

Control Signals for NOMA-VLC Systems

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ABSTRACT: In wireless communication systems, a control signal (CS) plays a vital role in managing the connection between transmitters (Tx) and the user equipment (UEs). This work presents CSs for non-orthogonal multiple access (NOMA)-based on visible light communication (VLC) systems. Moreover, pairing schemes, successive interference cancellation (SIC), and load balancing are considered with the NOMA-VLC technique for enhancing the entire performance. The CSs, which are single tones or can be described as unmodulated signals, are exploited to estimate the channel between Tx and UEs, and to evaluate the amount of interference at each UE. Thus, a controller, which is employed to manage the connections between Tx and UEs, can balance the load between Tx based on the level of interference at each UE. Each Tx is allocated a unique CS, i.e., a single-tone frequency. A power measurement unit (PMU) is utilized at each UE for measuring the power of each CS. Therefore, the controller divides the UEs into small groups based on the feedback signals from the PMU, then each group is connected to one Tx. Besides, CSs are used to find the optimum number of UEs that can be served by each Tx with a particular data rate of 50 Mbps and with an acceptable error probability of 10^{-6} , by utilizing on-off keying (OOK) modulation scheme.

1. INTRODUCTION

In visible light communication (VLC) systems, numerous transmitters (Tx) with small coverage areas can be employed to attain illumination at an acceptable level. For wireless communication, Tx with small coverage areas increase the capacity of wireless systems and reduce path losses. However, supporting multi-users simultaneously may add more complexity related to signal processing and managing the transmission. Thus, a suitable multiple access scheme is required especially when the area between the Tx is limited. In this context, non-orthogonal multiple access (NOMA) is proposed for indoor multiple access of visible light communication (VLC) systems [1, 2]. NOMA is one of the promising multiplexing mechanisms for the next generation of wireless communications. This technique has witnessed lots of interest due to its ability to enhance the spectral efficiency significantly compared to the conventional orthogonal multiplexing access (OMA). NOMA can also provide massive connectivity and satisfy user fairness for future wireless communications. By utilizing this multiplexing method, the signals of multiple users are superposed in the power domain (PD) at the transmitter, while demultiplexing is achieved at each user equipment (UE) to detect its signal. In a wireless system that uses optical communication, power allocation factors (PAFs) are provided to each UE before multiplexing in the power domain, in which the UEs with weak channel circumstances are allocated higher portions of the available transmitter power. Successive interference cancellation (SIC) is required for disassembling the multiplexed signals. It is noteworthy that the highest order UE, i.e., the UE that allocated highest PAF, does not need SIC at its optical receiver

by considering the other superposed signal as just an additive noise [1, 3]. In the literature on visible optical wireless communication with NOMA, the latter has been used with reconfigurable intelligent surfaces (RISs) to improve the capacity of VLC systems [4]. The angle diversity receiver is investigated for VLC in [5] for the sake of obtaining higher throughput, in which an enhancement of 35% of the data rate is achieved compared to VLC systems that use traditional wide field of view (FOV) detectors. In [6], the cooperative power domain NOMA is suggested for downlink VLC, where the achievable rates and error probabilities are derived in the presence of cooperative and noncooperative PD-NOMA by assuming the availability of perfect channel state information (CSI). In [7], NOMA is proposed for indoor VLC and compared with conventional orthogonal frequency division multiple access (OFDMA). The proposed system of NOMA-VLC outperforms the OFDMA system under the same condition, with considerable complexity of the receiving circuit noticed for VLC. In these contexts, the main strategies of PAF and pairing algorithms are introduced in [8] for NOMA-based VLC systems, in which different performance metrics are taken into account between these strategies and algorithms. In the literature, CSs have been used as adaptation methods to reduce the amount of interference at each UE [9]. In [10], CSs have been proposed with a differential receiver to enhance the performance of the wavelength division multiplexing (WDM) based on VLC systems. Additionally, CSs have been proposed and applied for handover mechanisms in indoor VLC systems [11]. The amount of crosstalk that has been noticed by each channel of dense WDM optical wireless communication systems was reduced significantly by using the CSs [11]. The main contribution of this work can be summarized as the proposal of CSs to measure the channel circumstance of each link between all Tx and UEs, in which

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the states of these channels are used for coupling a group of UEs with a Tx via applying a pairing algorithm. Furthermore, mechanisms, such as SIC and load balancing, are utilized with NOMA-VLC systems for managing the interference and enhancing the overall performance. To the best of our knowledge, this is the first time that CSs are employed with NOMA-VLC systems for this purpose, in which each Tx is allocated a unique CS, i.e., single tone or can be named unmodulated signal. These single-tone signals can be used in VLC systems for numerous purposes. Here, CSs are proposed to i) identify each Tx, ii) estimate the channel between each Tx and its related UEs, and iii) find the amount of interference that can be noticed by each UE. The total output power from each Tx is divided between the data signal and the CS. Besides, at each UE, a power measurement unit (PMU) is used to measure the amount of the received power of each CS. Therefore, the channel between any UE and a Tx is required to be estimated accurately to achieve this mission. Consequently, UEs are divided into many small groups, and each group is related to one Tx. Moreover, by measuring the received power of a CS at each UE, the amount of interference can be estimated, and the SIC can be enabled to extract the desired power of each UE. Besides that, CSs are proposed to distribute the load between Txs to attain the required data rates with high link performance.

The paper is organized as follows. Section 2 describes the proposed VLC system. Section 3 presents and discusses simulation results. Finally, Section 4 closes the paper with some conclusions.

2. SYSTEM DESCRIPTION

In this work, an empty room that has a dimension of 6 m × 6 m × 3 m (length × width × height) with no furniture, doors, or windows is proposed to model our system. The system comprises four Txs that are located at (2 m, 2 m, 3 m), (2 m, 4 m, 3 m), (4 m, 2 m, 3 m), and (4 m, 4 m, 3 m) as shown in Fig. 1. To model the indoor channel, we use the ray tracing algorithm similar to the one in [12–14]. Each Tx has 16 light diodes (LDs), i.e., (4 × 4), and the output power of each LD is 1.9 W [15]. Moreover, the distance between LDs in a Tx is 0.1 cm. Two

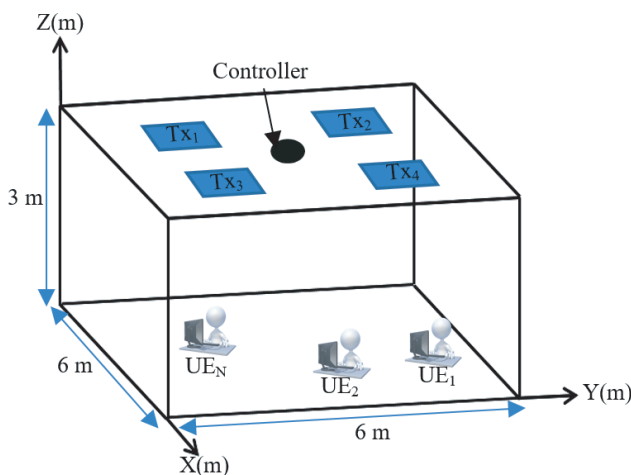


FIGURE 1. Room construction.

signals are transmitted from each Tx, which are the data signal and CS. To calculate the power of each CS at a particular UE, each single UE is equipped with some band-pass filters (BPFs) that equal the number of the Txs (here, each UE has four BPFs). Furthermore, each BPF has a center frequency that is equal to the frequency of each CS in a Tx. A PMU is employed in each UE to capture the output of each BPF and calculate the power of the CSs in each UE as depicted in Fig. 2. A low pass filter (LPF) is also utilized in each UE to capture the data signal as shown in Fig. 2.

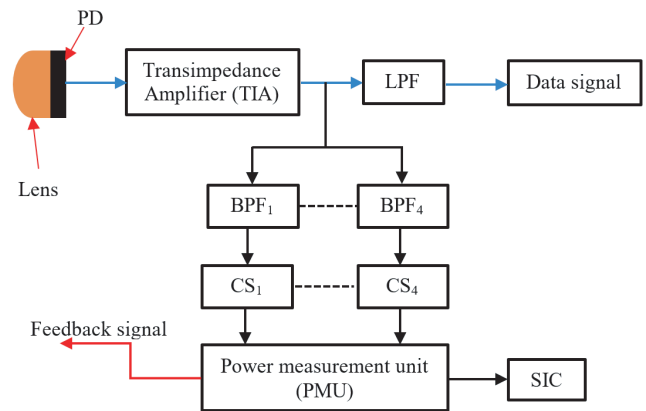


FIGURE 2. UE's receiver configuration.

Each Tx is given a unique CS, which is used for estimating the channels. Each UE has several BPFs with center frequencies equal to the frequency of the CS in each Tx. The total input current, I_{Tx} , at each Tx can be expressed as:

$$I_{Tx} = k_1 I_{DS} + k_2 I_{CS}, \quad (1)$$

where I_{DS} denotes the current of the data signal, and I_{CS} is the current of the CS. Additionally, k_1 and k_2 are the power coefficient factors of the data signal and the CS in each Tx, respectively, with $(k_1 + k_2 = 1)$. Therefore, the total output optical power from each Tx, P_{Tx} , is divided between the information signal and CS, and it is given as:

$$P_{Tx} = k_1 P_{Tx} + k_2 P_{Tx}, \quad (2)$$

It is noteworthy that the CS is a cosine signal that can be generated by using a local oscillator, and it is given as $CS(t) = A \cos(\omega t)$, where A is the peak amplitude of the CS, and ω is the radian frequency of the control signal ($\omega = 2\pi f$). We emphasize that each Tx is given a unique frequency. The parameters that are used in this work are summarized in Table 1.

2.1. Control Signals for Pairing Schemes

As mentioned earlier in this section, each Tx is equipped with a unique CS that is employed to estimate the channel between any UE and the available Txs. At each UE, a PMU is installed to estimate the power of each CS, as shown in Fig. 2. The electrical output power from the k_{th} BPF at the n_{th} UE, $P_{CS_{kn}}$ can be given as:

$$P_{CS_{kn}} = \frac{(Rk_2 P_{Tx} h_{kn})^2}{2}, \quad (3)$$

TABLE 1. System parameters.

Parameters	Values
Room size (length, width, height)	6 m × 6 m × 3 m
Reflection constant of walls	0.8 [16]
Emission order of the walls	1 [16]
Number of Tx	4
Number of LDs/Txs	16 (4 × 4)
The optical power of each LD	1.9 W [15]
LD center luminous intensity	162 cd [15]
LD half-power semi-angle	60°
Field of view of the photodiode	90°
Photodiode's area	5 mm ²
Photodiode's responsivity	0.4 A/W [17]
Receiver's bandwidth	0.6 GHz [18]
Thermal noise current density	4.5 pA/√Hz [19]
Ambient noise photocurrent	10 ⁻³ A/cm ² [20]
Locations of Tx	(2 m, 2 m, 3 m) (2 m, 4 m, 3 m) (4 m, 2 m, 3 m) (4 m, 4 m, 3 m)

where h_{kn} denotes the channel gain between k_{th} Tx and n_{th} UE, while R represents the responsivity of the photodiode. It is worth noting that we consider scenarios that include transmission of signal with line-of-sight (LoS) and non-LoS (NLOS) components (up to 3rd-order reflections) to find h_{kn} and to evaluate h_{kn} similar to [13, 14]. Consequently, the carrier-to-noise ratio (CNR) of each CS at each UE, which can be denoted as $\Upsilon_{CS_{kn}}$, can be expressed as:

$$\Upsilon_{CS_{kn}} = \frac{P_{CS_{kn}}}{\sigma_{CS}^2} = \frac{(Rk_2 P_{Tx} h_{kn})^2}{2(\sigma_{CS_{th}}^2 + \sigma_{CS_{bn}}^2)}, \quad (4)$$

where σ_{CS} is the total noise measured by the CS, while $\sigma_{CS_{th}}$ is the thermal noise of the pre-amplifier, and $\sigma_{CS_{bn}}$ is the background noise, which can be calculated as in [20]. Therefore, the \mathbf{H} matrix is formed by the $\Upsilon_{CS_{kn}}$ as:

$$\mathbf{H} = \begin{bmatrix} \Upsilon_{CS_{11}} & \dots & \Upsilon_{CS_{1N}} \\ \vdots & \dots & \vdots \\ \Upsilon_{CS_{k41n}} & \dots & \Upsilon_{CS_{4N}} \end{bmatrix}. \quad (5)$$

Furthermore, a feedback signal is sent from the PMU to the controller to inform the latter of all the measured values of the elements of \mathbf{H} , i.e., $\Upsilon_{CS_{kn}}$, that are measured at each UE for the transmitted signal from each Tx.

Hence, the controller acquires information about the channel between Tx and UEs. Based on the values of the elements in the \mathbf{H} matrix at each UE, the controller divides the UEs into four groups, as there are four Tx in our proposed room, and each group is associated with one Tx. Additionally, the controller sorts the UEs in ascending order in each group based on their level of CNR. It is noteworthy that in some locations UEs may receive the same CNR from two or more Tx. In this case, the controller assigns one Tx to this UE based on the number of UEs

in each Tx (load balancing) as will be explained and discussed later. Once the controller divides the UEs into groups, each UE is paired with its corresponding UE in the same group. Several pairing algorithms can be used in NOMA-VLC systems. In this work, we assume the pairing algorithm that has been proposed in [21] due to its simplicity, and in this work, we are focusing in CSs in NOMA transmission.

2.2. Control Signals for SIC

In NOMA, SIC plays a significant role in reducing the amount of interference at the UEs to an acceptable level. This technique decodes the signal of the strong user, then subtract it from the entire NOMA signal to detect the weak user's signal. As each UE is given a specific portion of the total power of the Tx (the closest UE to the Tx is given a lower power than the furthest UE to the Tx), the SIC should be operated at the closest UE to detect its signal. For a scenario that assumes two UEs, the SIC is used only at the closest UE to eliminate the signal of the furthest UE, which is considered an interference signal at this user terminal. The first phase of SIC is started by detecting the signal of the furthest UE, i.e., the UE which has been allocated more portion of the available power. In the second phase, the detected signal of the furthest UE is subtracted from the entire received NOMA signal at the next order UE, i.e., the UE with the lower portion of the power next to the furthest UE. After this subtraction process is accomplished, the signal can be detected by the UE of interest after removing the interference caused by the superposed NOMA signal. It is noteworthy that this process is repeated at each UE's terminal, except the furthest UE, by removing the interfered signal of the higher order UE and considering the signal of the lower signal UE as just an additive noise. Once the electrical received power of each CS is measured at each UE by PMU, the PMU sends a feedback signal to the SIC block (see Fig. 2), which enables the controller to measure the level of interference at the nearest UE. However, the PMU is used to calculate the power of each CS from each Tx. Thus, it is very important to find the power of the data signals from the CS. The electrical power of the data signal at any UE due to any Tx, $P_{DS_{kn}}$, is given as:

$$P_{DS_{kn}} = [R(1 - k_2)P_{Tx}h_{kn}]^2, \quad (6)$$

Dividing (6) by (3) the electrical power of the data can be related to the electrical power of the CS as:

$$P_{DS_{kn}} = 2 \left(\frac{1 - k_2}{k_2} \right)^2 P_{CS_{kn}}. \quad (7)$$

Thus, the amount of assigned power for each UE in each group will be known at the SIC block in each UE. This enables the SIC to estimate the total interference at each UE correctly. The total interference at any UE from its associated Tx, I_{TS} , can be given as:

$$I_{TS}(k) = \sum_{n=1}^{S-1} P_{DS_{kn}} = 2 \left(\frac{1 - k_2}{k_2} \right)^2 \sum_{n=1}^{S-1} P_{CS_{kn}}, \quad (8)$$

where the subscript S refers to the number of UEs in each group. It is worth knowing that each Tx is used to serve its associated

group. In addition, the pairing algorithm is applied to reduce the complexity of the system, so we can deal with small groups of two UEs. However, we take into account the effect of all interference from all UEs in the same Tx. It should be noted that due to using NOMA, each UE is given a level of power in the power domain. It means that the signal of each UE appears as interference to other UE.

2.3. Control Signals for Load Balancing

As mentioned earlier, UEs are divided into four groups (as we have four Txs), and each group is served by its associated Tx. In addition, the controller ascends in order the UEs in each group based on the channel estimation from the CSs. Hence, each UE in each group is assigned a part of the total power of its associated Tx (the closest UE is given the lowest power, while the furthest one is given the highest power). The performance of each UE is estimated by the signal-to-interference-plus-noise ratio (SINR) or the signal-to-noise ratio (SNR) depending on whether it needs to apply SIC or not, respectively. If the assigned power of $UE_S > UE_{S-1} > \dots > UE_1$, UE1 is the closest one to its Tx. The SNR for the S_{th} user is given as:

$$SNR_S = \frac{2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_S}}{\sigma_{DS_S}^2}, \quad (9)$$

while the SINR of the i_{th} user can be expressed as

$$SINR_i = \frac{2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_i}}{\sum_{l=1, l \neq i}^{S-1} 2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_l} + \sigma_{DS_S}^2}, \quad (10)$$

where σ_{DS} is the standard deviation of the total noise that affects the data signal. In this work, we consider two types of noises, thermal noise and background noise. For the thermal noise, we assumed the receiver that has been presented in [19] which has a noise current of $(4.5 \text{ pA})/\sqrt{\text{Hz}}$. It is worth knowing that to find σ_{DS} , we consider the receiver bandwidth, while to find σ_{CS} we used the BPFs' bandwidth. Consequently, the total achievable throughput (R_T) of each Tx can be given as:

$$R_T = BW_{DS} \left(\sum_{i=1}^{S-1} \log_2(1 + SINR_i) + \log_2(1 + SNR_i) \right), \quad (11)$$

where BW_{DS} is the bandwidth of the data signal.

In this work, the OOK scheme is used. Thus, the bit error rate (BER) of each UE can be calculated as:

$$BER_S = Q \left(\frac{2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_S}}{\sigma_{DS_S}^2} \right), \quad (12)$$

$$BER_i = Q \left(\frac{2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_i}}{\sum_{l=1, l \neq i}^{S-1} 2 \left(\frac{1-k_2}{k_2} \right)^2 P_{CS_l} + \sigma_{DS_S}^2} \right) \quad (13)$$

where $Q(z) = \frac{1}{\sqrt{2\pi}} \int_z^\infty e^{-\frac{y^2}{2}} dy$ represents the Q-function. In this work, we assume that each UE is served with no more than 10^{-6} of an acceptable BER. Thus, the controller observes the performance of each UE in a particular group, and if any UE has a BER worse than 10^{-6} , the controller changes the Tx of this UE. This process can be achieved due to the large coverage area of the proposed Tx that can serve any UE in this room. In more detail, the semi-angle of each Tx was 60° , which makes each Tx cover an area with a radius equal to $2 \times \tan(60^\circ)$ as the communication floor of the UE is assumed equal to 1 m. Consequently, the overlap area between Txs is high as shown in Fig. 3. It should be noted that the overlap area between Txs is designed to be large enough to attain an acceptable lighting level in the proposed room. Hence, if any UE is positioned in the overlapping area between Txs, the controller can move it to any Tx that has a few UEs to achieve load balancing between Txs.

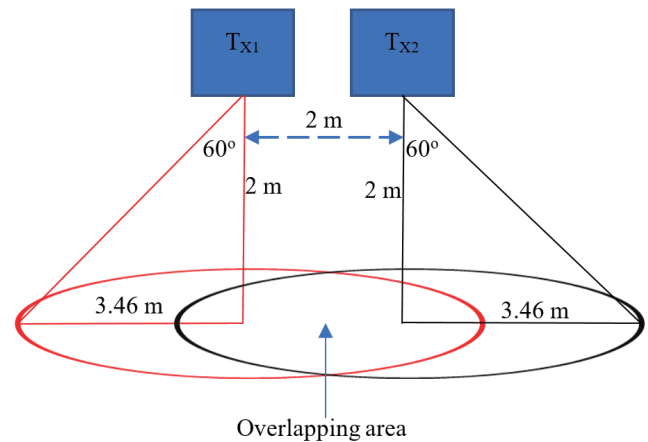


FIGURE 3. Coverage area of each Tx.

3. SIMULATION RESULTS AND DISCUSSION

In this paper, the total bandwidth (BW) of the system is split between data signals and CSs. It should be noticed that the BW of the VLC systems can be limited by the BW of Tx, the BW of UE, or the BW of the channel. However, an LD is used in this work, which has a high BW. In addition, the size of the photodiode is selected to be 5 mm^2 , which enables the photodiode to work at BW up to 0.61 GHz. Relationships between the area of the photodiode and its BW can be found in [18]. Therefore, we consider that the channel BW is the system's BW in this work. This is due to the BW of the channel having a lower value than the BW of the LD and the BW of the photodiode. Fig. 4 depicts the channel BW of a UE while this UE was located at the room corner (0.5 m, 0.5 m, 1 m) and related to Tx1. This location was selected as the UE suffers from high multipath (worst-case scenario), which leads to reducing the channel BW. It should be noted that to obtain the channel BW of our proposed system, the impulse response is obtained by utilizing the approach used in [14]. At each location of the UE, we obtain the impulse response and then find the channel BW. As can be seen from Fig. 4, the channel BW of this UE is 150 MHz. Thus, we consider this BW to be the system's BW. The total BW of the indoor

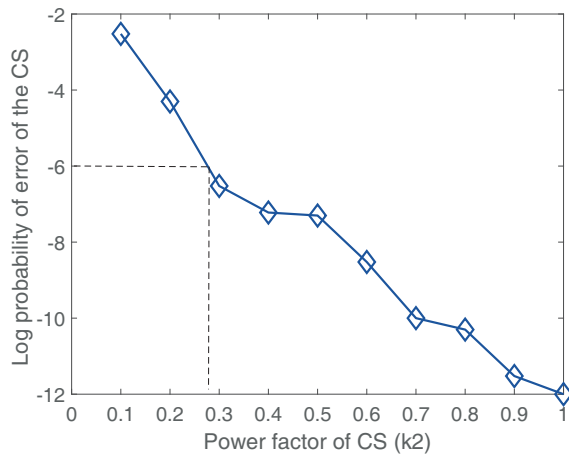


FIGURE 5. Log of the probability of error of the CS versus the power factor (k_2).

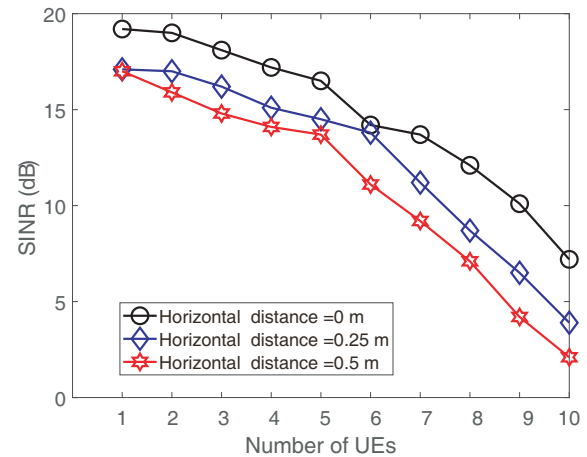


FIGURE 6. Effect of the number of UE on the SINR.

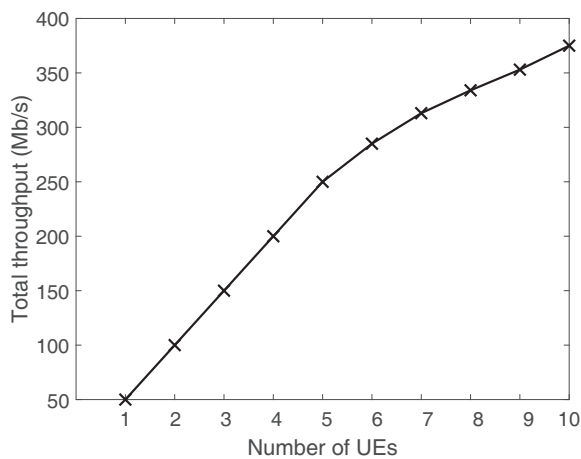


FIGURE 7. The total throughput of Tx1 versus the number of UEs.

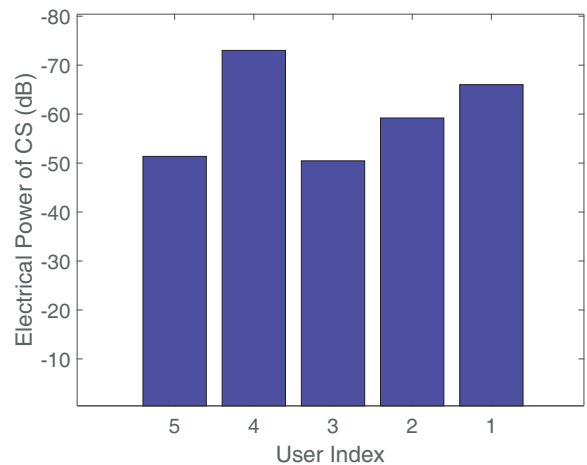


FIGURE 8. The electrical received power of the CS in the coverage area of Tx1.

each UE can be served with a data rate of 50 Mbps and BER not more than 10^{-6} . It should be noticed that in this work, we assume that there is no chance for any two UEs to be located at the same place, and the minimum distance between UEs is equal to 0.3 m. At each UE, the PMU calculates the electrical power of the CS that is related to Tx1 and informs the controller of the amount of electrical power of each UE. It is important to mention that Fig. 8 is obtained for one realization, i.e., the locations of all UEs are fixed during one run of the simulation.

4. CONCLUSIONS

In this paper, CSs are proposed for pairing algorithms, SIC, and load balancing for NOMA-VLC systems. The controller has been employed to manage the connection between Tx and UEs in this work. Each Tx has been given one unique CS, which was utilized to identify the Tx, find the associated UEs for each Tx, and measure the interference at each UE. Thus, each Tx sends two signals simultaneously, the data signal and the CS. At each UE, the PMU was used to calculate the power of each CS. Therefore, based on this measurement, the controller divided

the UEs into small groups, and each group has been served by one Tx. Besides, each Tx divided its data signal between the associated UEs based on the feedback signal from the PMU. Moreover, the PMU informed the SIC block of the amount of interference that was evaluated by each UE. In this paper, each UE was served with a data rate of 50 Mbps and BER not more than 10^{-6} while the OOK scheme was utilized. Hence, the controller distributed the UEs based on this communication performance. The result showed that each Tx was able to serve up to 5 UEs with a data rate of 50 Mbps within the acceptable BER.

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