives, the calculation and primary cor-

rection of the straight-through duty cycle and three-phase duty cycle, the secondary correction of the three-phase duty cycle based on the capacitor voltage prediction error, and the output of the driving pulse. The block diagram and detailed flow of proposed TDCM-MPC strategy is shown in Fig. 3.

##### 4.1. The Equivalent Conversion of Control Objectives

The control objectives of the QZSI-PMSM system include inductor current ( $i_{L1}$ ) and capacitor voltage ( $v_{C1}$ ) on the inverter side, and  $d$ -axis current ( $i_d$ ) and  $q$ -axis current ( $i_q$ ) on the motor side. There seems to be no necessary connection between these control variables. Therefore, it is very necessary to perform equation derivation to find out the correlation between them.

The prediction equations of  $i_{L1}$  and  $v_{C1}$  are somewhat unique in that their predicted values will differ depending on the voltage vector applied. If the driving pulse is generated by alternating ST and NST VVs in one control cycle, the predicted value of  $i_{L1}$  in the next control cycle no longer satisfies (3). It can be assumed that  $i_{L1}$  varies linearly under the action of a single VV alone in the same control cycle, as shown in Fig. 4(a). Then, the predicted value of  $i_{L1}$  under the alternating action of multiple VVs can be obtained by summing up its changing



**FIGURE 4.** The effect of multiple VVs in one control cycle. (a) For  $i_{L1}$ . (b) For  $v_{C1}$ .

values, which is expressed as follows:

$$\begin{aligned} & i_{L1}(k+1) \\ &= i_{L1}(k) + d_{sh}[i_{L1\_st}(k+1) - i_{L1}(k)] \\ & \quad + (1 - d_{sh})[i_{L1\_nst}(k+1) - i_{L1}(k)] \\ &= i_{L1}(k) + \frac{T_S}{L_1} [(1 - d_{sh})v_{in} - (1 - 2d_{sh})v_{C1}(k)] \quad (12) \end{aligned}$$

where  $d_{sh}$  is the ST duty cycle of the quasi-Z source, as the ratio of the action time of the ST VV to the control period in one control cycle.  $i_{L1\_st}(k+1)$  refers to the predicted value of  $i_{L1}$  under the applied ST state from (3), and  $i_{L1\_nst}(k+1)$  refers to the predicted value of  $i_{L1}$  under the applied NST state from (3). For the QZSI-PMSM system, the following conditions must be satisfied for stable operation to ensure that the modulation coefficients of the system are not conflicting [27]:

$$\begin{cases} 0 \leq d_{sh} < 0.5 \\ 0 \leq M + d_{sh} \leq 1 \end{cases} \quad (13)$$

where  $M$  is the modulation ratio of the system output voltage, as the ratio of the amplitude of the output voltage to  $2/3$  times the DC bus voltage ( $V_{dc}$ ).

Similar to  $i_{L1}$ , if the driving pulse is generated by alternating ST and NST VVs in one control cycle, the predicted value of  $v_{C1}$  in the next control cycle no longer satisfies (4). It can be assumed that  $v_{C1}$  varies linearly under the action of a single VV alone in the same control cycle as shown in Fig. 4(b). Then, the predicted value of  $v_{C1}$  under the alternating action of multiple VVs can be obtained by summing up its changing values, which is expressed as follows:

$$\begin{aligned} v_{C1}(k+1) &= v_{C1}(k) + d_{sh}[v_{C1\_st}(k+1) - v_{C1}(k)] \\ & \quad + (1 - d_{sh})[v_{C1\_nst}(k+1) - v_{C1}(k)] \\ &= v_{C1}(k) + \frac{T_S}{C_1} [(1 - 2d_{sh})i_{L1}(k+1) \\ & \quad - (1 - d_{sh})i_{dc}(k+1)] \quad (14) \end{aligned}$$

where  $v_{C1\_st}(k+1)$  refers to the predicted value of  $v_{C1}$  in the applied ST state from (4), and  $v_{C1\_nst}(k+1)$  refers to the predicted value of  $v_{C1}$  in the applied NST state from (4). This

equation contains the constant terms related to the system parameters, the acquisition variable  $v_{C1}$  at the current moment, the predicted value  $i_{L1}(k+1)$  that can be derived from (12),  $d_{sh}$  that can be derived from (15), and the predicted value of the dc bus current for the next control cycle,  $i_{dc}(k+1)$ . Since different NST VVs correspond to different switching states, it can be analyzed from (5) that  $i_{dc}(k+1)$  takes different values when different NST VVs are applied in the same sampling period. Therefore, the problem of controlling the predicted value of capacitor voltage  $v_{C1}(k+1)$  can be equivalently converted to controlling the predicted value of dc bus current  $i_{dc}(k+1)$ .

The ones in (9) are constant terms related to the system parameters and the collected values of the control variables at the current moment except for the output voltage ( $v_d, v_q$ ) which is an unknown quantity at the current moment. Therefore, the problem of controlling the predicted value of PMSM output current  $i_{dq}(k+1)$  can be equivalently converted to controlling the predicted value of output voltage  $v_{dq}(k+1)$ .

In summary, the deadbeat control for  $i_{dq}$  of PMSM can be equated to the deadbeat control of  $v_{dq}$ , and the deadbeat control for  $v_{C1}$  of QZSI can be equated to the deadbeat control of  $i_{dc}$ . The predicted values of both  $v_{dq}$  and  $i_{dc}$  are related to the state of the three-phase switch of the inverter, and controlling the state of the three-phase switch can realize the control of variables on both sides of the inverter and motor.

#### 4.2. The Calculation and Primary Correction of $d_{sh}$ and Three-Phase Duty Cycle

ST states include single-phase ST, two-phase ST, and three-phase ST. When QZSI-PMSM applies ST VV, the switching devices of the higher and lower bridge arms of one or more phases of the inverter conduct at the same time, and the switching states of the higher and lower bridge arms of the phase ( $S_{xH}, S_{xL}, x = a, b, c$ ) are set to 1 at the same time. In this paper, only single-phase ST VVs are used in order to reduce the switching frequency of proposed TDCM-MPC strategy.

The three-phase, two-level QZSI can provide eight NST VVs and twelve single-phase ST VVs. The switching states ( $S_{aH}, S_{bH}, S_{cH}, S_{aL}, S_{bL}, S_{cL}$ ), inverter output voltages and  $i_{dc}$  of different NST VVs are detailed in Table 1.

The goal of the deadbeat control is to make the predicted value of this control variable in the next control cycle equal

**TABLE 1.** Information of different NST VVs.

$V_{nst}$	$S_{aH}, S_{bH}, S_{cH}, S_{aL}, S_{bL}, S_{cL}$	$v_{out}$	$i_{dc}(k+1)$
$V_0$	(0, 0, 0, 1, 1, 1)	0	0
$V_1$	(1, 0, 0, 0, 1, 1)	$2V_{dc}/3$	$i_a$
$V_2$	(1, 1, 0, 0, 0, 1)	$V_{dc}/3 + j\sqrt{3}V_{dc}/3$	$i_a + i_b$
$V_3$	(0, 1, 0, 1, 0, 1)	$-V_{dc}/3 + j\sqrt{3}V_{dc}/3$	$i_b$
$V_4$	(0, 1, 1, 1, 0, 0)	$-2V_{dc}/3$	$i_b + i_c$
$V_5$	(0, 0, 1, 1, 1, 0)	$-V_{dc}/3 - j\sqrt{3}V_{dc}/3$	$i_c$
$V_6$	(1, 0, 1, 0, 1, 0)	$V_{dc}/3 - j\sqrt{3}V_{dc}/3$	$i_a + i_c$
$V_7$	(1, 1, 1, 0, 0, 0)	0	0

to its reference value. The calculated value of  $d_{sh}$  can be obtained by directly applying the deadbeat control to  $i_{L1}$  by reverting (12):

$$d_{sh} = \frac{[i_{L1.ref} - i_{L1}(k)]L_1/T_S + v_{C1}(k) - v_{in}}{2v_{C1}(k) - v_{in}} \quad (15)$$

The calculated value of  $d_{sh}$  fluctuates greatly when the operating conditions change suddenly, and it may no longer meet the stable operation conditions of (13) at this time, so it is necessary to correct it. When the value of  $d_{sh}$  is less than 0, make it equal to 0; when the value of  $d_{sh}$  is greater than 0.5, make it equal to 0.5.

Due to the characteristic of  $i_a + i_b + i_c = 0$  for three-phase currents, it can be summarized from Table 1 that the action of any NST VVs on  $i_{dc}(k+1)$  can be replaced completely by the joint action of its two adjacent NST VVs in equal time. Taking  $V_3$  as an example, the predicted value of  $i_{dc}$  under its action is  $i_b$ . Its two adjacent NST VVs are  $V_2$  and  $V_4$ , and the predicted values of  $i_{dc}$  under its action are  $i_a + i_b$  and  $i_b + i_c$ , respectively. If  $V_2$  and  $V_4$  act jointly at the same time, the predicted value of  $i_{dc}$  is  $i_a + 2i_b + i_c$ , which is equivalent to  $i_b$  due to the characteristic of  $i_a + i_b + i_c = 0$ . The predicted value of  $v_{C1}$  under applying multiple VVs in one control cycle is shown in (14), and the reference value of the DC bus current can be obtained by applying deadbeat control directly to it as follows:

$$i_{dc.ref} = \frac{(1 - 2d_{sh})i_{L1}(k+1) - [v_{C1.ref} - v_{C1}(k)]C_1/T_S}{1 - d_{sh}} \quad (16)$$

$$\begin{cases} v_{d.ref} = \frac{L_d}{T_S} \left[ i_{d.ref} - \left(1 - \frac{R_s T_s}{L_d}\right) i_d(k) - \frac{T_s \omega_e L_q i_q(k)}{L_d} \right] \\ v_{q.ref} = \frac{L_q}{T_S} \left[ i_{q.ref} - \left(1 - \frac{R_s T_s}{L_q}\right) i_q(k) + \frac{T_s \omega_e L_d i_d(k) + T_s \omega_e \psi_f}{L_q} \right] \end{cases} \quad (20)$$

Convert the reference value of  $v_{dq}$  to the two-phase stationary coordinate system:

$$\begin{cases} v_{\alpha.ref} = v_{d.ref} \cos \theta - v_{q.ref} \sin \theta \\ v_{\beta.ref} = v_{d.ref} \sin \theta + v_{q.ref} \cos \theta \end{cases} \quad (21)$$

Similar to the average  $i_{dc}$ , the reference value of output voltage can be presented in the form of three-phase duty cycle. Cre-

If multiple NST VVs are applied in one control cycle, the predicted value of  $i_{dc}$  when applying NST state no longer satisfies (5). The predicted value of average  $i_{dc}$  when applying NST state in a control cycle is defined as follows:

$$\overline{i_{dc}}(k+1) = d_a i_a + d_b i_b + d_c i_c \quad (17)$$

where  $d_a$ ,  $d_b$ , and  $d_c$  are the duty cycles of  $abc$  three-phase, respectively, which are the ratios of the inverter three-phase higher bridge arm turn-on and lower bridge arm turn-off time to the whole control cycle. The states of each phase are independent of each other, and the voltage acting on the load satisfies the principle of superposition and also satisfies the vector synthesis relationship in terms of space vectors [30]. For the voltage source inverter (VSI), the three-phase duty cycle shall be satisfied as:

$$0 \leq d_a, d_b, d_c \leq 1 \quad (18)$$

From (4), the predicted value of  $v_{C1}$  in ST state is independent of  $i_{dc}$ . For QZSI drive systems, it is commonly necessary to insert ST VVs into NST VVs. To facilitate modulation of the voltage vector,  $d_{sh}$  can be stripped out beforehand, and the three-phase duty cycle at this time should satisfy the following condition:

$$0 \leq d_a, d_b, d_c \leq 1 - d_{sh} \quad (19)$$

The reference value of  $v_{dq}$  is obtained by applying deadbeat control directly to  $i_{dq}$  by reverting (9):

ate a matrix as follows:

$$\begin{bmatrix} V_{1\alpha} & V_{3\alpha} & V_{5\alpha} \\ V_{1\beta} & V_{3\beta} & V_{5\beta} \\ i_a & i_b & i_c \end{bmatrix} \begin{bmatrix} d_a \\ d_b \\ d_c \end{bmatrix} = \begin{bmatrix} v_{\alpha.ref} \\ v_{\beta.ref} \\ i_{dc.ref} \end{bmatrix} \quad (22)$$

where  $V_{1\alpha}$ ,  $V_{1\beta}$  are the components of  $V_1$  on the  $\alpha$ - $\beta$  axis;  $V_{3\alpha}$ ,  $V_{3\beta}$  are the components of  $V_3$  on the  $\alpha$ - $\beta$  axis;  $V_{5\alpha}$ ,  $V_{5\beta}$  are the components of  $V_5$  on the  $\alpha$ - $\beta$  axis.

A system of nonhomogeneous linear equations  $\mathbf{Ax} = \mathbf{b}$  is shown in (22). Applying elementary row transformations to its augmented matrix and organizing them yields:

$$(A|b) \rightarrow \begin{bmatrix} 1 & 0 & -1 & \frac{3v_{\alpha\_ref} + \sqrt{3}v_{\beta\_ref}}{2V_{dc}} \\ 0 & 1 & -1 & \frac{\sqrt{3}v_{\beta\_ref}}{V_{dc}} \\ 0 & 0 & 0 & \frac{2i_{dc\_ref}}{i_b - i_c} - \frac{i_a}{i_b - i_c} \cdot \frac{3v_{\alpha\_ref}}{V_{dc}} - \frac{\sqrt{3}v_{\beta\_ref}}{V_{dc}} \end{bmatrix} \quad (23)$$

where  $b_3$  (the element in the third row and fourth column of the above matrix) is a change term containing multiple references ( $i_{dc\_ref}$ ,  $v_{\alpha\_ref}$ ,  $v_{\beta\_ref}$ ) and measured values ( $i_a$ ,  $i_b$ ,  $i_c$ ) at the current moment, which takes the value 0 only in very special cases. The coefficient matrix  $\mathbf{A}$  of this system of linear equations has rank 2, and the augmentation matrix ( $\mathbf{A}|\mathbf{b}$ ) has rank 3 (except in very special cases). Therefore, there is no solution to this system of linear equations, so other methods of calculating the three-phase duty cycle can only be considered.

Consider that  $v_{C1}$  is the outer loop of QZSI and that its fluctuation is small, while  $i_{dq}$  is the inner loop of PMSM, and its fluctuation is large. Therefore, a TDCM-MPC strategy is proposed boldly in this paper. The output voltage deadbeat control is applied preferentially to calculate three-phase duty cycle, and then three-phase duty cycle is corrected according to the capacitor voltage prediction error value.

A new system of nonhomogeneous linear equations  $\mathbf{A}_1\mathbf{x}_1 = \mathbf{b}_1$  is constituted after removing all the elements of the third line of (23), and it has a general solution as:

$$\begin{aligned} & [d_a, d_b, d_c]^T \\ & = k[1, 1, 1]^T + [(3v_{\alpha\_ref} + \sqrt{3}v_{\beta\_ref})/2V_{dc}, \\ & \quad \sqrt{3}v_{\beta\_ref}/V_{dc}, 0]^T \end{aligned} \quad (24)$$

where  $k$  is an arbitrary constant, and  $k$  is firstly taken to be 0 in order to facilitate the calculation. At this time, the initial value of  $d_c$  is 0, and the initial values of  $d_a$  and  $d_b$  are determined by the collection and reference value of the system in current moment. The maximum value of  $d_a$ ,  $d_b$ , and  $d_c$  is labeled as  $d_{\max}$ , and the minimum value is labeled as  $d_{\min}$ :

$$d_{\max} = \max \{d_a, d_b, d_c\}, d_{\min} = \min \{d_a, d_b, d_c\} \quad (25)$$

The first correction for three-phase duty cycle is performed according to (19) as follows:

1) Judge whether three-phase duty cycle has a negative value (whether  $d_{\min}$  is less than 0). Since it has been determined that  $d_{\min}$  is a non-positive value, the minimum value of three-phase duty cycle can be directly corrected to 0 by the following operation regardless of its value: let  $d_{a1} = d_a - d_{\min}$ ,  $d_{b1} = d_b - d_{\min}$ , and  $d_{c1} = d_c - d_{\min}$ . (This correction does not affect the amplitude and direction of  $v_{dq}$  or the magnitude of  $i_{dc}$ .)

2) Judge whether three-phase duty cycle is over-regulated (whether  $d_{\max} - d_{\min}$  is greater than  $1 - d_{sh}$ ). If the judgment is no, directly output:  $d_{a2} = d_{a1}$ ,  $d_{b2} = d_{b1}$ ,  $d_{c2} = d_{c1}$ ; if the judgment is yes, three-phase duty cycle can be corrected by the following operation overshoot: let  $d_{a2} = d_{a1} * (1 - d_{sh}) / (d_{\max} - d_{\min})$ ,  $d_{b2} = d_{b1} * (1 - d_{sh}) / (d_{\max} - d_{\min})$ ,

$d_{c2} = d_{c1} * (1 - d_{sh}) / (d_{\max} - d_{\min})$ . (This correction action does not affect the direction of  $v_{dq}$ , but it does affect the amplitude of  $v_{dq}$  and the magnitude of  $i_{dc}$ .)

### 4.3. The Secondary Correction of Three-Phase Duty Cycle

The  $d_{a2}$ ,  $d_{b2}$ , and  $d_{c2}$  obtained in Section 4.2 of this paper are able to minimize the error of PMSM output current. However, the matching of the predicted value corresponding to (17) with the reference value corresponding to (16) has not yet been considered at this point. Therefore, it is necessary to perform a secondary correction of three-phase duty cycle based on the prediction error of  $v_{C1}$  to minimize the overall error of QZSI-PMSM system.

A correction threshold  $\xi$  is defined firstly, and the secondary correction of three-phase duty cycle is triggered as an action only when the error ( $|v_{C1}(k+1) - v_{C1\_ref}|$ ) exceeds it. If the correction is carried out in full accordance with the realization of deadbeat control for  $v_{C1}$ , there will be a large deviation from the calculation results in Section 4.2, which is not desirable. Therefore, only a certain proportion of correction can be made to  $d_{a2}$ ,  $d_{b2}$ , and  $d_{c2}$ , and the correction ratio is defined as  $D$ . The corrected reference value of  $i_{dc}$  can be calculated by the following formula:

$$i_{dc\_ref}^D = D i_{dc\_ref} + (1 - D) \overline{i_{dc}}(k+1) \quad (26)$$

At least one of  $d_{a2}$ ,  $d_{b2}$ , and  $d_{c2}$  is 0, and the rest are positive. Record the phase with minimum duty cycle as  $X$  and the phase with maximum duty cycle as  $Z$ , while the other one is recorded as  $Y$ . In the proposed algorithm, the duty cycle of  $X$  phase is prioritized to keep unchanged, while the duty cycles of the other two phases ( $Y, Z$ ) are needed to be corrected, and their correction values are equal. The corrected reference value of  $i_{dc}$  can also be expressed by the following formula:

$$i_{dc\_ref}^D = d_X i_X + (d_Y + d_D) i_Y + (d_Z + d_D) i_Z \quad (27)$$

where  $d_D$  is the secondary correction value of three-phase duty cycle. It can be obtained by coupling with (17) and (27):

$$d_D = \frac{i_{dc\_ref}^D - \overline{i_{dc}}(k+1)}{i_Y + i_Z} = \frac{\overline{i_{dc}}(k+1) - i_{dc\_ref}^D}{i_X} \quad (28)$$

The three-phase duty cycle after the secondary correction can be obtained as follows:

- 1) If  $d_X = d_{a2}$ , then  $d_{a3} = d_{a2}$ ,  $d_{b3} = d_{b2} + d_D$ ,  $d_{c3} = d_{c2} + d_D$ .
- 2) If  $d_X = d_{b2}$ , then  $d_{a3} = d_{a2} + d_D$ ,  $d_{b3} = d_{b2}$ ,  $d_{c3} = d_{c2} + d_D$ .
- 3) If  $d_X = d_{c2}$ , then  $d_{a3} = d_{a2} + d_D$ ,  $d_{b3} = d_{b2} + d_D$ ,  $d_{c3} = d_{c2}$ .

However, the secondary correction value may be overshooting if the calculated value of  $d_{a2}$ ,  $d_{b2}$ ,  $d_{c2}$  is large, and the value of  $d_D$  is greater than 0. Therefore, repeat the action of correcting overshoot in Section 4.2 to get  $d_{a4}$ ,  $d_{b4}$ , and  $d_{c4}$ .

### 4.4. The Output of Driving Pulse

The values of  $d_{a4}$ ,  $d_{b4}$ , and  $d_{c4}$  obtained in Section 4.3 may be different from each other, but they all satisfy (19) at the same

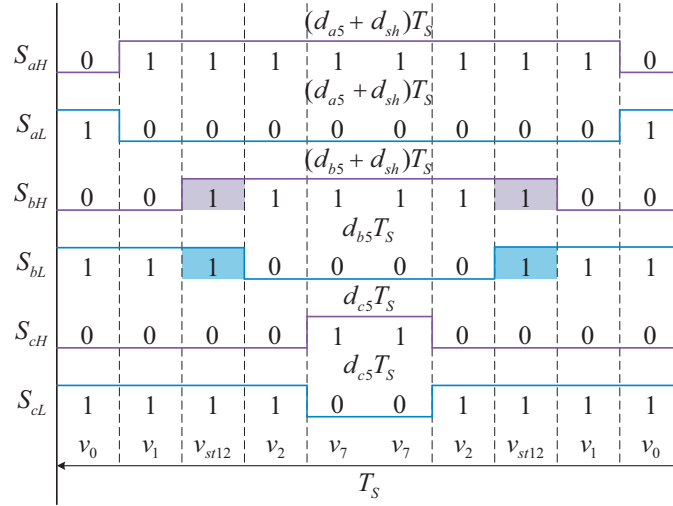


FIGURE 5. The diagram of driving pulse.

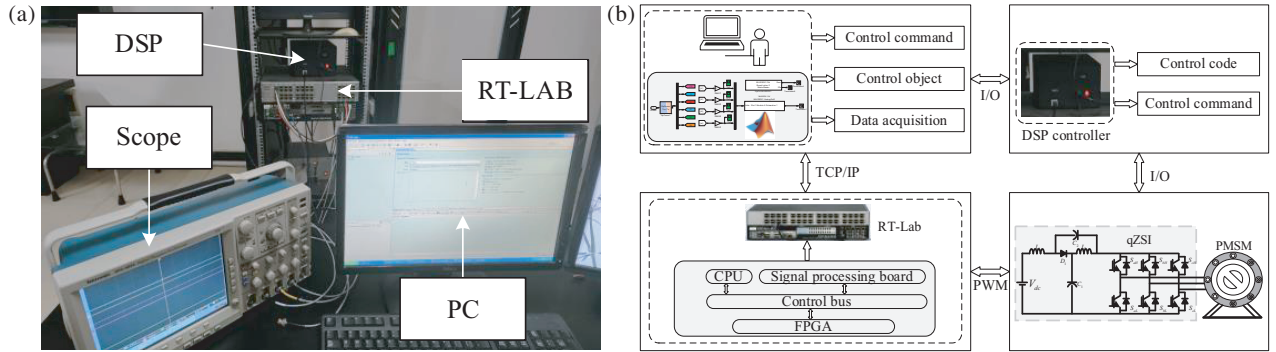


FIGURE 6. Experimental platform. (a) RT-LAB experimental platform. (b) RT-LAB in-the-loop system configuration.

time. To obtain better control performance, the two zero VVs ( $v_0$  and  $v_7$ ) can be made to act for equal time, and the updated three-phase duty cycles are shown below:

$$\begin{cases} d_{a5} = d_{a4} + [(1 - d_{sh} - \max(d_{a4}, d_{b4}, d_{c4})]/2 \\ d_{b5} = d_{b4} + [(1 - d_{sh} - \max(d_{a4}, d_{b4}, d_{c4})]/2 \\ d_{c5} = d_{c4} + [(1 - d_{sh} - \max(d_{a4}, d_{b4}, d_{c4})]/2 \end{cases} \quad (29)$$

In Section 4.2,  $d_{sh}$  has been separated in preparation for vector modulation. In the proposed strategy, the ST VVs are inserted into the NST VVs by adding  $d_{sh}$  to three-phase duty cycle. The duty cycle of the three-phase upper and lower bridge arms is adjusted as follows:

$$\begin{cases} d_{XH} = d_X \\ d_{XL} = d_X \\ d_{YH} = d_Y + d_{sh} \\ d_{YL} = d_Y \\ d_{ZH} = d_Z + d_{sh} \\ d_{ZL} = d_Z + d_{sh} \end{cases} \quad (30)$$

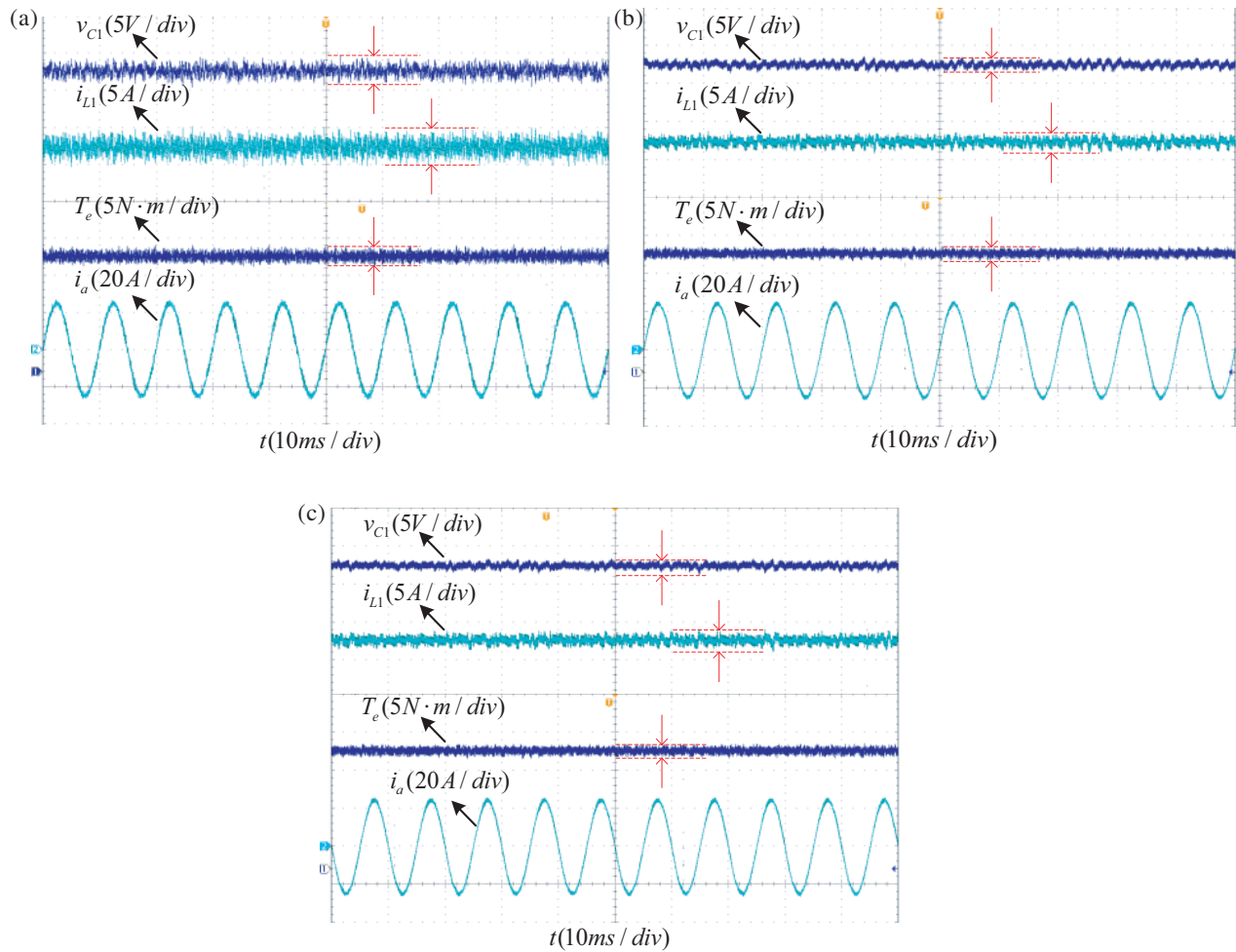
where  $d_X$ ,  $d_Y$ , and  $d_Z$  still satisfy  $d_X < d_Y < d_Z$ . The subscript  $H$  indicates the upper bridge arm of the inverter bridge, and the subscript  $L$  indicates the lower bridge arm of the inverter bridge.

Taking  $d_{a5} > d_{b5} > d_{c5}$  as an example, the output voltage of this control cycle is in the first sector. The drive pulses are generated by the joint work of zero VVs  $v_0$  and  $v_7$ , the effective VVs  $v_1$  and  $v_2$ , and the single-phase ST VV  $v_{st}$ , as shown in Fig. 5.

## 5. EXPERIMENTAL VERIFICATION

In order to demonstrate the effectiveness and superiority of the proposed TDCM-MPC strategy, an experimental model is constructed and validated on an RT-LAB semi-physical experimental platform. The RT-LAB experimental platform and in-the-loop system configuration are shown in Fig. 6, where the digital signal processing (DSP) adopts TMS320F2812. The parameters of QZSI-PMSM system are shown in Table 2. The  $K_p$  and  $K_i$  of the PMSM speed control loop are set to 12 and 200, respectively, while those of the QZSI capacitive voltage control loop are set to 0.95 and 50, respectively. The weight coefficients in (11) are set to 188, 1, and 0.12, respectively, which are obtained through repeated experiments. In addition, the proposed TDCM-MPC strategy keeps the number of switching times unchanged at 6 times in one control cycle, while the conventional FCS-MPC strategy has switching times ranging from 0 to 2 in one control cycle and needs to be switched to





**FIGURE 7.** The experimental waveforms of steady-state under different strategies. (a) FCS-MPC strategy. (b) TDCM-MPC strategy. (c) TDCM-MPC strategy (without secondary correction).

**TABLE 2.** Parameters of QZSI and PMSM.

Parameter	Value	Unit
Number of pole pairs	4	/
Stator resistance	0.15	$\Omega$
Stator inductance	1.625	mH
Permanent magnet flux	0.1	Wb
Moment of inertia	4.78	$g \cdot cm^2$
Rated speed	2000	r/min
Rated torque	15	N·m
Z-Source Capacitor	470	$\mu F$
Z-Source Inductor	3	mH
DC power supply	180	V

three-phase ST state frequently. In order to make the average switching frequency of the two control strategies in the experiment basically the same, the control period of the TDCM-MPC strategy is set to 100  $\mu s$  while the control period of the FCS-MPC strategy is set to 21  $\mu s$ .

### 5.1. Comparative Experiments on Steady-state Effects

The selection of correction threshold  $\xi$  and correction ratio  $D$  depends on the operating conditions of QZSI-PMSM system (including load torque, motor speed, and capacitor voltage reference). In the experiments of this paper, they are set to 0.4 and 0.15, respectively. The values are obtained by repeated experiments, which can compare the effects of proposed strategy clearly and explicitly.

In the first experiment, the reference value of  $v_{C1}$  is given as 240 V. The operation of QZSI-PMSM is tested at a working condition of 1500 r/min speed with 15 N·m load torque. The steady-state experimental waveforms for both control strategies are presented in Fig. 7. It can be observed from Fig. 7(a) that there are large fluctuations in the inductor current, capacitor voltage, and torque when the FCS-MPC strategy is adopted. The control effect is significantly optimized by the proposed TDCM-MPC strategy with basically the same switching frequency. The superiority of proposed TDCM-MPC strategy is more visually demonstrated in Table 3.

The experimental results of the TDCM-MPC strategy when  $D$  is taken as 0 are also shown in Table 3 and Fig. 7(c). At this time, the control system omits the action of secondary correc-

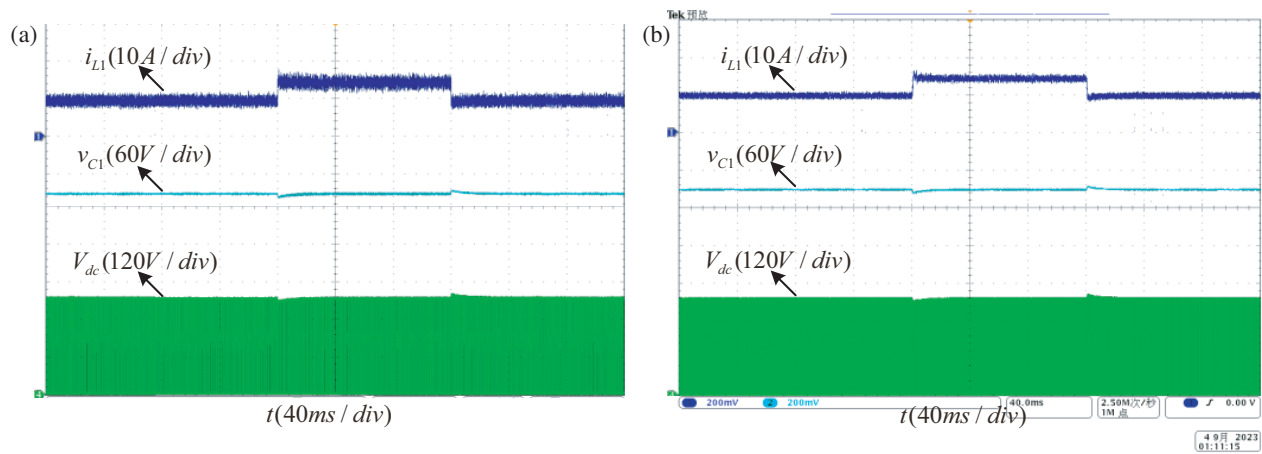


FIGURE 8. The experimental waveforms of QZSI at variable load torque. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.

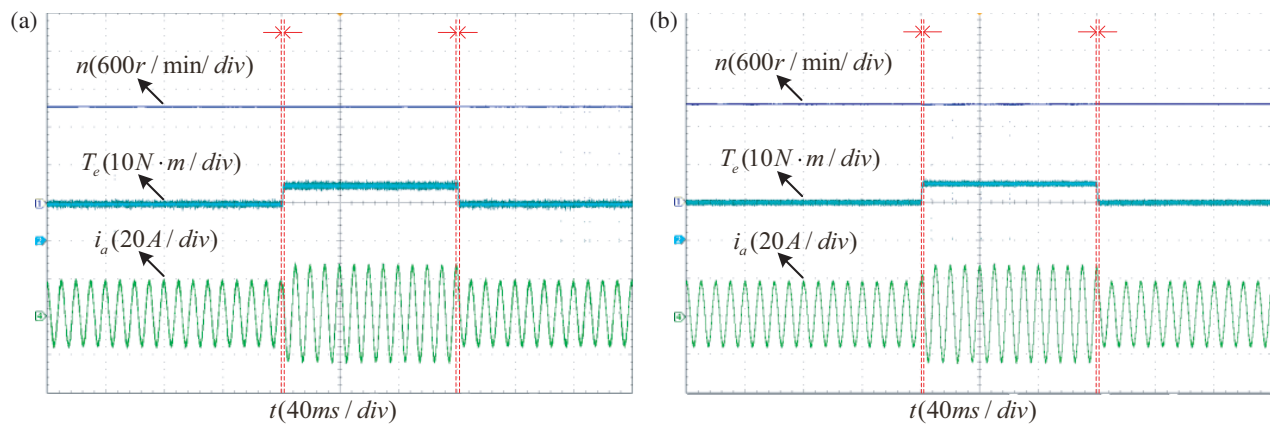


FIGURE 9. The experimental waveforms of PMSM at variable load torque. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.

TABLE 3. Steady-state experimental results of multiple control variables.

control variables	7(a)	7(b)	optimisation rate (%)	7(c)
$\Delta v_{C1}$ (V)	3.91	2.01	48.59	2.17
$\Delta i_{L1}$ (A)	4.98	2.66	46.58	2.78
$\Delta T_e$ (N·m)	2.59	2.05	20.85	1.95
$i_a$ THD(%)	2.87	2.11	26.48	2.04

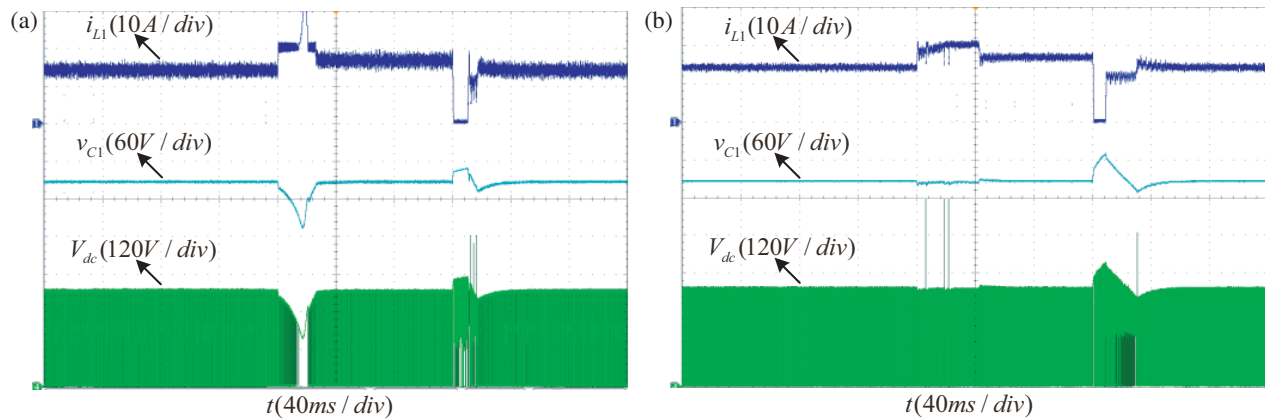
tion. Comparing Fig. 7(b) with Fig. 7(c), it can be seen that when the secondary correction is applied to the control system, the steady-state ripples of  $v_{C1}$  and  $i_{L1}$  decrease while the steady-state ripple of torque and the THD of  $i_a$  increase. At this point, the controllable variables expand from three ( $i_{L1}$ ,  $i_d$ , and  $i_q$ ) to four ( $v_{C1}$ ,  $i_{L1}$ ,  $i_d$ , and  $i_q$ ), which is consistent with the theoretical analysis in Section 4.3. Therefore, the correctness of the system of equations demonstrated in (22) is proved, and the overall control of four control variables can be realized in the proposed TDCM-MPC strategy.

### 5.2. Comparative Experiments on Dynamic Effects

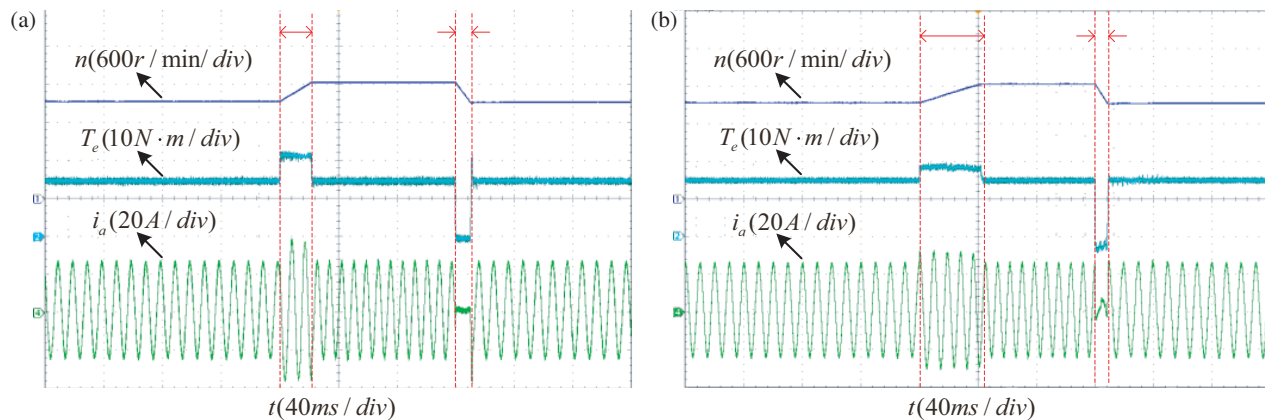
After comparing the steady-state performances of both control strategies, their dynamic performances are compared when the

load torque changes. The reference value of  $v_{C1}$  is set to 240 V, the reference value of motor speed set to 1500 r/min, and the motor load is sequentially stepped from 10 N·m to 15 N·m and from 15 N·m to 10 N·m. It can be seen from Fig. 9 that the proposed TDCM-MPC strategy can obtain similar dynamic performance to the conventional FCS-MPC. In particular, when the torque is stepped from 10 N·m to 15 N·m, both strategies can quickly track its reference value in about 2.0 ms, and when the torque is stepped from 15 N·m to 10 N·m, both strategies can quickly track its reference value in about 2.4 ms. It can be seen from Fig. 8 that the dynamic response for  $i_{L1}$  of QZSI is consistent with the motor load under both control strategies.

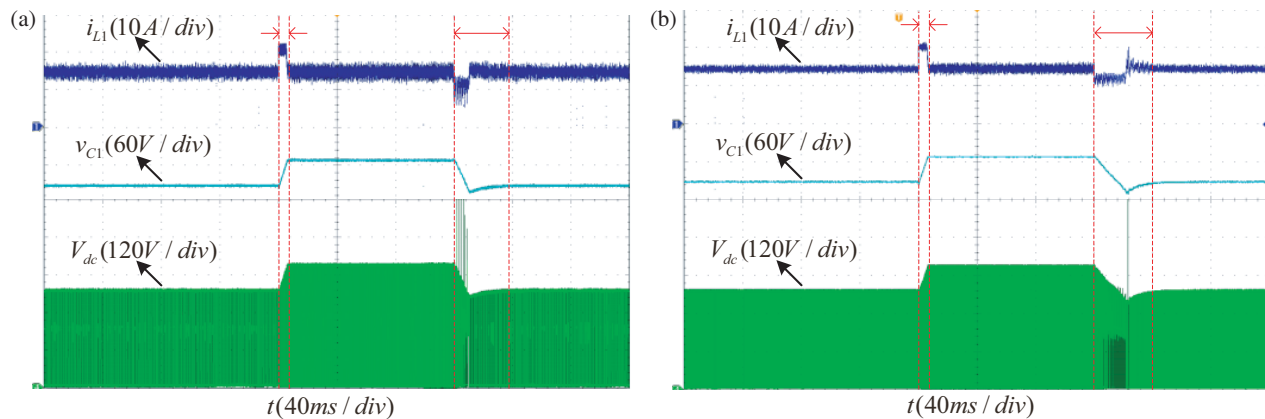
In addition, the dynamic responses of both strategies are compared when motor speed changes. The reference value of  $v_{C1}$  is set to 240 V, the motor load kept constant at 15 N·m, and the reference value of motor speed is sequentially increased from 1500 r/min to 1800 r/min and decreased from 1800 r/min to 1500 r/min. It can be seen from Fig. 11 that there is a slight difference in the dynamic performance of both control strategies. When the reference value of motor speed is increased from 1500 r/min to 1800 r/min, the response time of FCS-MPC is about 22 ms, while the response time of TDCM-MPC is about 40 ms. When the reference value of motor speed decreases from 1800 r/min to 1500 r/min, the response time of FCS-MPC



**FIGURE 10.** The experimental waveforms of QZSI at variable speed. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.



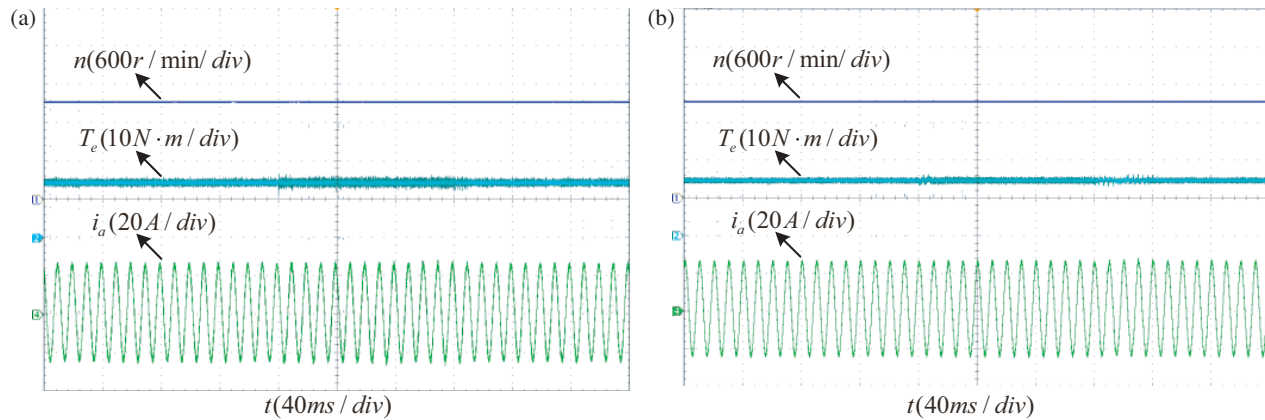
**FIGURE 11.** The experimental waveforms of PMSM at variable speed. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.



**FIGURE 12.** The experimental waveforms of QZSI at variable DC bus voltage. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.

is about 11.4 ms, while the response time of TDCM-MPC is about 9.5 ms. This is due to the different basic logics of the two algorithms, as shown in Fig. 10, when the motor speed increases, the capacitor voltage under the FCS-MPC strategy will deviate from the reference value to a large extent to speed up the dynamic response to the motor speed, whereas the capacitor voltage under the proposed TDCM-MPC strategy will

strictly follow its reference value, which will result in a slower dynamic response of the system to the motor speed. In this case, a large transient value of inductor current is present as shown in Fig. 10(a). This situation may cause damage to the inductor in actual application, which is another drawback of the conventional strategy. On the contrary, the proposed TDCM-MPC



**FIGURE 13.** The experimental waveforms of PMSM at variable DC bus voltage. (a) FCS-MPC strategy. (b) TDCM-MPC strategy.

strategy is able to avoid such problems thanks to its duty cycle correction.

Finally, the dynamic responses of both strategies are compared when DC bus voltage changes. The motor load is kept constant at  $15 \text{ N} \cdot \text{m}$ ; the reference value of the motor speed is kept constant at  $1500 \text{ r/min}$ ; the reference value of  $v_{C1}$  rises from  $240 \text{ V}$  to  $280 \text{ V}$  and decreases from  $280 \text{ V}$  to  $240 \text{ V}$ ; and the corresponding DC bus voltage at the output of the inverter increases from  $300 \text{ V}$  to  $380 \text{ V}$  and decreases from  $380 \text{ V}$  to  $300 \text{ V}$ . It can be seen from Fig. 12 that the proposed TDCM-MPC strategy can obtain similar dynamic performance to the conventional FCS-MPC. In particular, when the DC bus voltage increases from  $300 \text{ V}$  to  $380 \text{ V}$ , both strategies can quickly track their reference values in about  $7 \text{ ms}$ , and when the torque decreases from  $380 \text{ V}$  to  $300 \text{ V}$ , both strategies can quickly track their reference values in about  $39 \text{ ms}$ . It can be seen from Fig. 13 that the motor-side control variables under both control strategies have a slight dynamic response only at the moment when the DC bus voltage changes.

The results of the above experiments are summarized in detail in Table 4.

**TABLE 4.** The response time of both strategies under different dynamic variations.

Dynamic changes	FCS-MPC	TDCM-MPC
$T_e$ ( $10 \rightarrow 15 \text{ N} \cdot \text{m}$ )	2.05 ms	1.92 ms
$T_e$ ( $15 \rightarrow 10 \text{ N} \cdot \text{m}$ )	2.44 ms	2.33 ms
$n$ ( $1500 \rightarrow 1800 \text{ r/min}$ )	21.95 ms	40.27 ms
$n$ ( $1800 \rightarrow 1500 \text{ r/min}$ )	11.37 ms	9.49 ms
$v_{C1}$ ( $240 \rightarrow 280 \text{ V}$ )	7.05 ms	7.26 ms
$v_{C1}$ ( $280 \rightarrow 240 \text{ V}$ )	37.51 ms	39.89 ms

Different control strategies are summarized in Table 5. It can be seen that the switching frequencies of the two control strategies are almost the same, and the proposed strategy has a shorter code execution time and fewer predictions. In addition, the construction of cost function and the design of weighting coefficients are not required in the proposed strategy.

**TABLE 5.** The summary of different strategies.

Control strategies	FCS-MPC	TDCM-MPC
Code execution time	0.053 ms	0.044 ms
Switching frequency	10.12 kHz	10 kHz
prediction times	8	1
weighting coefficients	3	/

## 6. CONCLUSION

To reduce the computational effort of FCS-MPC strategy and realize the overall control of all control variables in QZSI-PMSM system, the TDCM-MPC strategy without cost function is proposed. It can be concluded that the proposed method has the following advantages verified by theoretical analysis and experiments:

1) Multiple NST VVs and ST VV are employed to act alternately to generate the driving pulses in one control cycle. Compared with the conventional FCS-MPC method while keeping the switching frequencies approximately equal, the steady-state ripple of  $v_{C1}$ ,  $i_{L1}$ ,  $T_e$  and THD of  $i_a$  can be significantly reduced: in our tests, we obtain reduction by 20% up to 50%.

2) The three-phase duty cycles are calculated directly without constructing a cost function to search for optimization. Compared with the conventional FCS-MPC method, the prediction times are reduced from eight to one, and the code execution time is reduced by 17%.

3) The secondary correction is able to reduce the ripple of  $v_{C1}$ , which proves that the overall control of four control variables can be realized in proposed TDCM-MPC strategy.

## ACKNOWLEDGEMENT

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