

# A Joint Detection and Tracking Algorithm for Unresolved Target and Radar Decoy

Zhiyong Song<sup>\*</sup>, Fei Cai, and Qiang Fu

**Abstract**—Miniature Air Launched Decoy (MALD) is an electronic warfare technique for inducing an angular deception in a monopulse radar by recreating glint angular error. MALD flies cooperatively with the true target, forms unresolved group targets within the radar beam, and destroys the detection, tracking and parameter estimation of monopulse radar for the true target. In this paper, a typical scenario for one target and one decoy was discussed, and the measurement model of target and decoy based on the actual non-ideal sampling conditions was established. The joint multi-targets probability density was adopted to dynamically describe the number and state of the targets within the radar beam. Based on the original observation without threshold decision, a joint detection and tracking algorithm for unresolved target and decoy was proposed under the Bayesian framework, and the judgment of existence of jamming and the target state estimation were deduced. Simulation results showed that the proposed method enabled quick detection of the appearance of MALD and estimated the state of target with minimal delay and high precision. Stable tracking of the true target was achieved under severe jamming conditions.

## 1. INTRODUCTION

The miniature air launched radar decoy is a new kind of angle deception jamming [1]. The decoy flies cooperatively with true target and realistically simulates the flight envelope and echo feature of target. The characteristic difference between the target and decoy is reduced greatly, and the unresolved multiple targets are formed [2–4]. Traditional processing of monopulse radar is suitable for the case where only one target falls within the resolution unit, and when two or more targets fall into the same unit of radar beam, the echoes of them will overlap with each other, and it will cause the uncertainty of radar observation and result in a great deviation of angle measurement [5]. The towed radar decoy, air launched radar decoy, cross-eye jamming and ground-rebound jamming, which are developed from this feature, all have good angle deception effects and bring challenges to target detection, measuring and tracking. Therefore, the detection and tracking of unresolved targets are of great significance for improving the capability of anti-jamming for monopulse radar.

For the detection and tracking problem of unresolved targets in radar beam, various methods have been proposed in the literature. Some involve special antenna configuration [6], array signal processing (beamforming, space-time adaptive processing (STAP), subspace rejection, high resolution spectrum analysis) [7–12], multiple-input multiple-output (MIMO) radar [13, 14], and dual-polarization radar [15, 16]. Nevertheless, these methods require additional configuration and processing for traditional monopulse radar, and their promotion and application are limited. Other methods involve the complete utilization of standard monopulse radar system, through modifying signal and data processing algorithms and software without increasing the hardware cost. Through analyzing and extracting the characteristic variety under two situations when single target and multiple unresolved

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targets are in radar beam, the detection and tracking of multiple targets are implemented separately. The characteristics to detect the unresolved targets include complex monopulse ratio [17, 18], range glint [19] and sample phase error [20], and the angle estimation methods include moment estimation [21–23] and maximum likelihood estimation [24–27]. The target detection in these methods refers to detect target multiplicity rather than the detection of presence. On the other hand, multiple target tracking methods such as joint probabilistic data association (JPDA) filter [28, 29] and multiple hypothesis tracker (MHT) [30, 31] are introduced to resolve the continuous tracking of unresolved or merged targets when the tracking interleaving occurs. However, in these multi-targets tracking methods, the unresolved targets are mainly in the observation data, not in the signal, and these methods do not control signal processing. All of the above methods considered the detection and tracking of unresolved targets as two separate processes. The estimation and tracking are based on the measurement after the threshold decision, and the information loss caused by threshold detection under low signal-to-noise ratio (SNR) will seriously affect the effectiveness of the methods.

Corresponding to the separated processing of detection and tracking, the joint detection and tracking method that integrates detection processing and tracking processing has great potential to deal with unresolved targets. The rapid development of the finite set statistics (FISST) theory [32, 33] has afforded a new theoretical framework for the joint detection and tracking of a target under complex conditions using a random finite set (RFS) [34]. Some methods adopting probability hypothesis density (PHD) filter [35], labeled PHD filter [36, 37], cardinalized probability hypothesis (CPHD) filter [38], and cardinality balanced multi-target multi-Bernoulli (CBMeMBer) filters [39] were addressed to resolve the detection and tracking of unresolved targets. Unfortunately, the assumption condition of the RFS method for the detection and tracking of unresolved targets is that the targets among the unresolved group do not overlap with each other. This assumption is obviously different from the unresolved situation caused by air launched decoy; therefore, this method is not applicable. Meanwhile, the track before detect (TBD) method based on the original signal without threshold decision can obtain detection and tracking results simultaneously utilizing the motion model of target and realizing the signal accumulating according to the motion law. This kind of method can effectively avoid the information loss caused by threshold decision and improve the detection and tracking performance under low SNR. The common TBD method includes Dynamic Programming (DP) [40, 41], Hough Transform (HT) [42], and particle filter (PF) [43]. Particle filtering techniques that have the advantage of providing computational tractability are applicable under most general circumstances since there is no assumption made on the form of the density. Some methods utilizing particle filter were introduced to detect and track two closely spaced targets [44, 45], and they focused on constructing hypothesis testing for detection based on Akaike information criterion (AIC) and using particles to achieve state estimation [46, 47].

In the present study, a typical scenario of one target launching one radar decoy was used to model the unresolved target and jamming within the radar beam by analyzing the jamming process of the MALD. The joint multi-targets probability density model was used to describe the number of targets in the radar beam and their states under the Bayesian framework. The joint detecting and tracking algorithm for the target and decoy based on particle filter was designed to realize the accurate detection of jamming and stable tracking of target. The effectiveness of this algorithm was experimentally validated. The rest of this paper is organized as follows. Section 2 presents the analysis and signal model of the miniature air launched radar decoy. Section 3 presents the joint detection and tracking algorithm of unresolved targets and deduces its particle realization form, especially the existence detection of jamming and state estimation of targets. Section 4 presents the results of simulation experiments performed using the algorithm. The conclusions of the study are finally presented in Section 5.

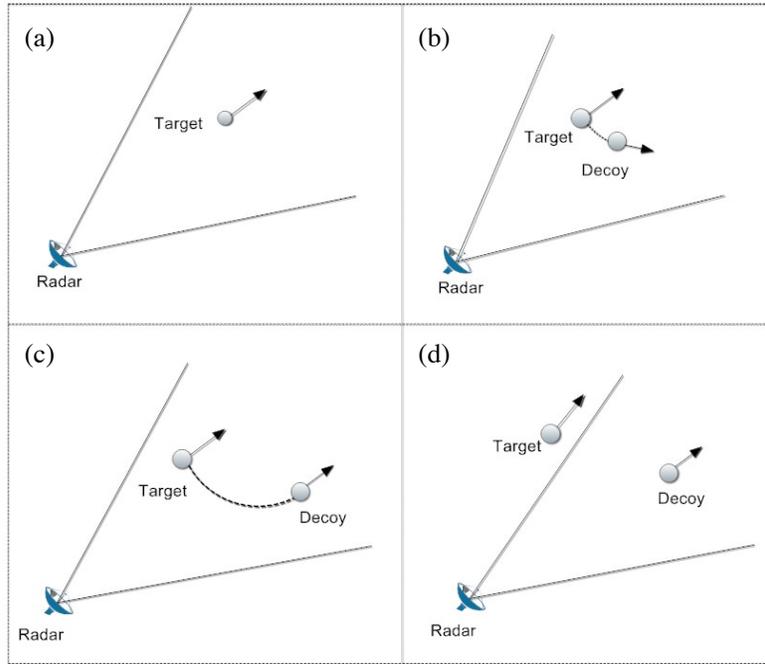
## 2. SIGNAL AND JAMMING MODEL

The essence of MALD jamming is to construct a non-coherent two-point source in the radar beam to deceive and mislead the monopulse radar to point to the decoy and deviate from the true target. The signal simulating and cooperative flying of the decoy make the target and decoy unresolved which will cause angular measurement error. Usually, the signal power ratio of the decoy jamming and the true target in radar echoes is defined as the interference suppression ratio (ISR). According to the jamming principle, the deviation angle between the monopulse radar antenna and the geometric center of target

and decoy is [48]

$$\theta = \frac{\Delta\theta \text{ISR}^2 - 1}{2 \text{ISR}^2 + 1} \quad (1)$$

where  $\Delta\theta$  is the angle interval between the target and decoy relative to radar. Eq. (1) shows that the greater the MALD power is, the closer the monopulse radar is to the decoy. When the radar cannot distinguish the target and decoy, their energy centers will be used as the attack point for parameter measurement and tracking and will result in loss of target. The jamming process of a typical MALD is shown in Figure 1. The target gradually deceives the beam point of monopulse radar by releasing the decoy and eventually left only the decoy in the radar beam, and the target successfully escapes from the radar beam.



**Figure 1.** The jamming process of MALD ((a) not releasing MALD, (b) just releasing MALD, (c) cooperative flying, (d) target escape form radar beam).

It can be seen form Figure 1 that there are 4 cases of target number existing during the jamming course for one target with one decoy: the target does not exist; the target exists; the target exists, but the jamming does not exist; the target and decoy both exist. Therefore, the number of targets in the radar beam at time  $k$  can be modeled as a discrete variable  $m_k \in \{0, 1, 2\}$ , where the jamming is another “target” and therefore counts in the number of targets. During the jamming process, the changes of the target number  $m_k$  can be modeled as a Markov process, and the state transformation matrix is

$$\Pi = \begin{bmatrix} \pi_{00} & \pi_{01} & \pi_{02} \\ \pi_{10} & \pi_{11} & \pi_{12} \\ \pi_{20} & \pi_{21} & \pi_{22} \end{bmatrix} \quad (2)$$

where  $\pi_{ij} \triangleq p(m_k = i | m_{k-1} = j)$ .

The state vector of target and decoy is expressed as  $\mathbf{x}_k^j (j = T, D)$ , where  $T$  represents target, and  $D$  represents decoy. In order to simplify the analysis, only two-dimensional plane is considered. Therefore, the state of single target or decoy is  $[x_k^j, \dot{x}_k^j, y_k^j, \dot{y}_k^j]^T (j = T, D)$ , where  $x, y$  represents the distance in the two dimension, and  $\dot{x}, \dot{y}$  represents the velocity in two dimension, respectively. The motion of the target and decoy is modeled as an approximate uniform model [49]:

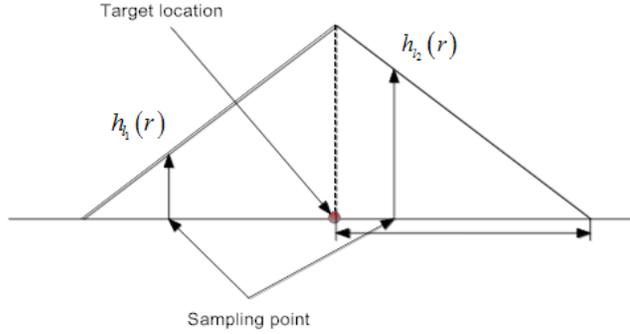
$$\mathbf{x}_k^j = \mathbf{F} \mathbf{x}_{k-1}^j + \mathbf{w}_k \quad (j = T, D) \quad (3)$$

where  $\mathbf{F}$  is the state transformation matrix;  $\mathbf{w}_k \sim N(0, \mathbf{Q})$  is processing noise, and assume that it is Gaussian white noise with variance  $\sigma_w^2$ .

When the decoy is not released, there is only one target existing in the radar beam, and when the target releases the decoy, there are unresolved target and decoy in the beam. Therefore, the composition of radar echo varies according to above different conditions. The non-ideal sampling mode in [50] is utilized to model the echo observation in following two cases.

### 2.1. Case 1: Observation Model with Only One Target in Radar Beam

When the target does not release the decoy, there is only one target in the radar beam and  $m_k = 1$ . Setting the radar waveform to a rectangular pulse with a width of  $\tau$ , the output of match filter is a triangular envelop with a width of  $2\tau$ . Assuming that the sampling rate of match filter is  $1/\tau$ , there are 2 sampling points ( $l_1$  and  $l_2$ ) affected by the target, where  $l_1, l_2 \in \{1, \dots, L\}$  and  $L$  are the sampling points for interest. The sampling model is shown in Figure 2, and  $h_{l_1}(r) = 1 - \text{mod}(r, \Delta R)/\Delta R$ ,  $h_{l_2}(r) = \text{mod}(r, \Delta R)/\Delta R$  represent the contribution of target to the two sampling points respectively, where  $\Delta R$  is the distance unit.



**Figure 2.** Sampling model with only one target in the radar beam.

The outputs of match filter of sum channel and azimuth channel in sampling points  $l_1$  and  $l_2$  are

$$\begin{aligned}
 s_I(l_1) &= \alpha_1 \cos \phi + n_{sI}(l_1) \\
 s_I(l_2) &= \alpha_2 \cos \phi + n_{sI}(l_2) \\
 s_Q(l_1) &= \alpha_1 \sin \phi + n_{sQ}(l_1) \\
 s_Q(l_2) &= \alpha_2 \sin \phi + n_{sQ}(l_2) \\
 d_I(l_1) &= \gamma \alpha_1 \cos \phi + n_{dI}(l_1) \\
 d_I(l_2) &= \gamma \alpha_2 \cos \phi + n_{dI}(l_2) \\
 d_Q(l_1) &= \gamma \alpha_1 \sin \phi + n_{dQ}(l_1) \\
 d_Q(l_2) &= \gamma \alpha_2 \sin \phi + n_{dQ}(l_2)
 \end{aligned} \tag{4}$$

where  $\alpha_i$  ( $i = 1, 2$ ) is the amplitude of sampling point  $i$ , and  $\gamma$  is the monopulse ratio. They are correlated with radar pattern and target state through the following formula [51]:

$$\alpha_i = \frac{\kappa \sqrt{\sigma} h_{l_i}(r) S^2(\theta)}{r^2}, \quad \gamma = \frac{D(\theta)}{S(\theta)}, \quad r = \sqrt{x^2 + y^2}, \quad \theta = \arctan(y/x) - \theta_p \tag{5}$$

where  $\kappa$  is a constant determined by the radar equation;  $\sigma$  is the RCS of target;  $\theta$  is the angle aviation of target;  $S(\theta)$  and  $D(\theta)$  are the antenna patterns of sum channel and difference channel, respectively;  $\theta_p$  is the radar pointing.  $n_{sI}(l)$ ,  $n_{sQ}(l)$ ,  $n_{dI}(l)$ ,  $n_{dQ}(l)$  ( $l = 1, \dots, L$ ) are the zero mean Gaussian noise with independent uniform distribution, and their variances are  $E[n_{sI}(l)^2] = E[n_{sQ}(l)^2] = \sigma_s^2$ ,  $E[n_{dI}(l)^2] = E[n_{dQ}(l)^2] = \sigma_d^2$ .

At this point, a single pulse signal vector  $\mathbf{g}$  composed of sum and difference channel signals is

$$\mathbf{g} = [s_I(l_1), s_I(l_2), d_I(l_1), d_I(l_2), s_Q(l_1), s_Q(l_2), d_Q(l_1), d_Q(l_2)] \tag{6}$$

The conditional distribution function of  $\mathbf{g}$  is

$$p(\mathbf{g}|X_k, m_k = 1) = N(\mathbf{g}; 0, \mathbf{P}) \quad (7)$$

where  $X_k$  is the target state, and its model is  $X_k = [x, \dot{x}, y, \dot{y}]$

$$\mathbf{P} = \begin{bmatrix} \mathbf{P}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{P}_1 \end{bmatrix} \quad (8)$$

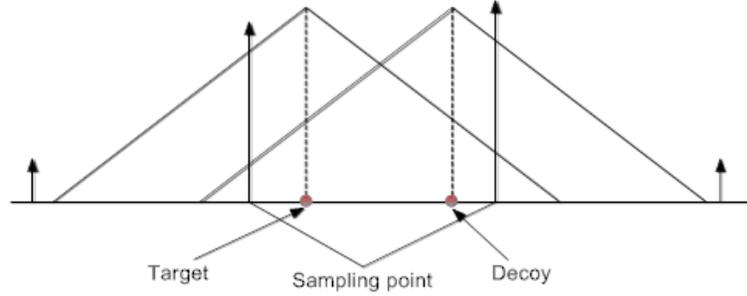
and

$$\mathbf{P}_1 = \begin{bmatrix} \alpha_{01}^2 & \alpha_{01}\alpha_{02} & \alpha_{01}^2\gamma & \alpha_{01}\alpha_{02}\gamma \\ & \alpha_{02}^2 & \alpha_{01}\alpha_{02}\gamma & \alpha_{02}^2\gamma \\ & & \alpha_{01}^2\gamma & \alpha_{01}\alpha_{02}\gamma^2 \\ & & & \alpha_{02}^2\gamma \end{bmatrix} + \text{diag}(\sigma_s^2, \sigma_s^2, \sigma_d^2, \sigma_d^2) \quad (9)$$

where  $\alpha_{0i}$  is the Rayleigh parameter related to  $\alpha_i$ .

## 2.2. Case 2: Observation Model with Unresolved Target and Decoy

When the target releases the decoy, the number of targets increases to 2, and unresolved target and decoy are both within the same resolution cell. There are also two sampling points affected by the target and decoy, and the signals of these two sampling points are superimposed by the target and jamming signals. Their sampling model is shown in Figure 3.



**Figure 3.** Sampling model with target and decoy being in same resolution cell.

Similarly, the outputs of match filter of sum channel and azimuth channel in sampling points  $l_1$  and  $l_2$  are [50]

$$\begin{aligned} s_I(l_1) &= \alpha_{1,T} \cos \phi_T + \alpha_{1,D} \cos \phi_D + n_{sI}(l_1) \\ s_I(l_2) &= \alpha_{2,T} \cos \phi_T + \alpha_{2,D} \cos \phi_D + n_{sI}(l_2) \\ s_Q(l_1) &= \alpha_{1,T} \sin \phi_T + \alpha_{1,D} \sin \phi_D + n_{sQ}(l_1) \\ s_Q(l_2) &= \alpha_{2,T} \sin \phi_T + \alpha_{2,D} \sin \phi_D + n_{sQ}(l_2) \\ d_I(l_1) &= \gamma_T \alpha_{1,T} \cos \phi_T + \gamma_D \alpha_{1,D} \cos \phi_D + n_{dI}(l_1) \\ d_I(l_2) &= \gamma_T \alpha_{2,T} \cos \phi_T + \gamma_D \alpha_{2,D} \cos \phi_D + n_{dI}(l_2) \\ d_Q(l_1) &= \gamma_T \alpha_{1,T} \sin \phi_T + \gamma_D \alpha_{1,D} \sin \phi_D + n_{dQ}(l_1) \\ d_Q(l_2) &= \gamma_T \alpha_{2,T} \sin \phi_T + \gamma_D \alpha_{2,D} \sin \phi_D + n_{dQ}(l_2) \end{aligned} \quad (10)$$

where  $\alpha_{i,T}$  ( $i = 1, 2$ ) is the amplitude of sampling point  $i$  affected by target, and  $\alpha_{i,D}$  ( $i = 1, 2$ ) is the amplitude of sampling point  $i$  affected by decoy.

The form of signal vector  $\mathbf{g}$  is

$$\mathbf{g} = [s_I(l_1), s_I(l_2), d_I(l_1), d_I(l_2), s_Q(l_1), s_Q(l_2), d_Q(l_1), d_Q(l_2)]^T \quad (11)$$

When  $m_k = 2$ , the conditional distribution function of  $\mathbf{g}$  is

$$p(\mathbf{g}|X_k, m_k = 2) = N(\mathbf{g}; 0, \mathbf{P}) \quad (12)$$

In the same way

$$\mathbf{P} = \begin{bmatrix} \mathbf{P}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{P}_1 \end{bmatrix} \quad (13)$$

where

$$\mathbf{P}_1 = \begin{bmatrix} \alpha_{01,a}^2 & \alpha_{01,a}\alpha_{02,a} & \alpha_{01,a}^2\gamma_a & \alpha_{01,a}\alpha_{02,a}\gamma_a \\ & \alpha_{02,a}^2 & \alpha_{01,a}\alpha_{02,a}\gamma_a & \alpha_{02,a}^2\gamma_a \\ & & \alpha_{01,a}^2\gamma_a & \alpha_{01,a}\alpha_{02,a}\gamma_a^2 \\ & & & \alpha_{02,a}^2\gamma_a \end{bmatrix} + \begin{bmatrix} \alpha_{01,b}^2 & \alpha_{01,b}\alpha_{02,b} & \alpha_{01,b}^2\gamma_b & \alpha_{01,b}\alpha_{02,b}\gamma_b \\ & \alpha_{02,b}^2 & \alpha_{01,b}\alpha_{02,b}\gamma_b & \alpha_{02,b}^2\gamma_b \\ & & \alpha_{01,b}^2\gamma_b & \alpha_{01,b}\alpha_{02,b}\gamma_b^2 \\ & & & \alpha_{02,b}^2\gamma_b \end{bmatrix} + \text{diag}(\sigma_s^2, \sigma_s^2, \sigma_d^2, \sigma_d^2) \quad (14)$$

### 3. JOINT DETECT AND TRACK WITH UNKNOWN NUMBER OF TARGETS

#### 3.1. Joint Multi-Targets Probability Density Bayesian Filtering

The detection and tracking of unresolved target and decoy is essentially a joint detect and track for an unknown number of targets within the radar beam. Since the number of targets (include the target and decoy) within the radar beam is unknown and varying, a probability density model can describe the number of targets and targets state [52]. The joint multi-targets probability density  $p(\mathbf{x}_{1,k}, \mathbf{x}_{2,k}, \dots, \mathbf{x}_{m,k}, m_k | \mathbf{Z}_k)$  is adopted to describe the conditional probability density of  $m_k$  targets with their states  $\mathbf{x}_{1,k}, \mathbf{x}_{2,k}, \dots, \mathbf{x}_{m,k}$  and the observation set  $\mathbf{Z}_k (\mathbf{Z}_k = \{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_k\})$ , which can be abbreviated as  $p(X_k, m_k | \mathbf{Z}_k)$ .  $p(\emptyset, m=0 | Z)$  represents the posterior probability density when there is no target in the radar beam;  $p(\mathbf{x}_T, m=1 | Z)$  represents the posterior probability density when only one target is in the radar beam; and  $p(\mathbf{x}_T, \mathbf{x}_D, m=2 | Z)$  represents the posterior probability density when both the target and decoy are in the radar beam.

The dimension of state vector  $X_k$  is determined by the number of targets in the beam at time  $k$ ; therefore, this problem can be transformed into a mixed estimation problem of the number and state of targets. The probability that there are  $m$  targets in radar beam is obtained by the integration of the joint multi-targets probability density.

$$\begin{cases} p(m|Z) = \int p(\mathbf{x}_1, \dots, \mathbf{x}_m, m|Z) d\mathbf{x}_1 \dots d\mathbf{x}_m \\ \sum_{m=0}^{\infty} p(m|Z) = 1 \end{cases} \quad (15)$$

According to the Chapman-Kolmogorov equation, the state prediction equation is

$$p(X_k, m_k | Z_{k-1}) = \sum_{m_{k-1}=0}^{\infty} \int p(X_k, m_k | X_{k-1}, m_{k-1}) p(X_{k-1}, m_{k-1} | Z_{k-1}) dX_{k-1} \quad (16)$$

where  $p(X_k, m_k | X_{k-1}, m_{k-1})$  is the Markov state transfer function.

Using the Bayesian criterion, the updated equation for the state is

$$p(X_k, m_k | Z_k) = \frac{p(\mathbf{z}_k | X_k, m_k) p(X_k, m_k | Z_{k-1})}{p(\mathbf{z}_k | Z_{k-1})} \quad (17)$$

It is easy to estimate the number of targets  $\hat{m}_k$  and their state  $\hat{X}_k$  according to  $p(X_k, m_k | Z_k)$ , and thus the detection of target or decoy and the tracking of unresolved targets within the radar beam are able to be realized simultaneously.

In above Bayesian filtering process, an important step is to obtain the observation likelihood function  $p(\mathbf{z}_k | X_k, m_k)$  under different conditions at time  $k$ . According to the signal model, assuming that the number of pulses of monopulse radar in single dwell time is  $M$ , the conditional probability

distribution of the signal vector composed by  $M$  pulses  $\mathbf{G} = \{\mathbf{g}_m\}_{m=1}^M$  (where  $\mathbf{g}_m$  is the signal vector of  $m$ th pulse) is

$$p(\mathbf{G}|X_k, m_k) = \prod_{m=1}^M p(\mathbf{g}_m|X_k, m_k) \quad (18)$$

Define the observation vector of the  $m$ th pulse as  $\mathbf{z}_m = [\mathbf{z}_{mI}, \mathbf{z}_{mQ}]^T$ , where  $I$  means in-phase component, and  $Q$  means quadrature component.

$$\begin{aligned} \mathbf{z}_{mI} &= [s_I(1), \dots, s_I(L), d_I(1), \dots, d_I(L)]^T \\ \mathbf{z}_{mQ} &= [s_Q(1), \dots, s_Q(L), d_Q(1), \dots, d_Q(L)]^T \end{aligned} \quad (19)$$

The observation vectors of  $M$  pulses constitute a complete measurement of radar at time  $k$ :

$$\mathbf{z}_k = \{\mathbf{z}_m\}_{m=1}^M \quad (20)$$

If there is no target in the radar beam, the signals of sampling point are background noise, then the likelihood function of single sampling point  $l$  is

$$p(\mathbf{z}_m(l)|m_k = 0) = N(\mathbf{z}_m(l); 0, \mathbf{P}') \quad (21)$$

where  $\mathbf{P}' = \text{diag}(\sigma_s^2, \sigma_d^2, \sigma_s^2, \sigma_d^2)$ .

Therefore, the observation likelihood function under the condition of the target number  $m_k$  and target states  $X_k$  can be obtained as

$$p(\mathbf{z}_k|X_k, m_k) = \begin{cases} \prod_{m=1}^M \prod_{l=1}^L N(\mathbf{z}_m(l); 0, \mathbf{P}') & \text{if } m_k = 0 \\ \prod_{m=1}^M \prod_{l=1}^L N(\mathbf{z}_m(l); 0, \mathbf{P}') \frac{\prod_{m=1}^M p(\mathbf{g}_m|X_k, m_k)}{\prod_{m=1}^M \prod_{l \in S} N(\mathbf{z}_m(l); 0, \mathbf{P}')} & \text{if } m_k = 1, 2 \end{cases} \quad (22)$$

where  $S$  is the sample point set related to target state  $X_k$ .

### 3.2. Joint Detection and Tracking Based on Particle Filter

The joint multi-targets probability density Bayesian filtering involves integral operations, and direct calculation has high implementation complexity. The sequential importance-resampling particle filtering method can achieve approximate calculation. Utilize  $N$  particles  $\{X_k^{(n)}, m_k^{(n)}, w_k^{(n)}\}_{n=1}^N$  to approximate the joint multi-targets conditional probability density function  $p(X_k, m_k|Z_k)$ , and realize the estimation for the target number  $m_k$  and corresponding target state vector  $X_k$ , where  $m_k^{(n)}$  is the number of targets for  $n$ th particle, and corresponding to different  $m_k^{(n)}$ , there are [53]:

When  $m_k^{(n)} = 0$ ,  $X_k^{(n)} = \emptyset$ , there is no target in the radar beam;

When  $m_k^{(n)} = 1$ ,  $X_k^{(n)} = \{\mathbf{x}_{k,T}^{(n)}\}$ , there is only target in the radar beam;

When  $m_k^{(n)} = 2$ ,  $X_k^{(n)} = \{\mathbf{x}_{k,T}^{(n)}, \mathbf{x}_{k,D}^{(n)}\}$ , there are target and decoy in the radar beam.  $T$  denotes target, and  $D$  denotes decoy.

The joint detection and tracking algorithm for unknown number of targets based on particle filter is implemented as follows.

#### 3.2.1. Step 1: Initialization

Assume that the target, decoy and target number of initial density  $p(\mathbf{x}_{0,T})$ ,  $p(\mathbf{x}_{0,D})$  and  $p(m_0) \sum_{i=0}^2 \mu_i \delta(m_0 - i)$  are all known. For every particle  $n = 1, \dots, N$ , initialize the  $m_0^{(n)}$ ,  $\mathbf{x}_{0,T}^{(n)}$  and

$\mathbf{x}_{0,D}^{(n)}$  (if exist) in order. The initialization method of  $m_0^{(n)}$  is: obtain  $u_n \sim U[0, 1]$  through sampling, then  $m_0^{(n)}$  set as  $m \in M$  according  $\sum_{i=0}^{m-1} < u_n \leq \sum_{i=0}^m \mu_i$ . The initializations of states  $\mathbf{x}_{0,T}^{(n)}$  and  $\mathbf{x}_{0,D}^{(n)}$  are the uniform distribution within the radar observation area. If  $m_0^{(n)} > 0$  for the  $n$ th particle, the state vector of the target and the decoy for this particle are expressed as  $\mathbf{x}_{0,T}^{(n)} = [x_{0,T}^{(n)}, \dot{x}_{0,T}^{(n)}, y_{0,T}^{(n)}, \dot{y}_{0,T}^{(n)}]$  and  $\mathbf{x}_{0,D}^{(n)} = [x_{0,D}^{(n)}, \dot{x}_{0,D}^{(n)}, y_{0,D}^{(n)}, \dot{y}_{0,D}^{(n)}]$ , and they correspond to the distance and velocity in the  $X$  and  $Y$  directions, respectively. The above components in the vectors are initialized by the radial range, velocity and angle of the monopulse radar. Assume that the initial antenna pointing of monopulse radar is  $\mathbf{p}_0 = [r_p^0, \theta_p^0]^T$ , where  $r_p^0$  is the center of range gate of radar,  $\theta_p^0$  the pointing of radar beam, and  $B_W$  the half power beamwidth of radar. Therefore, the position component of  $\mathbf{x}_{0,T}^{(n)}$  and  $\mathbf{x}_{0,D}^{(n)}$  can be obtained through the projection transformation in Cartesian coordinate system.

$$\begin{aligned} [x_{0,T}^{(n)}, y_{0,T}^{(n)}]^T &= r_{0,T}^{(n)} [\cos(\theta_{0,T}^{(n)}), \sin(\theta_{0,T}^{(n)})]^T \\ [x_{0,D}^{(n)}, y_{0,D}^{(n)}]^T &= r_{0,D}^{(n)} [\cos(\theta_{0,D}^{(n)}), \sin(\theta_{0,D}^{(n)})]^T \end{aligned} \quad (23)$$

where  $r_{0,T}^{(n)}$  and  $r_{0,D}^{(n)}$  are the initial radial ranges of target and decoy, and they follow the uniform distribution in the range gate.  $\theta_{0,T}^{(n)}$  and  $\theta_{0,D}^{(n)}$  are the initial angle errors of target and decoy, and they also follow the uniform distribution in the beamwidth. The four components mentioned above can be obtained as

$$\begin{aligned} r_{0,T}^{(n)}, r_{0,D}^{(n)} &\sim U[r_p^0 - \Delta R \cdot L/2, r_p^0 + \Delta R \cdot L/2] \\ \theta_{0,T}^{(n)}, \theta_{0,D}^{(n)} &\sim U[\theta_p^0 - B_W/2, \theta_p^0 + B_W/2] \end{aligned} \quad (24)$$

Set the speed range  $[v_{\min}, v_{\max}]$  according to the target type and motion characteristics, and the velocity component of  $\mathbf{x}_{0,T}^{(n)}$  and  $\mathbf{x}_{0,D}^{(n)}$  can be obtained through the velocity projection as follows.

$$\begin{aligned} [\dot{x}_{0,T}^{(n)}, \dot{y}_{0,T}^{(n)}]^T &= v_{0,T}^{(n)} [\cos(\theta_{v,0,T}^{(n)}), \sin(\theta_{v,0,T}^{(n)})]^T \\ [\dot{x}_{0,D}^{(n)}, \dot{y}_{0,D}^{(n)}]^T &= v_{0,D}^{(n)} [\cos(\theta_{v,0,D}^{(n)}), \sin(\theta_{v,0,D}^{(n)})]^T \end{aligned} \quad (25)$$

where  $v_{0,T}^{(n)}$  and  $v_{0,D}^{(n)}$  are the initial radial velocity of target and decoy, and they follow the uniform distribution in the speed range.

$$v_{0,T}^{(n)}, v_{0,D}^{(n)} \sim U[v_{\min}, v_{\max}] \quad (26)$$

$\theta_{v,0,T}^{(n)}$  and  $\theta_{v,0,D}^{(n)}$  are the initial deviation angle of velocity, and they follow the uniform distribution in  $[0, 2\pi]$ .

$$\theta_{v,0,T}^{(n)}, \theta_{v,0,D}^{(n)} \sim U[0, 2\pi] \quad (27)$$

### 3.2.2. Step 2: Prediction

This step is to predict the number of targets  $\{m_{k|k-1}^{(n)}\}_{n=1}^N$  and their state  $\mathbf{x}_{k|k-1,T}^{(n)}$  and/or  $\mathbf{x}_{k|k-1,D}^{(n)}$  based on the value at the time  $k-1$ . With the number of targets  $\{m_{k-1}^{(n)}\}_{n=1}^N$  at time  $k-1$  and their transformation matrix  $\mathbb{I}$  in Eq. (2),  $\{m_{k|k-1}^{(n)}\}_{n=1}^N$ ,  $\mathbf{x}_{k|k-1,T}^{(n)}$  and/or  $\mathbf{x}_{k|k-1,D}^{(n)}$  can be predicted by the following method:

- (i) Generate the random value of target number  $c_i(j)$  from the transformation matrix  $\mathbb{I}$ : for  $i = 0 : 2$ , set  $c_i(0) = 0$ , at the same time, for  $j = 0 : 2$ , set  $c_i(j) = c_i(j-1) + \pi_{ij}$ , loop until  $i = 2$ ;

- (ii) By comparison, predict the target number for every particle: for particle  $n = 1 : N$ , generate the random number  $u_N \sim U[0, 1]$ , simultaneously set  $i = m_{k|k-1}^{(n)}$ . Initial setting  $m = 1$ , if  $c_i(m) < u_n$ , then  $m = m + 1$ . Loop for every particle until  $n = N$ . Output the predicted target number  $\{m_{k|k-1}^{(n)}\}_{n=1}^N$  for every particle;
- (iii) For particle  $n = 1 : N$ , if the predicted target number  $m_{k|k-1}^{(n)} > m_{k-1}^{(n)}$ , declare the newborn of the target or jamming, and newborn of target state is consistent with the initialization stage in Step 1;
- (iv) If  $m_{k|k-1}^{(n)} < m_{k-1}^{(n)}$ , declare the death of target, and the corresponding target state will be abandoned;
- (v) For survival target, the state  $\mathbf{x}_{k|k-1,T}^{(n)}$  and/or  $\mathbf{x}_{k|k-1,D}^{(n)}$  are predicted by the state transformation density  $f_{k|k-1}(\mathbf{x}|\mathbf{x}')$  in (3).

### 3.2.3. Step 3: Update

The sampling importance resampling (SIR) is used to resample the particles. The likelihood ratio is used as the normalized weight.

$$\tilde{w}_k^{(n)} = l_k^{(n)} \frac{p(\mathbf{z}_k | X_{k|k-1}^{(n)}, m_{k|k-1}^{(n)})}{p(\mathbf{z}_k | X_{k|k-1}^{(n)} = \emptyset, m_{k|k-1}^{(n)} = 0)} \quad (28)$$

Bring the observation likelihood of Eq. (22) into Eq. (28) will reduce the calculation quantity of likelihood function.

$$\tilde{w}_k^{(n)} = \frac{p(\mathbf{z}_k | X_{k|k-1}^{(n)}, m_{k|k-1}^{(n)})}{p(\mathbf{z}_k | X_{k|k-1}^{(n)}, m_{k|k-1}^{(n)} = 0)} = \begin{cases} 1 & \text{if } m_{k|k-1}^{(n)} = 0 \\ \frac{\prod_{m=1}^M p(\mathbf{g}_m | X_{k|k-1}^{(n)}, m_{k|k-1}^{(n)})}{\prod_{m=1}^M \prod_{l \in S} N(\mathbf{z}_m(l); 0, \mathbf{P}')} & \text{if } m_{k|k-1}^{(n)} = 1, 2 \end{cases} \quad (29)$$

After obtaining the weight of all particles, normalize the weight  $w_{k|k-1}^{(n)} = \tilde{w}_{k|k-1}^{(n)} / \sum_{n=1}^N \tilde{w}_{k|k-1}^{(n)}$  and get weight  $\{w_{k|k-1}^{(n)}\}_{n=1}^N$ .

### 3.2.4. Step 4: Resampling

In resampling step, the predicted term  $X_{k|k-1}^{(n)}$  and  $m_{k|k-1}^{(n)}$ , and the likelihood ratios  $l_k^{(n)}$  were all retained in the process. By this way, the relationship among these three vectors can be preserved without recomputation and thus save computation.

### 3.2.5. Step 5: MCMC Move

In order to reduce the degradation of particles, the MCMC move is introduced on the basis of resampling to increase the diversity of particles without changing their original distribution [54]. The Metropolis-Hasting resampling-moving method is used to reduce the degradation in the target error angle estimation: for the particles satisfying  $m_k^{(n)} > 0$ , select an appropriate recommended distribution  $q_m(\theta'_k | \theta_k) = N(\theta'_k; \theta_k, \sigma_m^2)$ , and then obtain the new state  $\theta'_{k,T}$  and  $\theta'_{k,D}$  through sampling this distribution. Replace the position component of the target and decoy in particle  $X_k^n$  through Eq. (30) to get a new particle  $X_k'^{(n)}$ .

$$\begin{aligned} \begin{bmatrix} x'_{k,T} \\ y'_{k,T} \end{bmatrix} &= \begin{bmatrix} \cos(\theta'_{k,T}) x_{k,T} \\ \sin(\theta'_{k,T}) y_{k,T} \end{bmatrix}^T \\ \begin{bmatrix} x'_{k,D} \\ y'_{k,D} \end{bmatrix} &= \begin{bmatrix} \cos(\theta'_{k,D}) x_{k,D} \\ \sin(\theta'_{k,D}) y_{k,D} \end{bmatrix}^T \end{aligned} \quad (30)$$

Calculate the likelihood ratio corresponding to following formula and determine whether to accept the new particle or not.

$$T_{move} = \frac{p(\mathbf{z}_k | X_k'^{(n)})}{p(\mathbf{z}_k | X_k^{(n)})} = \frac{l_k'^{(n)}}{l_k^{(n)}} \quad (31)$$

If  $T_{move} > 1$ , the new particle  $X_k'^{(n)}$  will be reserved and replace old particles  $X_k^{(n)}$ ; otherwise only when  $U < T_{move}$ , the new particles will be reserved, where  $U$  is one sampling of distribution  $U(0, 1)$ .

### 3.2.6. Step 6: Detection and State Estimation

The particles before resampling are used to estimate the state of target and decoy. The estimation of target number  $m_k$  is

$$\hat{m}_k = \sum_{n=1}^N w_{k|k-1}^{(n)} m_{k|k-1}^{(n)} \quad (32)$$

After  $\hat{m}_k$  is obtained, the detection of target and decoy is decided as

$$\begin{cases} \hat{m}_k < T_1 & \Rightarrow \text{no target} \\ T_1 < \hat{m}_k \leq T_2 & \Rightarrow \text{only target} \\ \hat{m}_k > T_2 & \Rightarrow \text{target and decoy} \end{cases} \quad (33)$$

where  $T_1 \in 0, 1$  and  $T_2 \in 1, 2$  are two detection thresholds of this hypothesis testing problem.

The state estimation of target is obtained as

$$\hat{\mathbf{x}}_{k,T} = \frac{\sum_{n \in N_1} w_{k|k-1}^{(n)} \mathbf{x}_{k|k-1,T}^{(n)}}{\sum_{n \in N_1} w_{k|k-1}^{(n)}} \quad (34)$$

The state estimation of decoy is obtained as

$$\hat{\mathbf{x}}_{k,D} = \frac{\sum_{n \in N_2} w_{k|k-1}^{(n)} \mathbf{x}_{k|k-1,D}^{(n)}}{\sum_{n \in N_2} w_{k|k-1}^{(n)}} \quad (35)$$

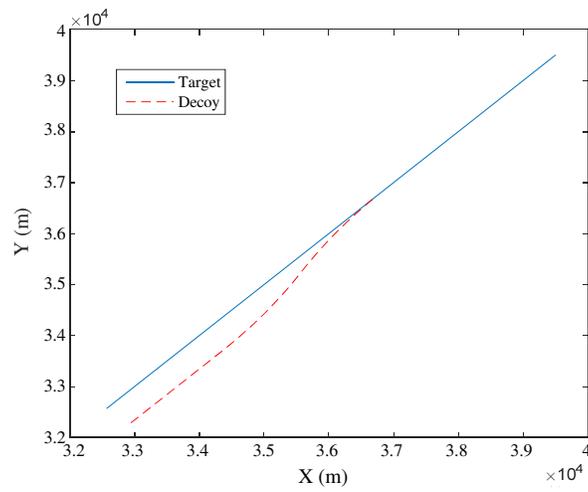
where  $N_1, N_2$  are the particle set that satisfies  $m_{k|k-1}^{(n)} > 0$ .

After above recursive filtering, the detection of target and decoy can be realized timely and accurately, and the state of target and decoy can be estimated correctly after the jamming is detected.

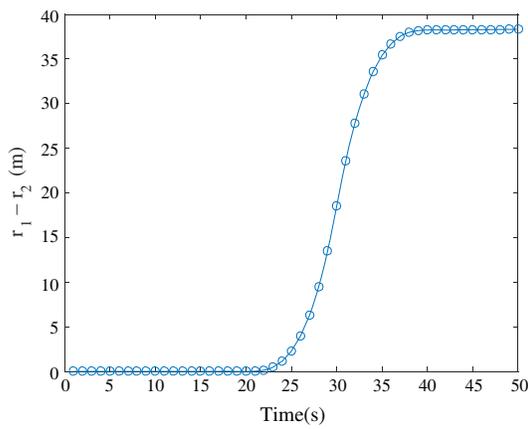
## 4. SIMULATION AND EXPERIMENT

### 4.1. Simulation Setting

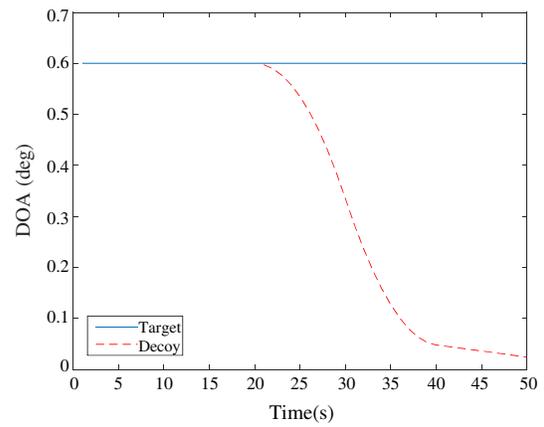
The simulation scene is: the radar is stationary at the origin, and radar pointing and range gate center are fixed. Form time step 0 to time step 10, there is no target in the radar beam, and the target appears at the 11th time step and moves toward southwest at a constant speed of 200 m/s. The jamming is released from the target at the 30th time step and then executes two CT maneuvers of 10s to form cooperative flying. Then the target moves parallel to the decoy until the simulation terminates, and the total time of simulation is 60 time step. The trajectories of target and decoy are shown in Figure 4(a), and Figures 4(b) and 4(c) demonstrate the range difference and angle error between the target and decoy. It can be seen that the target and decoy are unresolved after the jamming is released in the simulation process.



(a) Trajectory of target and decoy



(b) Range difference



(c) Angle error

Figure 4. Scene setting of simulation.

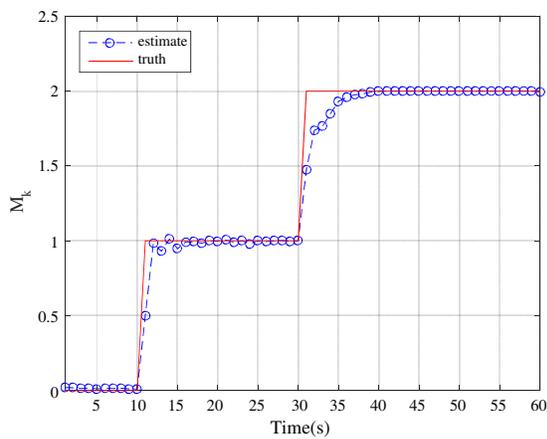


Figure 5. The estimation result of target numbers in radar beam when  $ISR = 2$ .

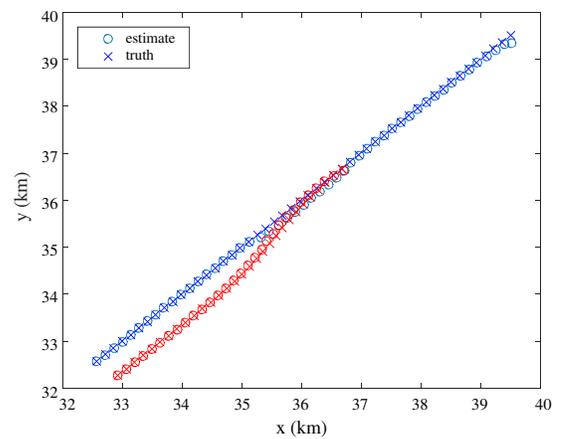


Figure 6. Tracking trajectory of target and decoy when  $ISR = 2$ .

In the experiment, the radar adopts the monopulse model, and the half power beamwidth of radar sum channel  $B_W = 2.4^\circ$ . The noise variance of real and imaginary components of sum channel is set to  $\sigma_s^2 = \sigma_d^2 = 1$ , and the SNR of single pulse is defined as

$$\text{SNR} = \frac{E(\alpha_i^2)}{\sigma_s^2} = \frac{E(\alpha_i^2)}{\sigma_d^2} = \frac{\bar{\sigma}\kappa^2}{r^4} \quad (36)$$

where assume  $h_{li}(r) = 1$  and  $F_{\Sigma}^2(\theta) = 1$ . The signal power of target and decoy is decided by the average radar cross section (ARCS)  $\bar{\sigma}$ , and the interference suppression ratio is equal to  $\bar{\sigma}_T/\bar{\sigma}_D$ , where  $T$  denote the target and  $D$  the decoy. The radar constant  $\kappa$  is equal to the square of the initial range of target:  $\kappa = r_{0,T}^2$ . Therefore, the SNR is only controlled by the ARCS and the range of the target and decoy. In this scenario, the range between radar and target is approaching gradually, and the SNR increases gradually. The dwell time interval in radar beam is  $T = 1$  s, the number of pulses  $M = 40$ , and the range unit  $\Delta R = 45$  m.

The motions of target and decoy are approximated as a constant velocity model, where the state transformation matrix and noise covariance are shown as follows.

$$\mathbf{F} = \begin{bmatrix} 1 & T & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & T \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad \mathbf{Q} = \sigma_w^2 \begin{bmatrix} \frac{T^4}{4} & \frac{T^3}{2} & 0 & 0 \\ \frac{T^3}{2} & T^2 & 0 & 0 \\ 0 & 0 & \frac{T^4}{4} & \frac{T^3}{2} \\ 0 & 0 & \frac{T^3}{2} & T^2 \end{bmatrix} \quad (37)$$

The initial distribution of  $m_0$  is set as  $\mu_0 = 0.8$ ,  $\mu_1 = 0.1$  and  $\mu_2 = 0.1$ , and the transfer matrix of  $m_k$  is set as

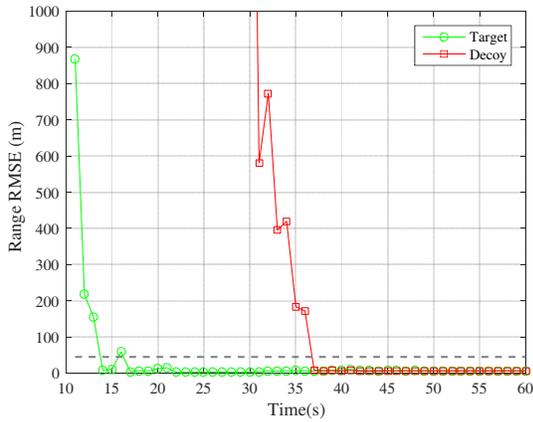
$$\mathbf{\Pi} = \begin{bmatrix} 0.8 & 0.1 & 0.1 \\ 0.1 & 0.8 & 0.1 \\ 0.1 & 0.1 & 0.8 \end{bmatrix} \quad (38)$$

## 5. SIMULATION RESULTS

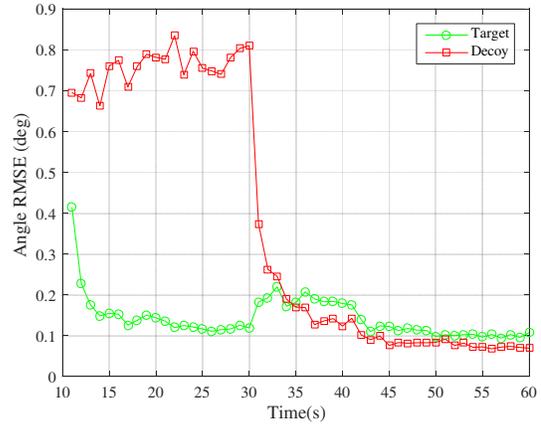
**Experiment 1:** In the implement of MALD jamming, in order to attract and deceive the radar beamforming to point to the false angle of jamming, usually the power of decoy is greater than that of target echo, and the typical interference suppression ratio is  $ISR = 2 \sim 8$ . The joint detection and tracking results with the jamming scene of  $ISR = 2$  are shown in the following figures. 200 times Monte Carlo simulation are utilized to evaluate the performance of the algorithm. The initial SNR is 0 dB, and the detection threshold is set as  $T_1 = 0.5$  and  $T_2 = 1.5$ .

Figure 5 shows the mean of estimated number of targets in the radar beam. The number of targets exceeds the threshold  $T_1$  at the 12th time step, and this indicates that the target is detected. The reality is that the target appears at the 11th time step, so the detection delay is about one time step. The number of targets exceeds the threshold  $T_2$  at the 32th time step, and this indicates that the decoy is released by the target, and the detection delay is about 2 time step compared to the actual decoy releasing time.

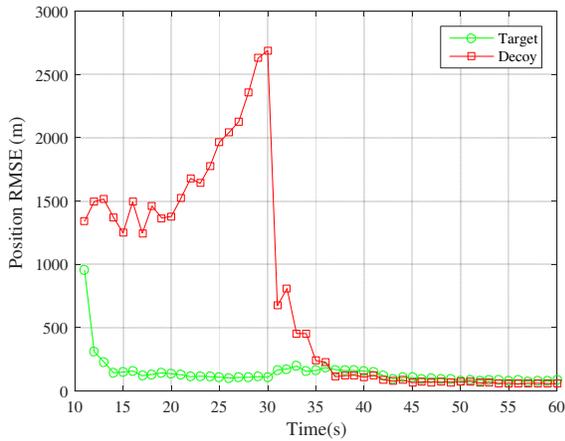
Figure 6 shows the tracking trajectories of target and decoy in the jamming situation. It can be seen that the estimation of target and decoy coincides with the true value in most cases except the beginning time of decoy release. Figure 7, Figure 8 and Figure 9 show the range estimation RMSE, angle estimation RMSE, and position estimation RMSEs of the target and decoy, respectively. It can be seen that the uncertainty of the target estimation decreases rapidly after the target appears, and this uncertainty increases at the time slot when the decoy is released, then decreases again. On the other hand, the uncertainty of decoy estimation is large when the decoy is released by the target, then gradually decreases with the converge of the filter. The RMSEs of the target and decoy are small, which ensure the accurate estimation and stable tracking of the target by the monopulse radar.



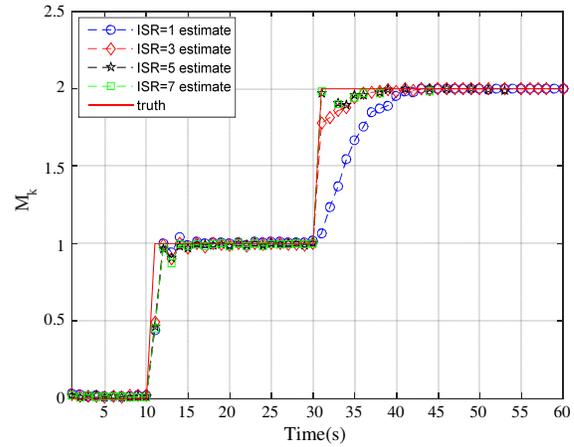
**Figure 7.** RMSE of range estimation when  $ISR = 2$ .



**Figure 8.** RMSE of angle estimation when  $ISR = 2$ .



**Figure 9.** RMSE of position estimation when  $ISR = 2$ .



**Figure 10.** Estimation results of target number under different  $ISR$ .

The simulation results of above experiment show that the proposed joint detection and tracking algorithm of unresolved target and decoy is available to detect the occurrence of MALD jamming, and the detection delay is no more than 5 time steps. The accurate estimation of target and decoy states can be achieved, and the estimation error is small. This ability can meet the needs of stable tracking.

**Experiment 2:** Based on experiment 1, the performance of joint detection and tracking algorithm for unresolved multi-targets under different  $ISR$  conditions is investigated to verify the adaptability of the algorithm to different jamming conditions. Six typical jamming conditions are selected, and 200 times Monte Carlo experiments are also used for performance evaluation. The results of target number estimation in radar beam under different  $ISR$  conditions are shown in the following figures.

It can be seen in Figure 10 that the detection delay of target is maintained at one time under different  $ISR$  conditions, and the detection of decoy releasing is continuously improved as the  $ISR$  increases. The larger the  $ISR$  is, the faster the estimated target number rises to 2 after the decoy releasing; therefore, the detection delay is smaller, and the detection performance is better.

The state estimation results of the target and decoy under different  $ISR$  conditions are shown in the figures.

The tracking trajectories of target and decoy in Figure 11 show that the joint detection and tracking algorithm is available to realize stable and accurate state estimation in these typical jamming conditions. The greater the  $ISR$  is, the more stable the tracking trajectory of the decoy is, and conversely the estimation accuracy of target is reduced.

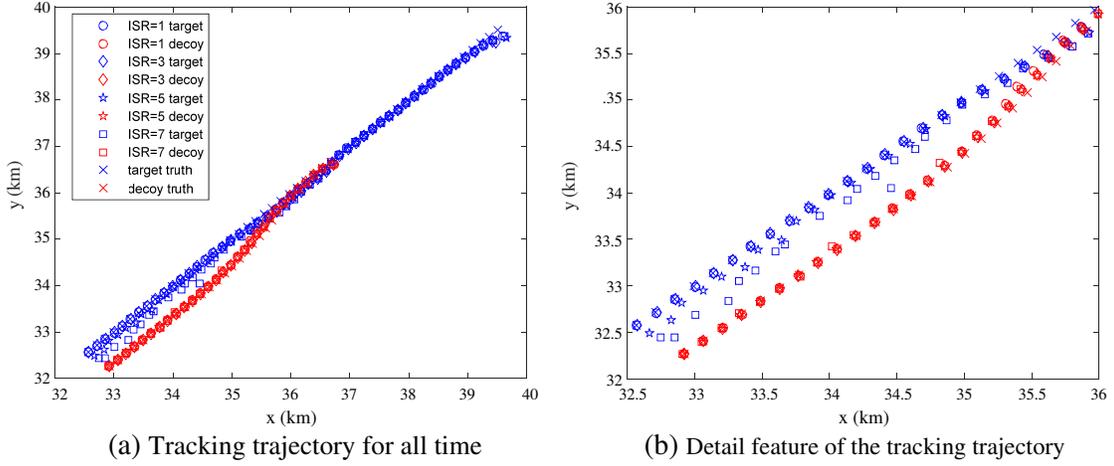


Figure 11. Tracking trajectory of target and decoy under different ISR conditions.

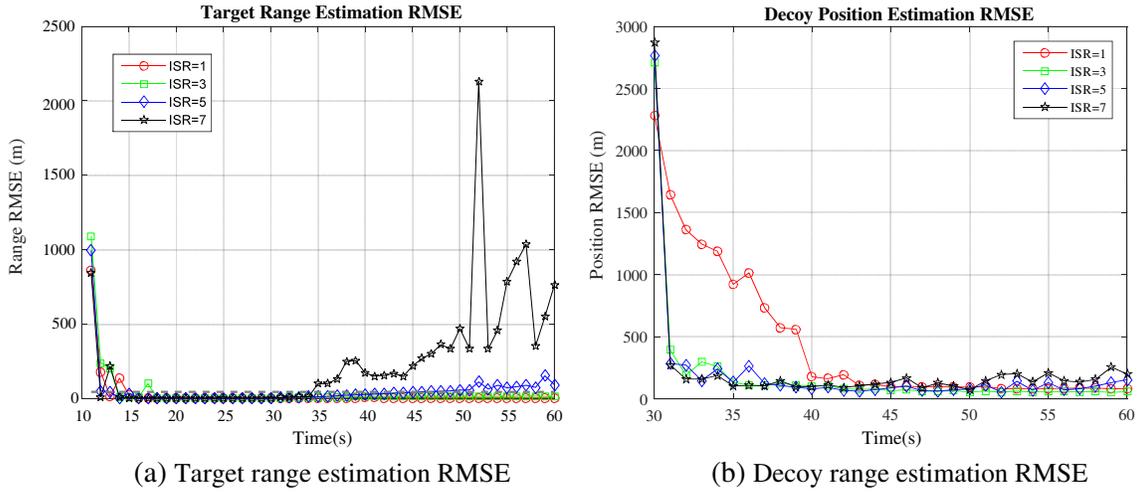


Figure 12. Range estimation RMSE of proposed algorithm under different ISR conditions.

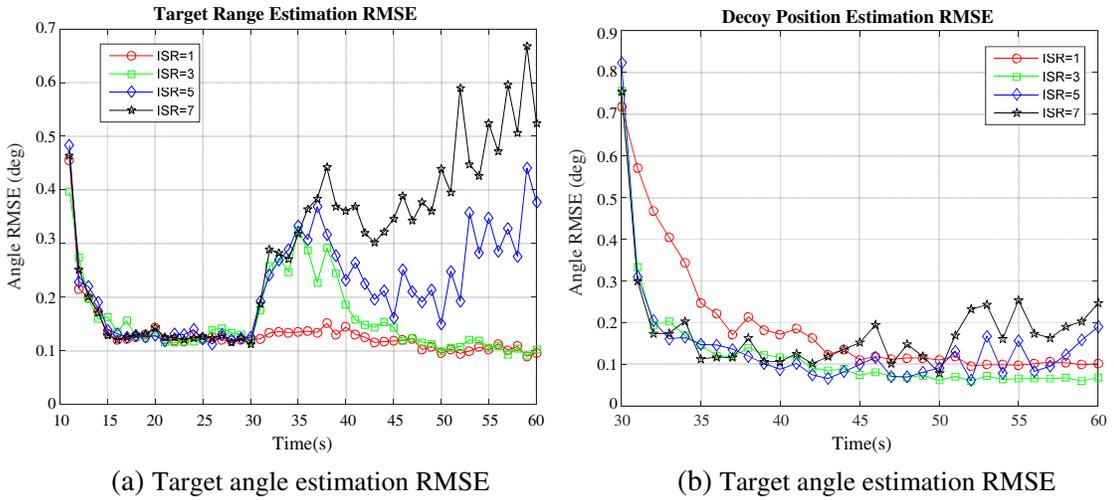


Figure 13. Angle estimation RMSE of proposed algorithm under different ISR conditions.

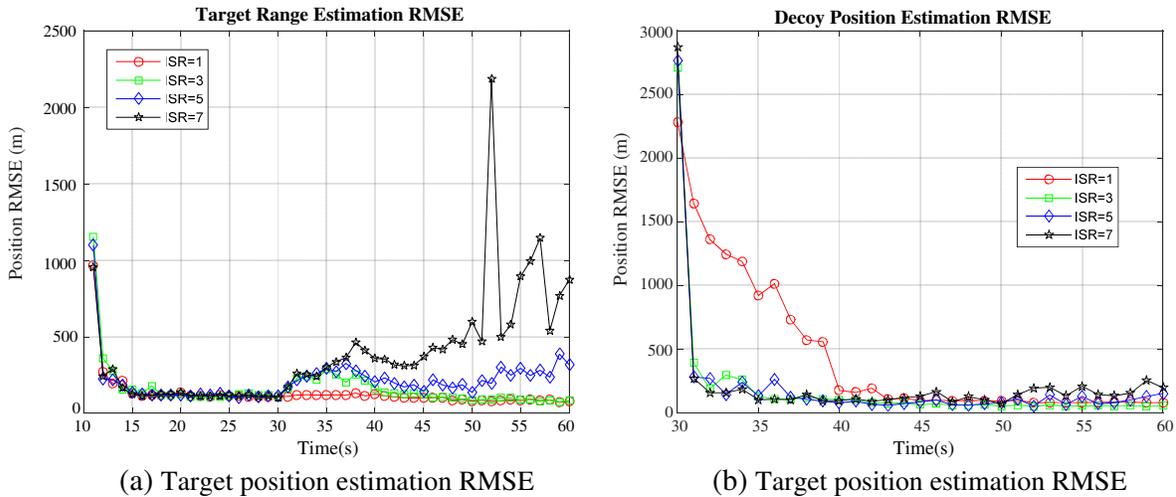


Figure 14. Position estimation RMSE of proposed algorithm under different ISR conditions.

The range estimation RMSEs, angle estimation RMSEs, and position estimation RMSEs of target and decoy under different ISR conditions are shown in Figure 12, Figure 13, Figure 14, respectively.

It can be seen from above figures that the estimation errors of the decoy in the range, angle and position are all small, with the exception of at the beginning of the experiment when the decoy is just released. Different from the decoy, the estimation errors of the true target in range angle and position are related to the ISR conditions, and with the increase of the ISR, the estimation accuracy of target decreases a little. Especially in the condition of strong jamming of  $ISR = 7$ , the estimation accuracy of target becomes worse, and the estimation error increases. Overall, the proposed algorithm has a good performance in both detection correctness and estimation accuracy, and can ensure the monopulse radar to always detect and track the target and decoy stably before the target is deceived to exit from the radar beam.

## 6. CONCLUSIONS

In this study, the counteracting of typical MALD jamming of a monopulse radar was investigated. Through the establishment of measurement model of target and decoy, a joint detection and tracking algorithm of unresolved target and decoy that utilized multi-targets probability density was developed. Simulation results showed that the proposed algorithm was capable of quickly and accurately detecting the existence of the true target and the decoy, and estimating the position of both. The estimation error was observed to be small, and this ensured that the monopulse radar detected and tracked the target stably before the target was deceived to escape from the radar beam.

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