# A Volume-Surface Composite Scattering Model for Nonlinear Ocean Surface with Breaking Waves and Foam Layers under High Wind Conditions

# Xiaoxiao Zhang<sup>1, \*</sup>, Xiang Su<sup>2</sup>, and Zhensen Wu<sup>3</sup>

Abstract—Electromagnetic scattering from the sea surface is of great significance in ocean remote sensing especially under high wind conditions. A novel volume-surface composite scattering model of nonlinear rough sea surfaces with breaking waves and foam layers under high wind conditions is presented in this study. Based on the semi-deterministic facet scattering model (SDFSM), using a ray tracing method combined with impedance equivalent edge currents (RT-IEEC) and vector radiative transfer theory (VRT), the backscattering characteristics of the sea surface with breaking waves and foam layers are investigated. The crest- and static-foam coverage was introduced to determine the breaking point and foam coverage distribution. The dependence of the backscattering coefficient of the sea surface with and without breaking waves and foam layers on the incident angle, wind speed, and the polarization are discussed in detail. The results of numerical simulations are analyzed and compared with the measured data from the relevant references which verifies the validity of our volume-surface composite scattering model. The synthetic aperture radar (SAR) image simulations of the surface with and without the breaking waves and foam layers are compared, and the combined effects of the breaking waves and whitecaps are analyzed.

# 1. INTRODUCTION

The study of the electromagnetic scattering mechanism from the sea surface is of great significance in remote sensing systems and target recognition with complex background [1]. The research on the electromagnetic scattering model of the sea surface can not only help to estimate and optimize the target recognition algorithm, but also be used to supplement experimental data [2–4]. The high-resolution radar characteristics of the sea surface under high wind conditions at low grazing angles (LGA) have been a point of discussions and in-depth analysis in recent years [5–8]. Under high wind conditions, the wave energy increases due to sea-air interactions, and wave breaking occurs. Wave breaking not only limits the height of surface waves by transferring momentum flux from waves to currents, but also generates marine aerosols and entrains bubbles that modify the emissivity and scattering properties of the ocean surface [9]. The extent of the illuminated area of the sea surface is very large at LGA, thus the irradiated region contains not only longer wavelengths of gravity waves and shorter wavelengths of tension waves, but also breaking waves, whitecaps, and other fine structures. All these waves and breaking events are reflected in the spatial variation of clutter returns. The classical composite scattering models tend to yield satisfactory results at high and medium grazing angles. However, the scattering mechanisms are much more complex at LGA, and the observed results deviate from the traditional scattering model.

In these situations, strong, target-like returns from the sea, which are also called sea spikes, are always present in the case of horizontal polarization due to the non-resonant backscatter caused by

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breaking waves and whitecap. However, they are sometimes absent in the case of vertical polarization due to the dominance of resonant scatter with this polarization. The spike amplitudes for the HH returns have much higher contrasts against their backgrounds with the largest peaks exceeding the corresponding VV returns [10]. The difference between HH and VV polarizations cannot be fully described in the framework of the resonant approach.

In the past decades, a considerable number of theoretical models have been constructed to elucidate and understand the scattering processes involved in such problems. To sum up, several physical scattering mechanisms have been proposed to explain the sea spike echoes. These include specular backscatter from the steep front of the breaking wave, backscatter enhancement due to multipath effects from the wave itself and from the foot of the breaking wave, Brewster damping of VV [11-13], diffraction from wedge features [14, 15], and reflection from foam layers [16, 17]. However, the attempts at theoretical modeling mentioned above, including numerical models and analytical models, have been carried out to describe the sea spike at LGA based on only some of these scattering components. Models proposed so far to calculate the scattering characteristics from the sea surface are unable to fully describe all the marine components contributions. Recently, several new models have been proposed for the scattering from the sea surface with breaking waves, but with no attention paid to the wedge diffraction or foam layer effects [18, 19]. In order to understand the issue more comprehensively, we need to solve two problems regarding the backscatter from surface with breaking waves and foam layers. (1) A method to determine the breaking point and the foam coverage distribution must be developed, and (2) an appropriate model for the breaking waves covered with foam layers on the upwind side must be selected, which can analytically obtain the dominant effects including specular scattering of the breaking front, multipath backscatter, wedge diffraction, and foam scattering, efficiently.

Waves break is a complex dynamic process. The LONGTANKE model [20] and other models [21, 22] based on hydrodynamic theory can describe the breaking wave shapes more precisely and are often used for calculating the scattering of individual breaking waves numerically. However, for large marine areas, a wedge-like model can analytically obtain the dominant effects efficiently. Thus, such a wedge-like model is still thought to be reasonable by many authors [14, 18, 23].

According to the shape and brightness of whitecap images obtained from airborne platform high resolution radar, Bondur and Sharkov [24] found that the whitecap formations can be divided into two types: (1) fresh dense foam patches from breaking waves (crests-foam) (2) low-reflectance residual foam layers (static-foam). The authors of [25, 26] suggested a model of the relationship between crest- and static-foam coverage, thickness, and wind speed that gives us the basis of the breaking point and foam coverage distribution.

In this study, a novel volume-surface composite scattering model is proposed to investigate the electromagnetic scattering properties of the sea surface with breaking waves and foam layers. This study is organized in the following order. Section 2 deals with the generation of a nonlinear Creamer II sea surface. The crest- and static-foam coverage and the thickness of the foam layers varied with the wind speed are presented in this section. The composite model of the nonlinear sea surface with breaking waves and foam layers is then established via a slope criterion. In Section 3, the volume-surface composite scattering model for electrically large sea surface with breaking waves and foam layers is introduced. In Section 4, the statistical behavior of the returns and the relationship of the spatial properties with both the incident angles and wind speeds for different polarizations from the sea surface with breaking waves and foam layers are presented. The validity of the model has also been proven against the measured data. SAR images of the nonlinear sea surface with and without consideration being paid to the breaking waves and foam layers are simulated and compared. Finally, in Section 5, a summarizing discussion is presented, and some further improvements of the model are addressed. These improvements will be investigated in greater depth in a future study.

# 2. GEOMETRIC MODEL OF THE SEA SURFACE WITH BREAKING WAVES AND FOAM

This section deals with the nonlinear Creamer-II rough sea surface with an Elfouhaily spectrum covered by foam layers and breaking waves by altering the facets located at the breaking points with a wedge-like model through a slope criterion. The probability density function (PDF) of steep slopes based on radar

scatterometric data [27] is introduced by the following formula to determine the slope distribution of the breaking waves.

$$P(z_r, 0) = 10^{-2.84 + 0.097u_{10} - 1.33z_x}$$
(1)

where  $u_{10}$  is the wind speed at 10 m height above the mean level of sea surface, and  $z_x$  is the slope of x-direction for breaking waves.

Based on [25], the whitecap formations are divided into crests of dynamic foam and patchy structures of static foam, respectively. Fig. 1(a) shows that the coverage varied with the wind speed both for the crest- and static-foam for two different values of temperature difference between air and water  $\Delta T(^{\circ})C$  ( $\Delta T = T_{sea} - T_{air}$ ). The dependence of the weighted average thickness of the foam on the wind speed is shown in Fig. 1(b). We observe that both the foam coverage and thickness increase with the wind speed. If  $\Delta T$  increases from 0°C to 5°C, the fractional coverage due to crest- and static-foam increases by about a factor of 2.7 and 1.5, respectively.

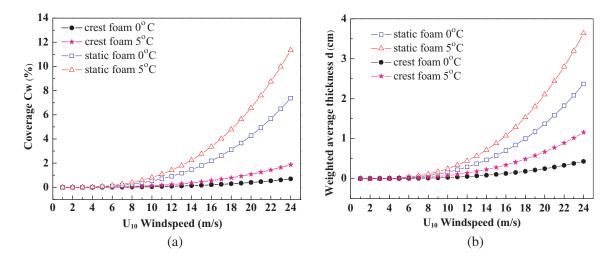


Figure 1. The crest-foam and static-foam coverage and thickness of foam layers varied with wind speeds. (a) Coverage; (b) Thickness.

Figure 2 shows the breaking waves and foam distributions of a sea surface at different wind speeds. The area of the surface is  $192 \text{ m} \times 192 \text{ m}$ , and the discrete sampling points along the x and y directions are M = N = 256. The coverage of breaking waves and foams increases significantly with the increase in wind speeds, which is in accordance with Fig. 1.

The key parameters describing the sea foam are the air void fraction  $f_a$ , bubble size  $r_s$ , and number of bubbles per unit volume N that is related to the volume fraction  $f_v$  by  $N = 3f_v/(4\pi r_s^3)$ . For sea foam, we assume seawater as the environment and air bubbles as inclusions, thus  $f_v = f_a$  and the dielectric constant of sea foam  $\varepsilon_f$  is determined by [28]

$$\varepsilon_f = \varepsilon_e + 3f_v \varepsilon_e \frac{\varepsilon_i - \varepsilon_e}{\varepsilon_i + 2\varepsilon_e - f_v(\varepsilon_i - \varepsilon_e)} \tag{2}$$

where  $\varepsilon_e$  and  $\varepsilon_i$  are the permittivity of the environment and the inclusions, respectively. In this study, we choose  $f_a = 0.98$ ,  $r_s = 2 \text{ mm}$ . Once these parameters are fixed, the extinction coefficient  $\kappa_e$  and volume scattering coefficient  $\kappa_s$  can be determined by

$$\kappa_s = \frac{8}{3}\pi N k_{er}^4 r_s^6 \left| \frac{\varepsilon_r - 1}{\varepsilon_r + 2} \right|^2$$

$$\kappa_e = \kappa_a + 2k_e''(1 - f_v) + f_v k_e' \varepsilon_r'' \left| \frac{3}{\varepsilon_r + 2} \right|^2$$
(3)

where  $\varepsilon_r = \varepsilon_i / \varepsilon_0 = \varepsilon'_r - j\varepsilon''_r$  is the relative dielectric constant, and  $k'_e$  and  $k''_e$  are the real and imaginary parts of the host medium wave number  $k\sqrt{\varepsilon_e}$ .

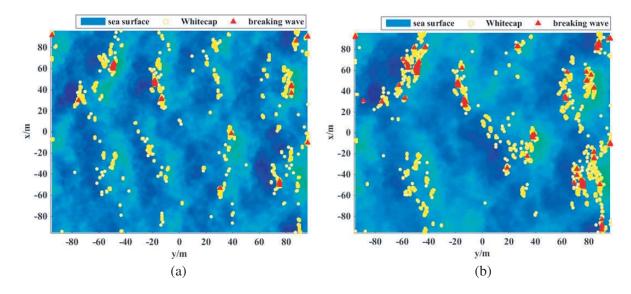


Figure 2. The breaking waves and foam distribution of the sea surface at different wind speeds. (a)  $u_{10} = 13 \text{ m/s}$ ; (b)  $u_{10} = 20 \text{ m/s}$ .

# 3. SCATTERING MODEL OF SEA SURFACE WITH BREAKING WAVES AND FOAM

Scattering from the illuminated ocean surface for LGA incidence is very complex and intractable. The structures of the illuminated ocean surface range from the tiniest capillary ripples to large scale fully developed swells and must include the effects of breaking waves and foam. In Section 2, we have discussed how a slope criterion can be applied to geometric model of the sea surface with breaking waves and foam layers. This section will present the volume-surface scattering model of the sea surface. We will concentrate on the contribution of the wedge-like breaking waves and foam layers to the clutter radar cross sections (RCS).

### 3.1. Scattering from Rough Sea Surface

Based on the semi-definite scattering model, scattering from local wind-derived ripples is approximated by Bragg (or resonant) scattering, and the tilting of the ripples by longer waves changes the scattered power [29]. The total scattering coefficients of each facet can be expressed by combining the long and short waves contributions that can be obtained by the Kirchhoff Approximation and the Tilted Small Perturbation Model (TSPM) [30].

$$\sigma_{ij}^{total}(\mathbf{k}_i, \mathbf{k}_s) = \sigma_{ij}^{TSPM}(\mathbf{k}_i, \mathbf{k}_s) + \sigma_{ij}^{KA}(\mathbf{k}_i, \mathbf{k}_s)$$
(4)

Here,

$$\sigma_{pq}^{KA}\left(\mathbf{k}_{i},\mathbf{k}_{s}\right) = \pi k^{2} q^{2} \left|\mathbf{U}_{pq}\right|^{2} P\left(z_{x}^{\mathrm{tan}}, z_{y}^{\mathrm{tan}}\right) / \mathbf{q}_{z}^{4}$$

$$\sigma_{pq}^{TSPM}\left(\mathbf{k}_{i},\mathbf{k}_{s}\right) = \pi k^{4} \left|\varepsilon - 1\right|^{2} \left|\mathbf{F}_{pq}\right|^{2} S_{\zeta}\left(\mathbf{q}_{l}\right)$$

$$(5)$$

where k is the wave number of the incident wave;  $q = |\mathbf{q}| = |k(\hat{k}_s - \hat{k}_i)|$ ;  $U_{pq}$  is the polarmetric coefficient (pq indicates H- or V-pol);  $P(z_x^{\tan}, z_y^{\tan})$  is the Cox-Munk PDF [31];  $z_x^{\tan}, z_y^{\tan}$  is the slope of the tangent plane at the specular point; and  $q_z$  is the z-component of q. Further,  $\varepsilon$  is the permittivity of the surface;  $\mathbf{F}_{pq}$  is the polarmetric coefficient;  $S_{\zeta}(\mathbf{q}_l)$  is the spatial power spectrum and can be expressed as  $S_{\zeta}(\mathbf{q}_l) = \psi_{sea}(\mathbf{k})/\mathbf{q}_l$ ;  $\psi_{sea}(\mathbf{k})$  is the Elfouhaily capillary spectrum; and  $\mathbf{q}_l$  is the projection of vector  $\mathbf{q}$  onto the plane tangent.

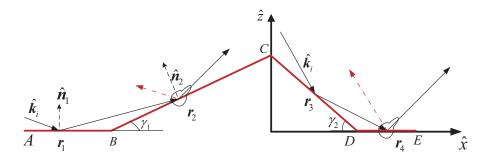


Figure 3. Muti-scattering from the wedge-like breaking wave.

#### 3.2. Wedge-Like Breaking Wave Scattering

The wedge-like breaking wave (shown in Fig. 3) is constructed from rectangular plates of dimensions BC for the downwind side and DE for the upwind side (AB and BC designate the area around the wedge). Taking the downwind observation as an example, there are six scattering path combinations from the radar to wedge-like breaking waves, each with different induced currents: (i) single scattering from plate AB; (ii) double scattering from plate AB to plate BC; (iii) single scattering from plate BC; (iv) single scattering from plate CD; (v) double scattering from plate CD to plate DE; (vi) single scattering from plate BA and DE as rough surfaces, and the single scattering from rough plates AB and DE is obtained from the semi-definite scattering model. The scattering from the crest BCD and the double scattering is obtained using both geometrical and physical optics. Taking the double scattering (path ii) from plate AB to plate BC for instance, the incident field at point  $\mathbf{r}_1$  on plate AB for HH polarization is

$$\mathbf{E}_{1HH}^{i} = \left(\hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}}\right) \hat{\mathbf{h}} E_{0} \exp\left(-jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1}\right)$$
(6)

The incident field at point  $\mathbf{r}_2$  on plate BC after the reflection from plate AB and path attenuation is

$$\mathbf{E}_{2HH}^{i} = \left(\hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}}\right) \hat{\mathbf{h}} R_{1HH} \exp\left(-jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1}\right) \cdot E_{0} \exp\left[-jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_{2} - \mathbf{r}_{1})\right]$$
(7)

Thus, the reflection field is  $\mathbf{E}_{2HH}^r = R_{2HH} \mathbf{E}_{2HH}^i$ , and the total field is  $\mathbf{E}_{total} = (1 + R_{2HH}) \mathbf{E}_{2HH}^i$ . The induced magnetic current at point  $\mathbf{r}_2$  can be obtained by  $(\hat{\tau} = -\hat{\mathbf{n}}_2 \times \hat{\mathbf{h}})$ 

$$\mathbf{M}_{2HH}(\mathbf{r}_2) = -\hat{\mathbf{n}}_2 \times \mathbf{E}_{total} = \hat{\tau} \left\{ (1 + R_{2HH}) R_{1HH} \left( \hat{\mathbf{e}}_i \cdot \hat{\mathbf{h}} \right) \right\} \times E_0 \exp\left(-jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_1\right) \exp\left[-jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_2 - \mathbf{r}_1)\right]$$
(8)

The induced electronic current can be obtained by the same way:

$$\mathbf{J}_{2HH}(\mathbf{r}_{2}) = \hat{\mathbf{n}}_{2} \times \mathbf{H}_{total} = -\frac{1}{\eta} \left( \left( \hat{\mathbf{n}}_{2} \cdot \hat{\mathbf{k}}_{i2} \right) (1 - R_{2HH}) R_{1HH} \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}} \right) \right) \hat{\mathbf{h}} \times E_{0} \exp \left( -jk \hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1} \right) \exp \left[ -jk \hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_{2} - \mathbf{r}_{1}) \right] (9)$$

For VV polarization, the induced current can be expressed as

$$\mathbf{J}_{2VV}\left(\mathbf{r}_{2}\right) = \hat{\mathbf{n}}_{2} \times \mathbf{H}_{total} = \frac{1}{\eta} \left( \hat{\mathbf{n}}_{2} \times \hat{\mathbf{h}} \right) (1 + R_{2VV}) R_{1VV} \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{v}} \right) \\ \times E_{0} \exp\left(-jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1}\right) \exp\left[-jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_{2} - \mathbf{r}_{1})\right]$$
(10)

$$\mathbf{M}_{2VV}(\mathbf{r}_2) = -\hat{\mathbf{n}}_2 \times \mathbf{E}_{total} = -\hat{\mathbf{h}} \left( \hat{\mathbf{n}}_2 \cdot \hat{\mathbf{k}}_{i2} \right) (\hat{\mathbf{e}}_i \cdot \hat{\mathbf{v}}) (1 - R_{2VV}) R_{1VV} \\ \times E_0 \exp\left( -jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_1 \right) \exp\left[ -jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_2 - \mathbf{r}_1) \right]$$

We can get the total induced current

$$\mathbf{J}_{2}(\mathbf{r}_{2}) = \frac{1}{\eta} \left\{ \left( \hat{\mathbf{n}}_{2} \times \hat{\mathbf{h}} \right) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{v}} \right) \left( 1 + R_{2VV} \right) R_{1VV} - \left( \hat{\mathbf{n}}_{2} \cdot \hat{\mathbf{k}}_{i2} \right) \left( 1 - R_{2HH} \right) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}} \right) \hat{\mathbf{h}} R_{1HH} \right\} \\
\times E_{0} \exp \left( -jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1} \right) \exp \left[ -jk\hat{\mathbf{k}}_{1r} \cdot \left( \mathbf{r}_{2} - \mathbf{r}_{1} \right) \right] \\
\mathbf{M}_{2}(\mathbf{r}_{2}) = - \left\{ \left( \hat{\mathbf{n}}_{2} \times \hat{\mathbf{h}} \right) \left( 1 + R_{2HH} \right) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}} \right) R_{1HH} + \left( \hat{\mathbf{n}}_{2} \cdot \hat{\mathbf{k}}_{i2} \right) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{v}} \right) \hat{\mathbf{h}} \left( 1 - R_{2VV} \right) R_{1VV} \right\} \\
\times E_{0} \exp \left( -jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1} \right) \exp \left[ -jk\hat{\mathbf{k}}_{1r} \cdot \left( \mathbf{r}_{2} - \mathbf{r}_{1} \right) \right]$$
(11)

The far scattering field due to the induced current of the plate BC is

$$\mathbf{E}^{s}(\mathbf{r}) = -j\frac{\omega\mu_{0}}{4\pi r}\exp(-jkr)$$

$$\times \iint_{BC} \left\{ \mathbf{J}_{2}(\mathbf{r}_{2}) - \left[\mathbf{J}_{2}(\mathbf{r}_{2})\cdot\hat{\mathbf{k}}_{2s}\right]\hat{\mathbf{k}}_{2s} + \sqrt{\frac{\varepsilon_{0}}{\mu_{0}}}\left[\mathbf{M}_{2}(\mathbf{r}_{2})\times\hat{\mathbf{k}}_{2s}\right]\right\}\exp\left(jk\mathbf{r}_{2}\cdot\hat{\mathbf{k}}_{2s}\right)d\mathbf{r}_{2} \quad (12)$$

When Eq. (11) is substituted into Eq. (12), we obtain

$$\mathbf{E}^{s}(\mathbf{r}) = j \frac{\omega \mu_{0}}{4\pi r} E_{0} \exp(-jkr) \times \iint_{BC} \exp\left[jk\mathbf{r}_{2} \cdot \hat{\mathbf{k}}_{2s} - jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_{1} - jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_{2} - \mathbf{r}_{1})\right] d\mathbf{r}_{2} \\
\left\{ \frac{1}{\eta} \hat{\mathbf{k}}_{2s} \times \hat{\mathbf{k}}_{2s} \times \left( \left( \hat{\mathbf{n}}_{2} \times \hat{\mathbf{h}} \right) (\hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{v}}) (1 + R_{2VV}) R_{1VV} \right) \\
- \frac{1}{\eta} \hat{\mathbf{k}}_{2s} \times \hat{\mathbf{k}}_{2s} \times \left( \left( \hat{\mathbf{n}}_{2} \cdot \hat{\mathbf{k}}_{i2} \right) (1 - R_{2HH}) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}} \right) \hat{\mathbf{h}} R_{1HH} \right) \\
+ \sqrt{\frac{\varepsilon_{0}}{\mu_{0}}} \left( \hat{\mathbf{n}}_{2} \times \hat{\mathbf{h}} \right) (1 + R_{2HH}) \left( \hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{h}} \right) R_{1HH} \times \hat{\mathbf{k}}_{2s} \\
+ \sqrt{\frac{\varepsilon_{0}}{\mu_{0}}} \left( \hat{\mathbf{n}}_{2} \cdot \hat{\mathbf{k}}_{i2} \right) (\hat{\mathbf{e}}_{i} \cdot \hat{\mathbf{v}}) \hat{\mathbf{h}} (1 - R_{2VV}) R_{1VV} \times \hat{\mathbf{k}}_{2s} \right\}$$
(13)

The internal form in Eq. (13) can be written as

$$I = \iint_{BC} E_0 \exp\left[jk\mathbf{r}_2 \cdot \hat{\mathbf{k}}_{2s} - jk\hat{\mathbf{k}}_{1i} \cdot \mathbf{r}_1 - jk\hat{\mathbf{k}}_{1r} \cdot (\mathbf{r}_2 - \mathbf{r}_1)\right] d\mathbf{r}_2$$
  
=  $jE_0 \exp(-jT_2) \left[\exp(-jT_1x_C) - \exp(-jT_1x_B)\right] \Delta Y/T_1$  (14)

where  $T_1 = (k_{1rx} - k_{2sx}) + (k_{1rz} - k_{2sz}) \tan \gamma_1$ , and  $T_2 = (k_{1rz} - k_{2sz})(h - \tan \gamma_1 x_C)$ . The single scattering from plate BC and CD can be obtained easily, and the double scattering from plate CD to plate DE can be analytically expressed in the same manner.

# 3.3. Wedge Diffraction Effect

The perturbation method proposed by Lyalinov et al. [32] is used in this study to build the spectral functions for computing the diffraction coefficient  $\mathbf{D}$  for the scattering by the impedance wedge at skew incidence. For almost normal incidence, the spectral function can be expressed as follows:

$$S_{e,h}(\alpha) = \Psi_{e,h}(\alpha)\sigma_{\phi_0}(\alpha)\sum_{m=0}^{\infty}\xi_{e,h}(\alpha)\cos^m\beta$$
(15)

where  $\Psi_{e,h}(\alpha)$  is the traditional Maliuzhinets' function, and  $\phi_0$  is the incident angle measured from the wedge face.

$$\sigma_{\phi_0}(\alpha) = \frac{1}{n} \sin \frac{\phi_0}{n} / \left( \sin \frac{\alpha}{n} - \cos \frac{\phi_0}{n} \right)$$
(16)

130

where n is defined by the exterior wedge face angle  $n\pi$ . The leading term of the perturbation series  $\xi_{e,h}(\alpha)$  in Eq. (15) can be expressed directly by the Maliuzhinets' work

$$\xi_{e,h}^0(\alpha) = U_{e,h}^i / \Psi_{e,h}(n\pi/2 - \phi_0) \tag{17}$$

where  $U_{e,h}^i$  is the incident electromagnetic field amplitude.

The first order term can be obtained by the perturbation method

$$\xi_{h}^{1}(\alpha) = -U_{e}^{i} \frac{j \sin(\alpha/n)}{4n\pi\Psi_{e}(n\pi/2 - \phi_{0})} \times \begin{cases} \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{e}(n\pi/2 + t) - \Psi_{e}(n\pi/2 - t)}{(\sin t + \sin \theta_{h}^{0})\Psi_{h}(n\pi/2 + t)} \\ + \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{e}(n\pi/2 + t) - \Psi_{e}(-n\pi/2 - t)}{(\sin t + \sin \theta_{h}^{0})\Psi_{h}(-n\pi/2 + t)} \\ + \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{e}(n\pi/2 + t) - \Psi_{e}(-n\pi/2 - t)}{(\sin t + \sin \theta_{h}^{0})\Psi_{h}(-n\pi/2 + t)} \end{cases} \end{cases}$$
(18)
$$\xi_{e}^{1}(\alpha) = -U_{h}^{i} \frac{j \sin(\alpha/n)}{4n\pi\Psi_{h}(n\pi/2 - \phi_{0})} \times \begin{cases} \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{h}(n\pi/2 + t) - \Psi_{h}(n\pi/2 - t)}{(\sin t + \sin \theta_{e}^{0})\Psi_{e}(n\pi/2 + t)} \\ -j\infty \times [\sigma_{1}(t, \alpha) - \sigma_{1}(t, n\pi/2 - \phi_{0})] dt \end{cases} + \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{h}(n\pi/2 + t) - \Psi_{h}(-n\pi/2 - t)}{(\sin t + \sin \theta_{e}^{0})\Psi_{e}(-n\pi/2 + t)} \\ + \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{h}(n\pi/2 + t) - \Psi_{h}(-n\pi/2 - t)}{(\sin t + \sin \theta_{e}^{0})\Psi_{e}(-n\pi/2 + t)} \\ + \int_{-j\infty}^{j\infty} \frac{\cos t}{\cos(t/n)} \frac{\Psi_{h}(n\pi/2 + t) - \Psi_{h}(-n\pi/2 - t)}{(\sin t + \sin \theta_{e}^{0})\Psi_{e}(-n\pi/2 + t)}} \\ \end{cases} \end{cases}$$

The diffracted field can then be obtained by applying the steepest descent paths (SDP) integrals

$$\begin{bmatrix} E_z^d\\ \eta_0 H_z^d \end{bmatrix} = \frac{e^{-jk(\rho\sin\beta - z\cos\beta) - j\frac{\pi}{4}}}{2n\sqrt{2\pi k\rho\sin\beta}} \times \left\{ \begin{bmatrix} \Pi_e(\alpha_1)\\ \Pi_h(\alpha_1) \end{bmatrix} \times P_1 + \begin{bmatrix} \Pi_e(\alpha_2)\\ \Pi_h(\alpha_2) \end{bmatrix} \times P_2 \right\}$$
(19)

where

$$\alpha_{1} = \pi + n\pi/2 - \phi, \quad \alpha_{2} = -\pi + n\pi/2 - \phi, \quad \Pi_{e,h}(\alpha) = \Psi_{e,h}(\alpha) \sum_{m=0}^{\infty} \xi_{e,h}(\alpha) \cos^{m}\beta$$

$$P_{1} = \cot \frac{\pi - (\phi - \phi_{0})}{2n} F\{k\rho \sin \beta [1 + \cos(\phi - \phi_{0})]\} - \cot \frac{\pi - (\phi + \phi_{0})}{2n} F\{k\rho \sin \beta [1 + \cos(\phi + \phi_{0})]\}$$

$$P_{2} = \cot \frac{\pi + (\phi - \phi_{0})}{2n} - \cot \frac{\pi + (\phi + \phi_{0})}{2n}$$
(20)

and  $F(x) = 2j\sqrt{x}e^{jx}\int_{\sqrt{x}}^{\infty}e^{-jt^2}dt$  is the transition function. The diffracted field can be rewritten as follows

$$\begin{bmatrix} E_z^d\\ \eta_0 H_z^d \end{bmatrix} = -\mathbf{D} \frac{e^{-jk\rho\sin\beta}}{\sqrt{\rho\sin\beta}} \begin{bmatrix} E_z^i\\ \eta_0 H_z^i \end{bmatrix}$$
(21)

Thus we can obtain the diffraction coefficient

$$D_{11} = -\frac{v_1}{2n} \left[ M_e^0(\alpha_1) P_1 + M_e^0(\alpha_2) P_2 \right], \quad D_{12} = -\frac{v_1}{2n} \left[ M_e^1(\alpha_1) P_1 + M_e^1(\alpha_2) P_2 \right]$$

$$D_{21} = -\frac{v_1}{2n} \left[ M_h^1(\alpha_1) P_1 + M_h^1(\alpha_2) P_2 \right], \quad D_{22} = -\frac{v_1}{2n} \left[ M_h^0(\alpha_1) P_1 + M_h^0(\alpha_2) P_2 \right]$$
(22)

where  $v_1 = e^{-j\pi/4}/\sqrt{2\pi k}$ ,  $M_{e,h}^0(\alpha) = \Psi_{e,h}(\alpha)\xi_{e,h}^0(\alpha)$ ,  $M_{e,h}^1(\alpha) = \Psi_{e,h}(\alpha)\xi_{e,h}^1(\alpha)\cos\beta$ .

Although we focus attention on the case of normal incidence  $(\beta = \pi/2)$  in this study, the diffraction coefficient form given above can also be applied to the incidence that is almost normal to the wedge edge. The diffraction coefficient form for the incidence that is almost grazing to the wedge edge can be obtained in a similar way. The physical optics (PO) coefficient is derived by the physical optics approximation and serves to remove the contribution of the PO current at the edge because the latter is already included in Section 3.2. The local coordinate system on the upper surface of the impedance wedge is shown in Fig. 4(a). The PO diffraction coefficient can be derived by integrating the PO surface

ŷ₄ HH 13.9GHz VV 13.9GHz 35 20 15 ĥ, 5 0 --5 -0 ŝ  $(2-n)\pi$ n 20 -60 -40 -20 0 40 60 80 incident angle  $\theta_i$  (deg) (a) (b)

Figure 4. The wedge scattering. (a) Local coordinate system on the upper surface of the impedance wedge; (b) Backscattering RCS of the diffracted field of the 120° impedance wedge.

currents, retaining the contributions of the lower integral limit [33]. The incident field for H- and V-polarizations expressed by the z-components of the electric and magnetic field take the following form

$$\mathbf{E}_{h}^{i} = \frac{e_{z}\cos\phi_{0} + h_{z}\cos\beta_{0}\sin\phi_{0}}{\sin\beta_{0}\sqrt{(1 - \sin^{2}\beta_{0}\sin^{2}\phi_{0})}} e^{-j\mathbf{k}\cdot\hat{\mathbf{r}}'}, \quad \mathbf{E}_{v}^{i} = \frac{-e_{z}\cos\beta_{0}\sin\phi_{0} + h_{z}\cos\phi_{0}}{\sin\beta_{0}\sqrt{(1 - \sin^{2}\beta_{0}\sin^{2}\phi_{0})}} e^{-j\mathbf{k}\cdot\hat{\mathbf{r}}'}$$
(23)

The surface currents can then be expressed as follows

$$\begin{cases} J^{x} = v_{2}[\eta_{+}\cos\beta_{0}\cos\phi_{0}e_{z} + (\eta_{+}\sin\phi_{0} + \sin\beta_{0})h_{z}] \\ J^{z} = v_{2}[(\sin\phi_{0} + \eta_{+}\cos\beta_{0})e_{z} - \cos\beta_{0}\cos\phi_{0}h_{z}] \\ M^{x} = v_{2}[-\eta_{+}(\sin\phi_{0} + \eta_{+}\sin\beta_{0})e_{z} + \cos\beta_{0}\cos\phi_{0}h_{z}] \\ M^{z} = v_{2}[\eta_{+}^{2}\cos\beta_{0}\cos\phi_{0}e_{z} + \eta_{+}(\sin\beta_{0} + \eta_{+}\sin\phi_{0})h_{z}] \end{cases}$$
(24)

where  $v_2 = 2 \sin \phi_0 (1 + \eta_+ \sin \beta \sin \phi)^{-1} (\eta_+ + \sin \beta \sin \phi)^{-1} \eta_+ = 1/\sqrt{\varepsilon_r}$ . The PO diffracted field due to the upper impedance face is as follows (sea Fig. 4(a))

$$E_d^{PO} = -\frac{v_1 e^{-jk_0\rho\sin\beta_0}}{2(\cos\phi + \cos\phi_0)\sqrt{\rho\sin\beta_0}} (\mathbf{\hat{s}} \times \mathbf{\hat{s}} \times \mathbf{J} + \mathbf{\hat{s}} \times \mathbf{M})$$

$$\eta_0 H_d^{PO} = -\frac{v_1 e^{-jk_0\rho\sin\beta_0}}{2(\cos\phi + \cos\phi_0)\sqrt{\rho\sin\beta_0}} (\mathbf{\hat{s}} \times \mathbf{\hat{s}} \times \mathbf{M} - \mathbf{\hat{s}} \times \mathbf{J})$$
(25)

We can obtain the PO diffraction coefficient

$$D_{11}^{PO} = b \left\{ -\sin \beta_0 \sin \phi_0 + \eta_+^2 \sin \phi \sin \beta_0 - \eta_+ (\sin^2 \beta_0 - \sin \phi \sin \phi_0 - \cos \phi_0 \cos \phi \cos^2 \beta_0) \right\}$$

$$D_{12}^{PO} = b \left\{ -\eta_+ \cos \beta_0 (\sin \phi \cos \phi_0 - \cos \phi \sin \phi_0) + \cos \beta_0 \sin \beta_0 (\cos \phi_0 + \cos \phi) \right\}$$

$$D_{21}^{PO} = b \left\{ -\eta_+^2 \sin \beta_0 \cos \beta_0 (\cos \phi_0 + \cos \phi) + \eta_+ (\sin \phi \cos \beta_0 \cos \phi_0 - \cos \phi \cos \beta_0 \sin \phi_0) \right\}$$

$$D_{22}^{PO} = b \left\{ \sin \beta_0 \sin \phi_0 - \eta_+^2 \sin \phi \sin \beta_0 - \eta_+ (\sin^2 \beta - \sin \phi \sin \phi_0 - \cos \phi \cos^2 \beta_0) \right\}$$
(26)

For the lower face, the required substitutions are  $\eta_+ \to \eta_-$ ,  $phi_0 \to n\pi - \phi_0$ ,  $\phi \to n\pi - \phi$ ,  $\beta = \pi - \beta$ . We can obtain the incremental length diffraction coefficients (ILDC)  $\mathbf{D}^{f}$  by setting

$$\mathbf{D}^f = \mathbf{D} - \mathbf{D}^{PO} \tag{27}$$

The diffracted field can be expressed as

$$E_{z}^{d} = \left(D_{11}^{f}E_{z}^{i} + D_{12}^{f}\eta_{0}H_{z}^{i}\right)e^{jkz\cos\beta}\frac{e^{jk\rho\sin\beta}}{\sqrt{\rho/\sin\beta}}$$

$$\eta_{0}H_{z}^{d} = \left(D_{21}^{f}E_{z}^{i} + D_{22}^{f}\eta_{0}H_{z}^{i}\right)e^{jkz\cos\beta}\frac{e^{jk\rho\sin\beta}}{\sqrt{\rho/\sin\beta}}$$
(28)



The equivalent edge currents can be derived as

$$Z_{0}\mathbf{I}^{e} = -\frac{\mathrm{e}^{j\frac{\pi}{4}}2\sqrt{2\pi k}}{k} \left( D_{11}^{f}E_{z}^{i} + D_{12}^{f}\eta_{0}H_{z}^{i} \right) \mathrm{e}^{jkz\cos\beta}$$

$$\mathbf{I}^{m} = -\frac{\mathrm{e}^{j\frac{\pi}{4}}2\sqrt{2\pi k}}{k} \left( D_{21}^{f}E_{z}^{i} + D_{22}^{f}\eta_{0}H_{z}^{i} \right) \mathrm{e}^{jkz\cos\beta}$$
(29)

The diffracted scattering field by a finite-length impedance wedge of arbitrary angles can then be obtained by integrating the equivalent edge currents

$$\mathbf{E}^{d} = jkG\left(\mathbf{r},\mathbf{r}'\right)\left[Z_{0}\mathbf{I}^{e}(\mathbf{r})\hat{\mathbf{s}}\times\hat{\mathbf{s}}\times\hat{\mathbf{t}} + \mathbf{I}^{m}(\mathbf{r})\hat{\mathbf{s}}\times\hat{\mathbf{t}}\right]\cdot\int_{L}e^{jkz(\cos\beta_{0}+\cos\beta_{p})}d\mathbf{l}$$
(30)

where  $G(\mathbf{r}, \mathbf{r}')$  is the Green function, **l** the impedance wedge path, and  $\hat{\mathbf{t}}$  the unit vector along the wedge. The backscattering RCSs of the diffracted field of a single wedge for the HH and VV polarizations are compared in Fig. 4(b). The two peaks are caused by the specular reflection of the wedge plane. We can observe that the RCS for the HH polarization is higher than that for the VV polarization between the two peaks.

#### 3.4. Foam Scattering

We assume that the breaking wave facet is covered by crest-foam, and the rough sea surface is covered by static-foam. Both the foam layers are composed of spherical particles with different thicknesses, which are discussed in Section 2.1. The geometry of foam above the sea surface scattering is shown in Fig. 5. According to [34], the solution of the VRT equation is obtained using an iterative method, and the zero-order as well as the corresponding first-order backscattering coefficient can be reduced to the following expressions for a two-scale random rough surface [16, 35]:

$$\sigma_{pq}^{(0)}(\theta_i) = \sigma_{pq0}(\theta_i)e^{-2\kappa_e d \sec \theta_i}$$

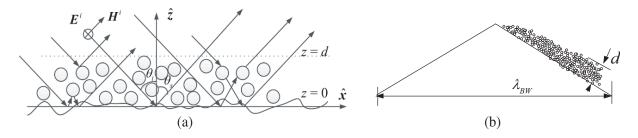
$$\sigma_{hh}^{(1)}(\theta_i) = \frac{3}{4}\cos\theta_i \frac{\kappa_s}{\kappa_e} \left(1 - e^{-2\kappa_e d \sec \theta_i}\right) \times \left(1 + |R_{h0}|^4 \times e^{-2\kappa_e d \sec \theta_i}\right) + 3\mathrm{d}\kappa_s |R_{h0}|^2 e^{-2k_e d \sec \theta_i}$$

$$\sigma_{vv}^{(1)}(\theta_i) = \frac{3}{4}\cos\theta_i \frac{\kappa_s}{\kappa_e} \left(1 - e^{-2\kappa_e d \sec \theta_i}\right) \times \left(1 + |R_{v0}|^2 e^{-2\kappa_e d \sec \theta_i}\right) + 3\mathrm{d}\kappa_s |R_{v0}|^2 e^{-2\kappa_e d \sec \theta_i} \cos^2\left(2\theta_i\right)$$
(31)

where  $\sigma_{pq0}$  is the backscattering coefficient of the local facet of the foam-free sea surface, and d is the thickness of foam layers.  $\kappa_s$  and  $\kappa_e$  are the scattering and extinction coefficients.  $R_h$  and  $R_v$  are the Fresnel coefficients of the local facet, and  $\theta_i$  is the local incident angle. For a sea surface with breaking waves crest foam coverage and static foam coverage, the total scattering coefficient is given by

$$\sigma = \frac{1}{A} \sum_{i=1}^{M} \sum_{j=1}^{N} \left\{ \left(1 - F_s - F_c\right) \sigma_{ij}^{sea} + F_c \sigma_{ij}^{fc+bw} + F_s \sigma_{ij}^{fs+sea} \right\} \Delta x \Delta y \tag{32}$$

where A and  $\Delta x \Delta y$  are the areas of the generated sea surface and single facet, respectively;  $F_s$  and  $F_c$  are the static and crest-foam coverage, respectively.  $\sigma_{ij}^{sea}$  is the backscattering coefficient for a single



**Figure 5.** Geometry of the foam scattering. (a) Static-foam scattering path for the sea surface; (b) Geometry of the breaking wave covered crest-foam on the upwind side.

facet of the sea surface,  $\sigma_{ij}^{fc+bw}$  the backscattering coefficient for a single facet of the sea surface with breaking waves and crest foam, and  $\sigma_{ij}^{fs+sea}$  the backscattering coefficient for a single facet of the sea surface with static foam.

### 3.5. SAR Imagery Simulations and Sea Clutter Distributions

After the development of the scattering process at low grazing angles, it is worthwhile to pay attention to the SAR image of the surface at a much finer resolution of grazing angles. Since we obtained the scattering coefficient  $\sigma(x_g, y_g)$  for each discrete facet, it is easy to simulate the ensemble averaged image intensity through the velocity bunching (VB) model proposed by Alpers [36] in the following translation

$$I(x,y) = \int_{-L_x/2}^{L_x/2} \int_{-L_y/2}^{L_y/2} dx_g dy_g \sigma_I(x_g, y_g) f_y(x - x_g) \cdot \left(\rho_{aN}/\rho'_{aN}\right) \exp\left\{-\left[\pi \left(y - y_g - R \cdot u_r\right) / \left(\rho'_{aN}V\right)\right]^2\right\}$$
(33)

where  $\sigma_I(x_g, y_g) = \sigma(x_g, y_g)(1 + f_{SAR}(x_g, y_g))$  denotes the backscattering coefficients (BSC) of the sea surface that takes the tilt and velocity bunching into account.  $f_{SAR}(x_g, y_g)$  is the velocity bunching modulation function.  $u_r$  is the orbital velocity in the range direction. Further,  $f_y(x - x_g)$  is the range resolution function;  $\rho_{aN} = N_l \rho_a$  denotes the stationary target azimuth resolution for  $N_l$  incoherent looks;  $\rho_a = \lambda R/2VT$  is the azimuth resolution;  $\lambda$  is the electromagnetic wavelength; V is the aircraft velocity; T is the synthetic aperture duration; and  $\rho'_{aN}$  is the degraded azimuth resolution due to the target acceleration and finite scene coherence time

$$\rho_{aN}' = \rho_{aN} \left\{ 1 + \frac{1}{N_l} \left[ \left( \frac{\pi T^2}{\lambda} a_r(x', y') \right)^2 + \left( \frac{T}{\tau_s} \right)^2 \right] \right\}^{1/2}$$
(34)

where  $a_r$  is the orbital acceleration in the range direction, and  $\tau_s$  is the scene coherence time.

### 4. RESULTS AND DISCUSSIONS

Sea clutter can be described in terms of the statistical behavior of the returns from multiple distributed scatterer and individual discrete scatterer. In this section, we will discuss the statistical behavior and spatial properties of the backscatter from the sea surface with and without the breaking waves and foam layers.

Figure 6 provides a comparison of the statistical characteristics of the backscattering coefficients (BSC), which are averaged over 50 samples of the sea surface with and without the breaking waves and foam layers, and the measured data [37] both for HH and VV polarizations at different wind speeds. During simulation, the frequency is set at 13.9 GHz; the wind speed at 10 m height is 13 m/s; the temperature is 20°; the salinity is 35‰; and the permittivity for the seawater can be determined by the Two Debye model. We observe that the resonant scattering (without considering nonBragg scattering caused by the breaking wave and foam) from the sea surface for HH polarization is much less than that for VV polarization at a low grazing angle. When the breaking wave results are added into the composite model, the backscattering coefficients become significantly enhanced for HH polarization at LGA, and this is confirmed by the experiments. Furthermore, when foam effects are involved, the results of our model are more consistent with the measured data. These results are straightforward enough to interpret the sea spikes at LGA in terms of the scattering models described in Section 2. We observe that the BSC increases under high wind speed conditions for both polarizations, due to the roughness of the sea surface. We also find that the BSC increases obviously, especially for the HH polarizations at LGA incidence, which can be explained by the whitecap coverage increasing with high wind speed.

The spatial distribution of the backscattering coefficients for the discrete facet at different wind speeds with 80° incidence (see Fig. 7) shows that VV polarization is dominated by Bragg scattering, while HH polarization is dominated by breaking waves and whitecaps. The backscattering coefficients from breaking wave patches are enhanced compared to their counterparts from the sea surface patches

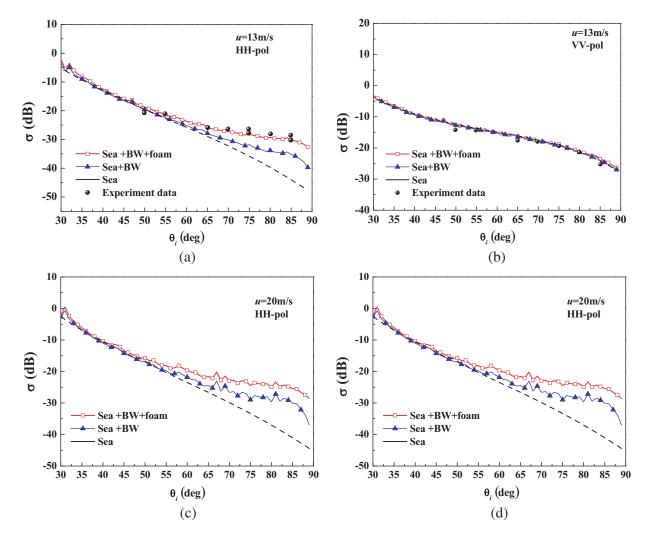
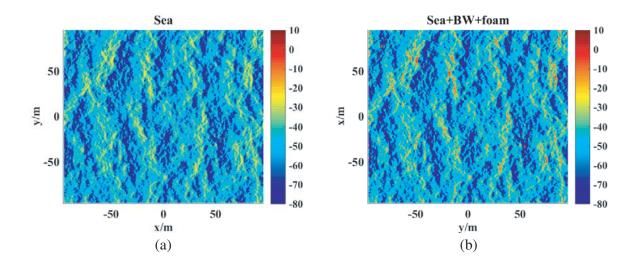


Figure 6. Backscattering coefficients (BSC) of the sea surface, the sea surface with breaking waves, the sea surface with breaking waves and foam, and the measured data. (a) HH-pol,  $u_{10} = 13 \text{ m/s}$ ; (b) VV-pol,  $u_{10} = 13 \text{ m/s}$ ; (c) HH-pol,  $u_{10} = 20 \text{ m/s}$ ; (d) VV-pol,  $u_{10} = 20 \text{ m/s}$ .



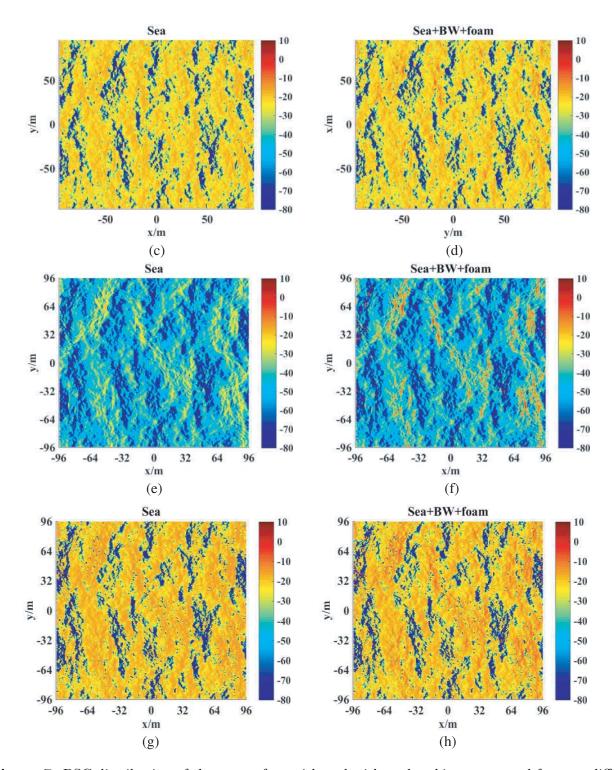
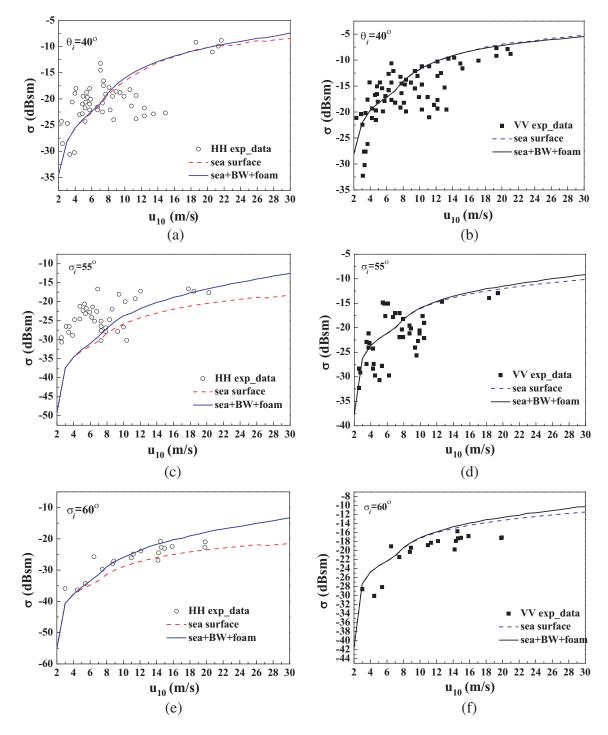


Figure 7. BSC distribution of the sea surface with and without breaking waves and foam at different wind speed. (a)  $u_{10} = 13 \text{ m/s}$ , HH-pol for sea; (b)  $u_{10} = 13 \text{ m/s}$ , HH-pol, for sea with breaking waves and foam; (c)  $u_{10} = 13 \text{ m/s}$ , VV-pol for sea; (d)  $u_{10} = 13 \text{ m/s}$ , VV-pol, for sea with breaking waves and foam l; (e)  $u_{10} = 20 \text{ m/s}$ , HH-pol for sea; (f)  $u_{10} = 20 \text{ m/s}$ , HH-pol, for sea with breaking waves and foam; (g)  $u_{10} = 20 \text{ m/s}$ , VV-pol for sea; (h)  $u_{10} = 20 \text{ m/s}$ , VV-pol, for sea with breaking waves and foam; (g)  $u_{10} = 20 \text{ m/s}$ , VV-pol for sea; (h)  $u_{10} = 20 \text{ m/s}$ , VV-pol, for sea with breaking waves and foam.

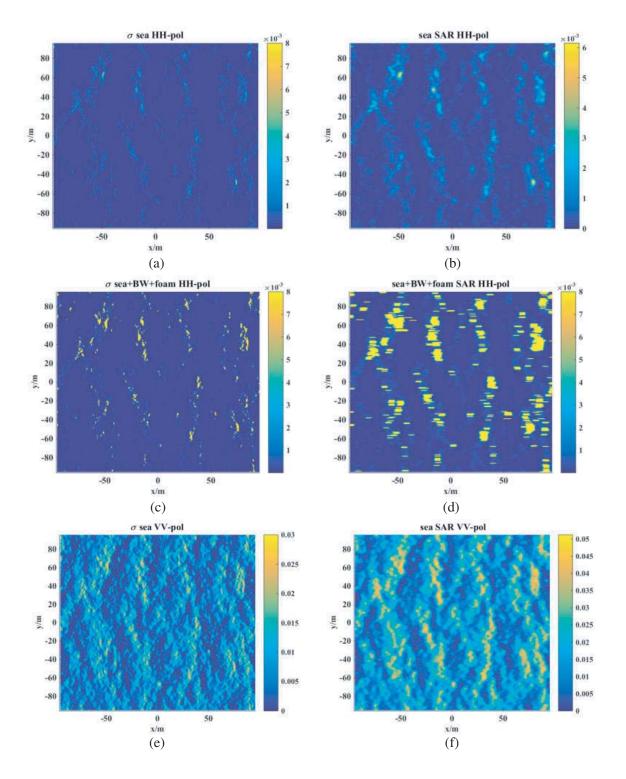


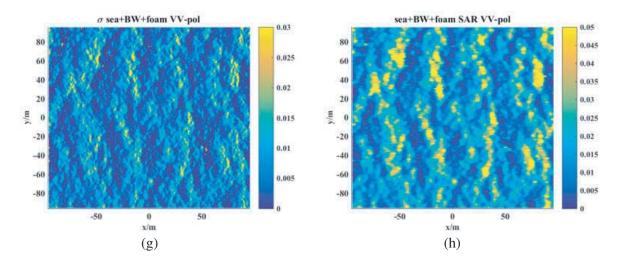
**Figure 8.** BSC of the sea with and without breaking waves and foam layers versus the wind speed for different incident angles. (a) HH-pol,  $\theta_i = 40^\circ$ ; (b)VV-pol,  $\theta_i = 40^\circ$ ; (c) HH-pol,  $\theta_i = 55^\circ$ ; (d) VV-pol,  $\theta_i = 55^\circ$ ; (e) HH-pol,  $\theta_i = 60^\circ$ ; (f) VV-pol,  $\theta_i = 60^\circ$ .

for HH polarization, whereas this phenomenon is not that obvious for VV polarization. We also find that there is a greater probability of large values for the BSC at higher wind speeds.

Comparisons of the scattering results obtained by our composite model, including breaking waves and volume scattering of foam and experimental measurements at various values of the wind speed with  $40^{\circ}$ ,  $55^{\circ}$  [38], and  $60^{\circ}$  [39] incidence are shown in Fig. 8. When the wind speed exceeds 7 m/s, the foam

coverage increases significantly. This relationship is also reflected in the BSC results as shown in Fig. 8. The plotted curves go up with the increase of the wind speed and are less steep when the wind speed exceeds 15 m/s than those at medium wind speed (7–15 m/s), which can be explained as a limitation of the foam coverage. The BSCs versus wind speed are about the same level as the RADSCAT data with 40°, 55° incidences, but the BSC is higher by 2 to 3 dB with 60° incidence for VV polarization. This bias was explained by [38], due to the calibration of the RADSCAT NRCS data and SASS data. These results clearly show that the contribution of breaking waves and volume scattering of foam layers





**Figure 9.** High-resolution SAR image of the sea surface simulated digitally. (a) BSC for sea surface; (b) SAR image intensity for sea surface; (c) BSC for sea surface with breaking waves and foam; (d) SAR image intensity for sea surface with breaking waves and foam; (e) BSC for sea surface; (f) SAR image intensity for sea surface; (g) BSC for sea surface with breaking waves and foam; (h) SAR image intensity for sea surface with breaking waves and foam; (h) SAR image intensity for sea surface with breaking waves and foam; (b) SAR image intensity for sea surface with breaking waves and foam; (b) SAR image intensity for sea surface with breaking waves and foam; (c) BSC for sea surface with breaking waves and foam; (c) SAR image intensity for sea surface with breaking waves and foam.

is enhanced with increasing wind speed especially with large incidence.

Figure 9 presents the backscattering coefficients and simulated SAR images of the sea surface with and without breaking wave and foam layers for HH and VV polarizations. The SAR simulation parameters are  $\tau_s = 0.3$ , T=0.18, R/V = 50, and  $N_l = 2$ . A clear phenomenon is observed wherein the intensity enhancement for HH-pol is much larger than that for VV-pol corresponding to the region with breaking waves and foam layers; this is confirmed by the observation of the SAR images obtained from the along-track interferometric (ATI) SAR data collected along the North Carolina coast for the near shore breaking waves [40]. We also observe that the position of breaking waves in the SAR image is significantly shifted compared to its real position on the sea surface, and the resolution for the simulated SAR image is degraded both for HH and VV polarizations due to the orbital motion of the sea surfaces. When the breaking wave results and foam layers effects are taken into consideration, the backscattering coefficients are enhanced significantly especially for HH polarization, which is also reflected in the simulated SAR images. The image intensity from breaking wave patches and foam patches is enhanced compared to its counterpart from the sea surface patches for HH polarization. However, this phenomenon is less obvious for VV polarization due to the large background resonant scattering. The SAR image simulation can help to understand the signature of breaking waves and foam layers in a more realistic way.

# 5. CONCLUSIONS

The composite scattering models proposed earlier are not comprehensive enough to obtain the spatial distribution of the scattering characteristics of the deterministic sea surface with both breaking waves and foam layers. Thus, a volume-surface composite scattering model of nonlinear rough sea surfaces with breaking waves and foam layers driven by wind that is suitable for the microwave scattering at LGA is presented in this study.

The relationship between crest- and static-foam coverage, thickness and wind speed gives us the basis of the breaking point and foam coverage distribution. The wedge-like breaking waves covered by the crest-foam are considered as the source of non-Bragg scattering including specular scattering and multipath backscatter. Furthermore, the wedge diffraction effect and foam layers are taken into account. The simulated results of scattering coefficients with breaking waves and foam layers considered by our model are in agreement with those in experiments.

Comparisons of our composite model with and without volume scattering for breaking waves and foam layers and experimental measurements with varying wind speeds and at  $40^{\circ}$ ,  $55^{\circ}$ , and  $60^{\circ}$  incidence are presented. These results clearly show that the contribution of breaking waves and volume scattering of foam layers is enhanced with the increase in wind speed especially with large incidence for HH polarizations.

Furthermore, the SAR images of sea surface with and without breaking waves and foam layers with an 80° incidence angle are simulated for HH and VV polarizations. The ensemble averaged image intensity from breaking wave patches and foam patches is enhanced compared to its counterpart from the sea surface patches for HH polarization. Further, the breaking point in the SAR image is significantly shifted compared with its real position on the sea surface.

Much work remains to be done on the detailed characterization of LGA scattering from the sea surface, i.e., (1) hydrodynamic theory for breaking waves [41] can also be invoked in our model to provide a better realization and data interpretation (2) cross-polarimetric scattering by calculating foam scattering coefficients directly through the integration for each facet in parallel is a technique that is promising. However, we believe that the main processes involved in the prediction of the scattering characteristics from the sea surface with breaking waves and foam layers have been fully considered in our model.

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