Preliminary Results on Estimation of the Dispersive Dielectric Properties of an Object Utilizing Frequency-Dependent Forward-Backward Time-Stepping Technique

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Abstract—In this paper, a Frequency-Dependent Forward-Backward Time-Stepping (FD-FBTS) inverse scattering technique is used for reconstruction of homogeneous dispersive object. The aim of the technique is to reconstruct the relative permittivity at infinite frequency, static relative permittivity and static conductivity of the homogeneous dispersive object simultaneously. The technique utilizes iterative finite-difference time-domain (FDTD) method for solving inverse scattering problem in time domain. The minimization of the cost functional is carried out utilizing Dai-Yuan nonlinear conjugate-gradient algorithm. The Fréchet derivatives of the augmented cost functional are derived analytically with respect to scatterer properties. Numerical results for reconstruction of two-dimensional homogeneous dispersive illustrate the performance of the proposed technique.

1. INTRODUCTION

Microwave tomography is an imaging technique which utilizes ultrawideband (UWB) microwave frequencies to solve an electromagnetic inverse scattering problem. This technique attracts significant interest for researchers due to the numerous applications in medical imaging [1–4], non-destructive evaluation [5–7], geophysical prospecting [8] and civil engineering [9]. In microwave tomography, low-power and short-duration microwave pulses will be transmitted from an array of antennas towards the scatterer, and the resulting scattered microwave signals are measured at the locations around the scatterer domain. The measurement data are then inverted in order to reconstruct the spatial distribution of the electromagnetic properties of the scatterer. The electromagnetic inverse scattering problem is nonlinear because scattered field is a nonlinear function of the scatterer properties. Moreover, the problem is an ill-posed problem where the ill-posedness appears as a result of the operator that maps the scatterer properties to the scattered field is compact.

The subject of great interest in microwave inverse scattering is the selection of the time-dependence of the incident fields. Besides this approach, there are many methodologies based on frequencydomain have been proposed [10–12]. In frequency-domain approach, the incidences are assumed to be monochromatic and the popular methods such as method of moments (MoM) [13, 14] and the finiteelement method (FEM) [15], are utilized for field analysis of the frequency-domain approach. This approach has significant shortcoming when the frequency of excitation increases for better resolution of the reconstructed scatterer profiles. Hence, the inversion becomes highly nonlinear and the proposed algorithms may converge in local minima or even worse diverge. There are two approaches able to

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cope with shortcoming of monochromatic incidences wave approach in order to capture more detailed reconstructed scatterer profiles. First approach is using a sequence of distinct frequencies of excitation in the implementation of frequency-domain methods [1,3]. Field analysis in time domain is required by utilizing broadband incident fields for the second approach [16-18].

In time domain inversion techniques, the bandwidth of the excitation is the key feature for the reconstruction resolution where the resolution of the reconstruction is bounded by the shortest effective wavelength of the excitation field. Time domain microwave imaging is not limited to enhance the reconstruction resolution of nondispersive scatterers, but it is also capable to reconstruct the spatial distribution of the characteristic parameters of dispersive scatterers. Hence, the time-domain representation of the complex relative permittivity of a scatterer is also possible to be reconstructed.

The conventional Forward-Backward Time-Stepping (FBTS) technique is reported by Takenaka et al. [19–23] and is focused on nondispersive cases in which reconstructing the relative permittivity and conductivity of the scatterers. In nondispersive cases, the dielectric properties of the scatterers are independent of the frequency used. Due to the frequency dependence of the dielectric properties of the object modelled by using single-pole Debye dispersion equation hence the conventional FBTS is extended to Frequency-Dependent FBTS (FD-FBTS) in order to estimate the dispersive dielectric properties of the object.

In this paper, a microwave inverse scattering technique developed in time domain for reconstruction of the characteristic parameters of Debye scatterers is presented. The Dai-Yuan conjugate gradient (DYCG) algorithm [24] utilized the Fréchet derivatives of an augmented cost functional with respect to the scatterer properties in order to evaluate the spatial distribution of the scatterer properties under investigation. The finite-difference time-domain (FDTD) method is utilized in computing the calculated total field at a set of positions surrounding the scatterer domain in order to estimate the scatterer profiles.

2. MATHEMATICAL FORMULATION OF THE PROBLEM

2.1. Debye Dispersion Model

The complex relative permittivity of an inhomogeneous scatterer exhibiting Debye dispersion is given by

$$\varepsilon_r^*(\omega) = \varepsilon_\infty + \frac{\varepsilon_s - \varepsilon_\infty}{1 + j\omega\tau_0} - j\frac{\sigma_s}{\omega\varepsilon_0} \tag{1}$$

where ε_{∞} , ε_s , σ_s and τ_0 are the relative permittivity at $\omega = \infty$ and that at $\omega = 0$, static conductivity and the Debye relaxation time constant. ω is the angular frequency.

The aftereffect function $\chi(t)$ correspond to the second term of the right side of Eq. (1) is given by

$$\chi(t) = F^{-1} \left[\frac{\varepsilon_s - \varepsilon_\infty}{1 + j\omega\tau_0} \right] = \left(\frac{\varepsilon_s - \varepsilon_\infty}{\tau_0} \right) \exp\left(-\frac{t}{\tau_0} \right) U(t)$$
(2)

where F^{-1} denotes inverse Fourier transform and U(t) is the unit step function. Assume that ε_{∞} and ε_s depend on positions \vec{r} and we denote them as $\varepsilon_s(\vec{r})$ and $\varepsilon_{\infty}(\vec{r})$ while τ_0 is independent of **r**. Then, the aftereffect function is denoted as

$$\chi\left(\vec{r},t\right) = \left[\varepsilon_s\left(\vec{r}\right) - \varepsilon_\infty\left(\vec{r}\right)\right] \frac{1}{\tau_0} \exp\left(-\frac{t}{\tau_0}\right) U(t) \tag{3}$$

We assume that the Debye scatterer is nonmagnetic and lies within the scatterer domain S. The domain S is excited by m incident waves, while for each incidence the electric field is measured at n positions around the scatterer for the time interval [0, T]. Hence, a set of $M \times N$ electric field measurements is obtained, which are denoted as \mathbf{E}_{mn} where $m = 1, \ldots, M$ and $n = 1, \ldots, N$. We note that the time t of measurement is selected in a way that the measured field at the farthest receiver has significantly faded out.

2.2. Cost Functional

Our objective is to estimate the three parameters characterizing a Debye medium, i.e., ε_{∞} , $\chi(t)$ and σ_s , by inverting the electric field measurements $\tilde{v}_m(\mathbf{r}_n^r, t)$. The inverse problem is formulated as optimization

Progress In Electromagnetics Research M, Vol. 49, 2016

problem with the following cost functional that needs to be minimized:

$$Q(\mathbf{p}) = \int_{0}^{cT} \sum_{m=1}^{M} \sum_{n=1}^{N} K_{mn}(t) \left| \mathbf{v}_{m}\left(\mathbf{p}; \, \vec{r}_{n}^{r}, \, t\right) - \tilde{v}_{m}\left(\vec{r}_{n}^{r}, \, t\right) \right|^{2} d(ct)$$
(4)

where \mathbf{p} is a medium parameter vector function

$$\mathbf{p} = \left(\varepsilon_{\infty}\left(\vec{r}\right) \quad \chi\left(\vec{r},t\right) \quad \sigma_{s}\left(\vec{r}\right)\right)^{t}$$

 $K_{mn}(t)$ is a non-negative weighting function which takes a value of zero at time t = T (*T* is the time duration of the measurement), and $\mathbf{v}_m(\mathbf{p}; \vec{r}_n^r, t)$ and $\tilde{v}_m(\vec{r}_n^r, t)$ are the calculated electromagnetic fields for an estimated medium parameter vector \mathbf{p} and the measured electromagnetic fields due to *m*th source, respectively.

2.3. Maxwell's Equation for the Estimated Fields

For simplicity, only dielectric dispersive case is considered in this paper. Maxwell's equations are given in matrix form as

$$\mathcal{L}\mathbf{v} = \mathbf{s} \tag{5}$$

where

$$\mathbf{v} = \begin{pmatrix} E_x & E_y & E_z & H_x & H_y & H_z \end{pmatrix}^t \tag{6}$$

$$\mathbf{s} = \begin{pmatrix} J_x & J_y & J_z & J_{Mx} & J_{My} & J_{Mz} \end{pmatrix}^t \tag{7}$$

The differential operator \mathcal{L} is defined by

$$\mathcal{L}\mathbf{v} \equiv \bar{A}\frac{\partial\mathbf{v}}{\partial x} + \bar{B}\frac{\partial\mathbf{v}}{\partial y} + \bar{C}\frac{\partial\mathbf{v}}{\partial z} - \bar{F}\frac{\partial\mathbf{v}}{\partial t} - \frac{\partial}{\partial t}\left(\bar{G}*\mathbf{v}\right) - \bar{K}\mathbf{v}$$
(8)

where \bar{A} , \bar{B} and \bar{C} are 6×6 constant matrices

and \overline{F} and \overline{G} are 6×6 matrix consisting of the tensor permittivity and permeability, and \overline{K} a 6×6 matrix consisting of the tensor electric and magnetic conductivities are given by

Parameter ε_0 is the permittivity of the free space. Parameter ε_{∞} is the relative permittivity of the material at infinite frequency.

We assume that the currents are generated at time t = 0 and there is no electromagnetic field before the time t = 0. Then, the lower limit of the integration in Eq. (8) becomes zero.

2.4. Fréchet Derivatives

The Fréchet derivatives of the cost functional with respect to the scatterer properties are obtained from the terms of $Q'(\mathbf{p})\delta\mathbf{p}$ that include the first-order variations of the scatterer properties. In particular, the Fréchet derivatives are given by

$$g_{\varepsilon_{\infty}} = 2 \int_{0}^{cT} \sum_{m=1}^{M} \sum_{i=1}^{3} \left[w_{mi}\left(\mathbf{p};\mathbf{r},t\right) \frac{\partial}{\partial(ct)} v_{mi}\left(\mathbf{p};\mathbf{r},t\right) \right] d(ct)$$
(10)

$$g_{\chi(t)} = 2 \int_0^{cT} \sum_{m=1}^M \sum_{i=1}^3 \left[\frac{\partial v_{mi}\left(\mathbf{p};\mathbf{r},t\right)}{\partial(ct)} \otimes w_{mi}\left(\mathbf{p};\mathbf{r},t\right) \right] \frac{1}{\tau_0} \exp\left(-\frac{t}{\tau_0}\right) d(ct)$$
(11)

$$g_{\sigma_s} = 2 \int_0^{cT} \sum_{m=1}^M \sum_{i=1}^3 \left[w_{mi} \left(\mathbf{p}; \mathbf{r}, t \right) v_{mi} \left(\mathbf{p}; \mathbf{r}, t \right) \right] d(ct)$$
(12)

The derivatives in Eqs. (10)–(12) are utilized by conjugate-gradient optimization algorithm to reconstruct ε_{∞} , $\chi(t)$ and σ_s .

3. FREQUENCY-DEPENDENT FORWARD-BACKWARD TIME-STEPPING TECHNIQUE

The Frequency-Dependent Forward-Backward Time-Stepping (FD-FBTS) technique is used to solve the inverse scattering problems utilizing the broadband microwave signals in time domain. It possesses the potential to reconstruct images which provide useful quantitative information about the location, shape and the internal composition in the region of interest. FD-FBTS is utilized to reconstruct the dispersive dielectric properties of the object modelled by using Debye dispersion equation which is frequency dependent.



Figure 1. Configuration of the FD-FBTS in 2-D FDTD scheme.

The purpose of this technique is to resolve the shape, location and electric properties of any electromagnetic inverse scattering problem. The electric properties include the permittivity, permeability, electric conductivity and magnetic conductivity. Figure 1 shows a typical configuration of an active microwave tomography setup for FD-FBTS inverse scattering problem. The object is assumed to be embedded in a free space. The object is illuminated successively by M short pulsed waves generated by current sources $\mathbf{s}_m(\vec{r},t)$ located at $\vec{r} = \vec{r}_m^t (m = 1, 2, ..., M)$. The 16 antennas shown in Figure 1 will take turn to transmit a gaussian pulse towards the region of interest (ROI) one at a time while the remaining antennas will become the receivers.

The weighty challenge in solving inverse scattering problem is reconstructing the electric properties' profile utilizing the knowledge of the transient field data measured at several observation points $\vec{r} = \vec{r}_n^t (n = 1, 2, ..., N)$ for each illumination. For the initial condition, the currents are assumed to be generated at time t = 0 and there are no electromagnetic fields found before time t = 0. Hence, the total electromagnetic fields $\mathbf{v}_m(\vec{r}, t)$ for the *m*th current source $\mathbf{s}_m(\vec{r}, t)$ satisfy the following Maxwell's equation:

$$\mathcal{L}\mathbf{v}_m = \mathbf{s}_m \tag{13}$$

Under zero initial condition for

$$\mathbf{v}_m\left(\mathbf{r},0\right) = 0\tag{14}$$

where \mathcal{L} is the Partial Differential Equation operator of Maxwell's equations.

To initialize the FD-FBTS procedure, an optimization problem is formulated in the form of cost functional Equation (4) to be minimized.

In FD-FBTS technique, the error is calculated with the difference between measured and calculated microwave scattering data in time domain at each antenna for every combination as mentioned in Equation (4). The gradient of the error functional with respect to \mathbf{p} is calculated by using a forward Finite-Difference Time-Domain (FDTD) computation. Then, a corresponding adjoint FDTD computation is carried out by exploiting the residual received signals as equivalent sources which are reversed in time. In FD-FBTS, Dai Yuan conjugate gradient method is used for optimization technique to solve the inverse scattering problem.

4. RESULTS AND DISCUSSIONS

In this research, we use a simple circular object embedded within a circular region of interest. The object is then surrounded by 16 antennas which will act as transmitter and receivers in turns. In the FD-FBTS reconstruction algorithm, the grid size for the FDTD lattice we used was $1 \text{ mm} \times 1 \text{ mm}$ while the excitation signal used was sinusoidally modulated Gaussian pulse with center frequency, $f_c = 2 \text{ GHz}$ with bandwidth of 1.3 GHz. The FDTD lattice consists of a layer of Convolutional Perfectly Matched Layer (CPML) with thickness of 15 mm at the borders of the FDTD lattice in order to prevent reflections

of the signal at the boundary of the FDTD lattice. The FD-FBTS algorithm was simulated up to 100 iterations utilizing 16 antennas to reconstruct the embedded object.

The size for the region of interest (ROI) is set to 80 mm in diameter while the size for the object is set to 10 mm in diameter. The electrical properties for the ROI and object are summarized in Table 1.



 Table 1. Electrical properties of the region of interest and object.

Figure 2. Original profiles of the model used (a) relative permittivity at infinite frequency, (b) static relative permittivity, (c) static conductivity.



Figure 3. Reconstructed profiles of the model used (a) relative permittivity at infinite frequency, (b) static relative permittivity, (c) static conductivity, (d) cross-sectional view of relative permittivity at infinite frequency at the axis x = 110, (e) cross-sectional view of static relative permittivity at the axis x = 110, (f) cross-sectional view of static conductivity at the axis x = 110.

Progress In Electromagnetics Research M, Vol. 49, 2016

67

The foundation of this approach is formulated utilizing Debye equation as expressed in Equation (1). Figure 2 illustrates the original profiles of the circular model used in this work. Figure 3(a) shows the reconstructed relative permittivity at infinite frequency and indicating that the shape and size of the object is able to be detected and reconstructed for relative permittivity at infinite frequency. Figure 3(d) shows the cross-sectional view of the reconstruction Figure 3(a) at the axis x = 110 which is at the center point.

Figure 3(b) shows the reconstructed static relative permittivity in which the object's shape and size are reconstructed. Figure 3(e) shows the cross-sectional view of the reconstruction Figure 3(b) at the axis x = 110 which is at the center point.

Figure 3(c) shows the reconstructed static conductivity in which the size and shape of the embedded object are able to be detected and reconstructed. Figure 3(f) shows the cross-sectional view of the reconstruction Figure 3(c) at the axis x = 110 which is at the center point.

The reconstruction of the three electrical properties have been analysed and the mean square error (MSE) are calculated respectively. The MSE of the reconstructed electrical properties are 0.0761, 0.6485 and 0.00019428 for relative permittivity at infinite frequency, static relative permittivity and static conductivity, respectively.

5. CONCLUSIONS

The iterative FD-FBTS algorithm can be used to resolve the dispersive electrical properties of an inverse scattering problem using broadband microwave signal in time domain. The shape and size of a simple embedded object are reconstructed in all three dispersive electrical properties.

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