

Scattering from a Target above Rough Sea Surface with Breaking Water Wave by an Iterative Analytic-Numerical Method

Runwen Xu^{*}, Lixin Guo, Qiang Wang, and Wei Liu

Abstract—Two-dimensional (2D) electromagnetic scattering from a target above the sea with breaking water wave is studied by a multiregional iterative analytical-numerical method that combines the boundary integral method (BIM) and the Kirchhoff approximation (KA). Based on the “Pierson-Moskowitz” (PM) sea surface and the LONGTANK breaking wave, a theoretical model of a target above the rough sea surface with breaking wave is built firstly in this paper. Unlike traditional sea surface, the multipath scattering between the crest of the breaking wave and the target cannot be accurately predicted based on KA alone. To improve the algorithm precision, a multiregional hybrid analytical-numerical method is proposed. In our multiregional model, the whole sea is divided into two subregions: the breaking wave and the PM sea surface. The scattering from the breaking wave and the object is well approximated by BIM, while the PM sea surfaces can be estimated very well by KA based on Fresnel theories. Taking the interaction between KA region and BIM region into account, an iterative system is developed which gives a quick convergence. The hybrid technique presented here is highly efficient in terms of computing memory, time consumed, and versatility.

1. INTRODUCTION

Scattering from a target above the sea is a subject of great interest in many applications, such as remote sensing, target recognition, oceanography, and military application. Breaking-wave scattering is one of the most difficult problems in sea-surface scattering. Holliday et al. [1] applied forward-backward method (FBM) for calculating scattering from dielectric sea, and showed that highly accurate results at X-band (10 GHz) were obtained for the case of a steepened sea wave. A hybrid method combining the method of moments (MoM) and geometrical theory of diffraction (GTD) was used in [2] to investigate the scattering from breaking water wave crests. Recently, a multilevel fast multipole algorithm (MLFMA) was applied in [3, 4] to study the microwave backscattering from three-dimensional (3D) breaker wave crests at low grazing angle illumination. The MLFMA with higher order hierarchical Legendre basis functions [5, 6] was applied in the electromagnetic-scattering analysis of 3D breaking water wave crests. The phase-modified two-scale method (TSM) and method of equivalent currents (MEC) [7] were used to calculate the surface and volume scattering of sea surface and breaking waves respectively. It is noteworthy that the scattering from breaking water waves with roughened front faces has been numerically examined by West [8]. However, the previous works were only focused on scattering from the breaking wave, and there are few papers [9, 10] discussing the influence of breaking wave on the scattering from an object above the sea surface.

In this paper, a multiregional iterative analytical-numerical method is developed to numerically study the influence of breaking wave on the radar scattering from an object above the dielectric sea surface. A scattering model of an object above the sea with breaking wave is firstly built based on the PM sea surface and the LONGTANK model, which may not entirely agree with the practical sea surface. Nevertheless, a theoretical model is presented to depict the practical problems to some extent.

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The inspiration of the hybrid iterative method is mainly from [11], in which the hybrid iterative MoM-KA was presented to study the scattering from a perfectly electric conducting (PEC) target above a PEC rough surface. There we extend hybrid MoM-KA to the scattering from a PEC target above a dielectric rough sea surface based on BIM and Fresnel theories. However, the hybrid algorithm in [11] cannot achieve a precise result for the scattering problem in this paper, because the pure KA can not depict exactly the multiple scattering between the breaking wave and the target. To improve the computational precision of the hybrid method, the rough sea surface is divided into two subregions: the breaking wave and the traditional PM sea surface. KA is only used to study scattering from the traditional PM sea surface, while BIM is applied to exactly simulate the scattering from the target and the breaking wave. The hybrid iterative technique presented here is validated to be highly efficient in terms of computing memory, time consumed, and versatility.

2. FORMULATIONS AND EQUATIONS

The breaking-wave regions of the sea are derived by the LONGTANK numerical hydrodynamic code that is proposed by Wang et al. at the ocean engineering laboratory of the University of California, Santa Barbara (UCSB) [12]. Figure 1 shows the scattering model of LONGTANK waves, which is consistent with tank experiments and ocean observation.

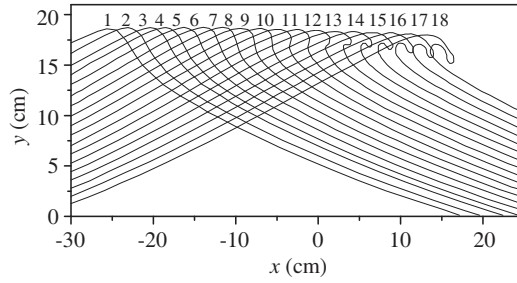


Figure 1. The scattering model of LONGTANK breaking waves.

As laboratory profiles of breaking waves are lower than 20 cm, the roughness added on the breaking waves in [8] is associated with a very low wind speed in the Pierson-Moskowitz (PM) spectrum (1.5 m/s). In [8], the roughness is only added to the front face of the breaking wave. In this paper, a simple combined model of the PM roughness added to both the front and back faces of the breaking wave is designed which is more practical. The wave crest is further elongated with straight lines, and the rough sea surface can be generated by the PM spectrum [13]. The parts of the sea surface overlapped by the breaking wave are removed, and the connection points are the crossover points between the PM sea surface and the breaking wave. If the discontinuity appears at the joints between two regions, the discontinuous points near the joint can be removed so that the other points can be connected to obtain continuity and a smoother surface. In our model, the junctions between the PM sea surface and the breaking wave float with the undulation of the sea. Figure 2 gives a two-dimensional (2D) scattering model of a PEC object above the dielectric rough sea surface with the breaking wave. Although the model is not an exact representation of the real sea, it gives a reasonable approximation of the real sea surface for a gentle breeze to some extent.

As shown in Figure 2, an incident wave impinges on an object above the sea with a truncated length of L_{rs} by an incident angle θ_{inc} . The symbol Ω_U denotes the domain of the air, and Ω_D is the domain of the seawater. The symbols ε and μ denote the permittivity and permeability of the corresponding domain. The integral paths in BIM region are plotted in Figure 2. \hat{n}_O is a unit normal vector of the object, Γ_O is a boundary of the object, \hat{n}_w is a unit normal vector of the breaking wave, Γ_W is the surface of breaking wave, Γ_S is the part of the PM sea surface, Γ_∞ denotes the infinite boundaries, the subscript $+$ denotes the side in domain Ω_U , and the subscript $-$ denotes the side in domain Ω_D . The domain of the breaking wave is the part between two connection nodes as shown in Figure 2. To improve the precision of the iteration method, the whole sea in our hybrid method is divided into two

$$A_{ij}^O = f_i(\mathbf{r}'_i) - \int_{\mathbf{r}_j - \Delta l/2}^{\mathbf{r}_j + \Delta l/2} f_j(\mathbf{r}'_j) \frac{\partial G_2(\mathbf{r}, \mathbf{r}'_i)}{\partial n_O} dl \quad (7)$$

$$B_{ij}^O = \int_{\mathbf{r}_j - \Delta l/2}^{\mathbf{r}_j + \Delta l/2} f_j(\mathbf{r}'_j) \frac{\partial G_2(\mathbf{r}, \mathbf{r}'_i)}{\partial n_O} dl \quad (8)$$

$$b_i = \Phi^{inc}(\mathbf{r}'_i) \quad (9)$$

For scattering from the dielectric sea, the boundary integral equations above cannot be solved now, because the number of unknowns in Eq. (4) is more than that of equations. It needs another boundary integral equation. The field in domain Ω_D also satisfies the Helmholtz equation. With reference to the derivations of the integral formulation in the air, one similar field integral equation in the sea water can be obtained as

$$\Phi(\mathbf{r}') = - \int_{\Gamma_{W-}} \Phi(\mathbf{r}) \frac{\partial G_2(\mathbf{r}, \mathbf{r}')}{\partial n_{W-}} d\Gamma + \int_{\Gamma_{W-}} G_2(\mathbf{r}, \mathbf{r}') \frac{\partial}{\partial n_{W-}} \Phi(\mathbf{r}) d\Gamma \quad (10)$$

where $G_2(\mathbf{r}, \mathbf{r}')$ denotes the Green's function in domain Ω_D , k_2 is the wavenumber in domain Ω_D . It should be noted that the part about the source does not exist, because the incident wave only exists in domain Ω_U . Combining Eq. (10) with the pulse basis function $f_n(\mathbf{r}')$ and the point matching algorithm, the following matrix equation can be yielded

$$[A_{W-}] [\Phi_{W-}] + [B_{W-}] [U_{W-}] = [0] \quad (11)$$

where

$$A_{ij}^{W-} = f_i(\mathbf{r}'_i) + \int_{\mathbf{r}_j - \Delta l/2}^{\mathbf{r}_j + \Delta l/2} f_j(\mathbf{r}'_j) \frac{\partial G_2(\mathbf{r}, \mathbf{r}'_i)}{\partial n_{W-}} dl \quad (12)$$

$$B_{ij}^{W-} = - \int_{\mathbf{r}_j - \Delta l/2}^{\mathbf{r}_j + \Delta l/2} f_j(\mathbf{r}'_j) \frac{\partial G_2(\mathbf{r}, \mathbf{r}'_i)}{\partial n_{w-}} dl \quad (13)$$

On the boundary of the breaking wave, the relation between domains Ω_U and Ω_D can be coupled by boundary continuity conditions which can be written as

$$\Phi|_{\Gamma_{W+}} = \Phi|_{\Gamma_{W-}} \quad (14)$$

$$\frac{1}{\rho_+} \frac{\partial \Phi}{\partial n} \Big|_{\Gamma_{W+}} = \frac{1}{\rho_-} \frac{\partial \Phi}{\partial n} \Big|_{\Gamma_{W-}} \quad (15)$$

in which for HH case, $\rho = \mu_r$; for VV case, $\rho = \varepsilon_r$. Eqs. (4) and (11) can be contacted with each other by Eqs. (14) and (15). The coupled matrix equations are then solved by the conjugated gradient method (CGM). After the field on the object and breaking wave are worked out, the scattering field on the KA region from BIM region can be obtained by Eq. (3).

Secondly, considering the KA region, the scattering fields and their normal derivations on the boundary Γ_S of the PM rough sea can be evaluated by

$$\Phi_{KA}^{scat}(\mathbf{r})|_{\Gamma_S} = R(\mathbf{r}) \Phi^{inc}(\mathbf{r})|_{\Gamma_S} \quad (16)$$

$$\frac{\partial \Phi_{KA}^{scat}(\mathbf{r})}{\partial n} \Big|_{\Gamma_S} = -R(\mathbf{r}) \frac{\partial \Phi^{inc}(\mathbf{r})}{\partial n} \Big|_{\Gamma_S} \quad (17)$$

So the total field on the rough surface can be expressed as

$$\Phi_{KA}(\mathbf{r})|_{\Gamma_S} = [1 + R(\mathbf{r})] \Phi^{inc}(\mathbf{r})|_{\Gamma_S} \quad (18)$$

$$\frac{\partial \Phi_{KA}(\mathbf{r})}{\partial n} \Big|_{\Gamma_S} = [1 - R(\mathbf{r})] \frac{\partial \Phi^{inc}(\mathbf{r})}{\partial n} \Big|_{\Gamma_S} \quad (19)$$

where the Fresnel reflective coefficients for both HH case and VV case on the boundary Γ_{S+} are

$$R(\mathbf{r}) = \frac{\cos \theta - \sqrt{\varepsilon_2/\varepsilon_1 - \sin^2 \theta}}{\cos \theta + \sqrt{\varepsilon_2/\varepsilon_1 - \sin^2 \theta}} \quad \text{For } HH \text{ case} \quad (20)$$

$$R(\mathbf{r}) = \frac{\varepsilon_2/\varepsilon_1 \cos \theta - \sqrt{\varepsilon_2/\varepsilon_1 - \sin^2 \theta}}{\varepsilon_2/\varepsilon_1 \cos \theta + \sqrt{\varepsilon_2/\varepsilon_1 - \sin^2 \theta}} \quad \text{For } VV \text{ case} \quad (21)$$

where θ is the local incident angle on the rough surface. Substituting Eqs. (20) and (21) into Eqs. (18) and (19), the field induced by KA region can be yielded by the following boundary integral equation

$$\Phi_{KA}(\mathbf{r}') = \int_{\Gamma_S} \left[\Phi(\mathbf{r}) \frac{\partial G_1(\mathbf{r}, \mathbf{r}')}{\partial n_s} - G_1(\mathbf{r}, \mathbf{r}') \frac{\partial \Phi(\mathbf{r})}{\partial n_s} \right] d\Gamma + \Phi^{inc}(\mathbf{r}') \quad (22)$$

The scattering field on the BIM region from the KA region can be calculated by Eq. (22).

Now, we consider the interaction between the BIM and KA regions. Before the iteration process begins, the initial fields on both regions are assumed to be zero. Firstly, the field in KA region can be estimated by Eqs. (18) and (19). In the next step, the scattering field on the BIM region from the KA region can be solved by Eq. (22), and the scattering field is added to the incident field in Eq. (3) as a secondary incident wave. Then, the fields on the breaking wave and the target can be worked out by Eqs. (4) and (11). On the basis of the field in BIM region, the scattering field on KA region from BIM region can be calculated by Eq. (3). The iteration goes to the step of solving the field in the KA region by Eqs. (18) and (19), and continues the circulation until the fields in the BIM region reaching convergence, which can be determined by the following equation

$$\zeta = \frac{\left| \sum_{i=1}^n \Phi_{BI}^{k+1} - \sum_{i=1}^n \Phi_{BI}^k \right|}{\sum_{i=1}^n \Phi_{BI}^k} \quad (23)$$

where Φ_{BI} is the field in BIM region of each iteration step, n denotes the total nodes of BIM region, k is the iteration time. The symbol ζ denotes the iterated error, and the iteration can be considered to achieve convergence when $\zeta < 1.0e - 4$. This process can take the multiple reflections between the BIM region and KA region into account. This hybrid iterative algorithm can be proved to be a powerful electromagnetic simulation tool.

3. PROCEDURE AND CODE VALIDATIONS

Several numerical simulations are considered to demonstrate the general solutions derived here and illustrate the accuracy of our proposed method. In our discussion, the frequency of the incident wave is 1.0 GHz, and the dielectric constant of the sea water is well approximated by $\epsilon = 73.82 + i89.26$ based on Debye model [16].

The first example is devoted to simulate the scattering from a PEC circular cylinder above a dielectric rough sea surface, Figures 3(a) and 3(b) give the scattering results of bistatic scattering

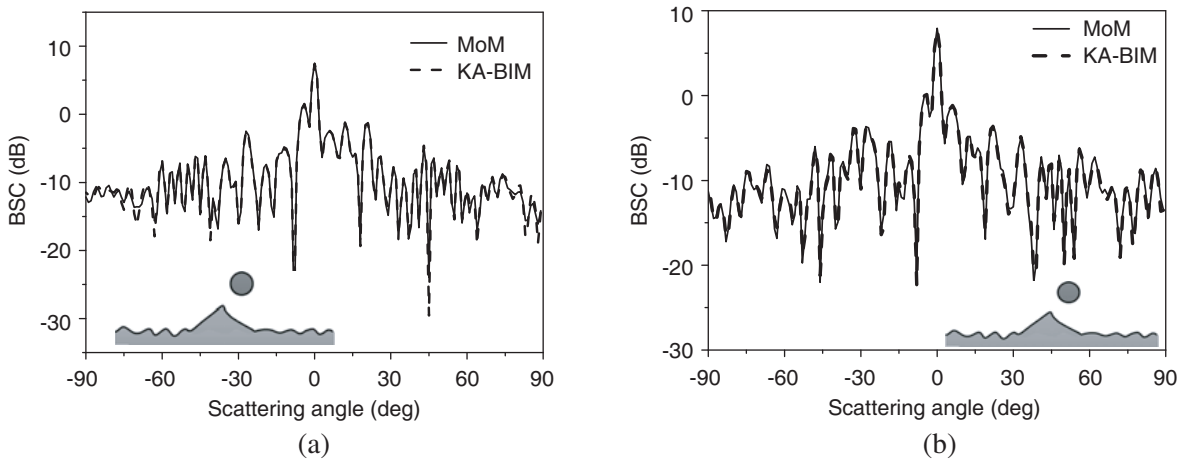


Figure 3. Scattering from a circular cylinder above the PM sea with breaking wave (profile 6). (a) *HH*. (b) *VV*.

coefficient (BSC) [13] for both HH and VV polarization. A tapered wave impinges upon the sea with $L_{rs} = 10$ m under a wind speed $U_{19.5} = 1.5$ m/s. A breaking wave with profile 6 generates on the sea surface. The object is assumed to be a PEC circular cylinder with radius $r = 0.5$ m at a height of $h = 3.0$ m, where the incident angle is $\theta_{inc} = 0^\circ$. Obviously, the calculation results of the hybrid method agree very well with the exact results of MoM which is applied for the whole model. The consistent results shown in both figures validate the code of the hybrid method.

Comparisons of computer performances for different methods are shown in Table 1. It can be seen that the traditional MoM requires more memory and takes more time in solving the impedance matrix than the hybrid method. For the same model, the number of unknowns and memory consumed in both polarization are the same. Comparing the new method with MoM, the number of unknowns in our new method reduces to 11.13%, and the storage memory in the new method decreases to 1.24%. As a result, the calculation time in KA-BIM decreased greatly in the process of solving the matrix. For HH case, the filling time of the hybrid method decreases to 2.20% of that in MoM, and the calculation time decreases to 4.93% of that in MoM. For VV case, the filling time is almost same to that of HH case in two methods, but the calculation time is less than that of HH case. The calculation time of new method decreases to 3.62% of that used MoM.

Table 1. Calculation time and number of unknowns in MoM and new method.

	Methods	Number of matrix unknowns	Storage Memory (M)	Filling time (s)	Calculation time (s)	Iteration steps
HH	MoM	6790	703.49	94.86	352.56	none
	New method	756	8.72	2.09	17.38	2
VV	MoM	6790	703.49	98.64	487.59	none
	New method	756	8.72	1.92	17.64	2

* Calculated by a computer with a 2.50 GHz processor (Intel (R) Core (TM)2 Quad CPU), 3.47 GB memory.

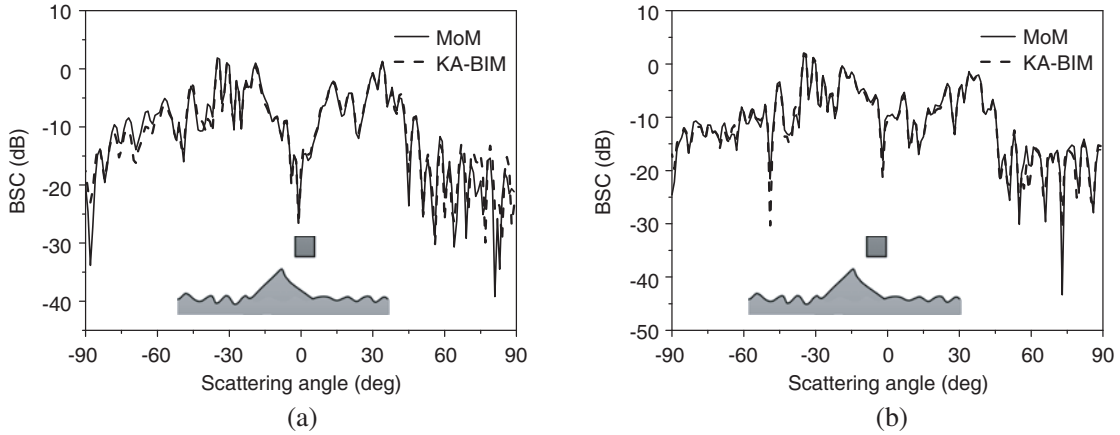


Figure 4. Scattering from a square above the PM sea with breaking wave (profile 18). (a) HH . (b) VV .

In order to further verify the validation of our algorithm, another numerical example is given in Figures 4(a) and 4(b) for the bistatic scattering from a PEC square above the sea with breaking wave 18. A wind of $U_{19.5} = 2.0$ m/s blows the sea with $L_{rs} = 10$ m for HH and VV polarizations. The incident angle is $\theta_{inc} = 30^\circ$. A square with a width of $l = 2$ m is set at $h = 4$ m above the sea. It can be seen that both methods are almost perfectly matched for both HH and VV polarizations.

Table 2 compares the number of unknowns and the simulation time between MoM and KA-BIM. The number of unknowns for HH and VV cases in KA-BIM is 13.53% of those in MoM. Correspondingly,

Table 2. Calculation time and number of unknowns in MoM and new method.

	Methods	Number of matrix unknowns	Storage Memory (M)	Filling time (s)	Calculation time (s)	Iteration steps
HH	MoM New	6950	737.04	106.83	390.19	none
	method	940	13.48	2.13	43.15	2
VV	MoM New	6950	737.04	104.39	528.12	none
	method	940	13.48	2.44	46.28	3

* Calculated by a computer with a 2.50 GHz processor (Intel (R) Core (TM)2 Quad CPU), 3.47 GB memory.

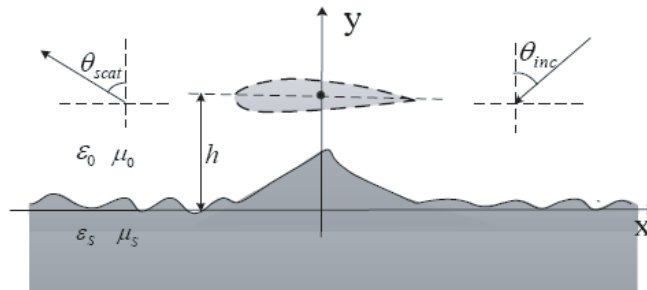


Figure 5. Scattering model of a NACA2412 airfoil above the sea with breaking waves.

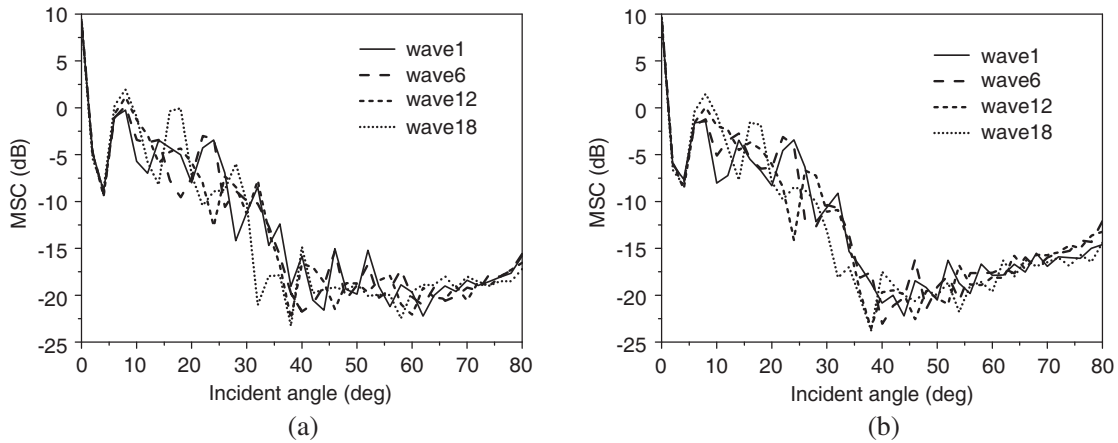


Figure 6. Scattering for an airfoil above the sea with different breaking waves. (a) HH . (b) VV .

the storage memory in KA-BIM is reduced to 1.83% of that in MoM. It can be seen from Table 2 that KA-BIM consumes very little simulation time compared with that of MoM. The filling time in the new method decreases to about 1.99% and 2.34% of that in MoM for HH and VV cases. Compared with MoM, the calculation time of the new method is reduced to 11.06% for HH case and 8.76% for VV case.

In the following numerical simulations, supposing that an incident tapered wave impinges upon the model of a NACA2412 airfoil above the dielectric sea, the analytic-numerical iterative method is used mainly to analyze the scattering properties of the compositing model. The default parameters of the model as shown in Figure 5 are selected as follows: the height of the airfoil is $h = 4.0$ m, the length of the airfoil is $L_T = 1.0$ m, the length of the sea surface is $L = 10$ m, the taper factor of the incident wave is $g = L/4.0$, the frequency of the incident wave is 1.0 GHz, and the dielectric constant of the sea water is $\epsilon = 73.82 + i89.26$. Due to the random fluctuation of the rough sea, 20 samples were chosen to

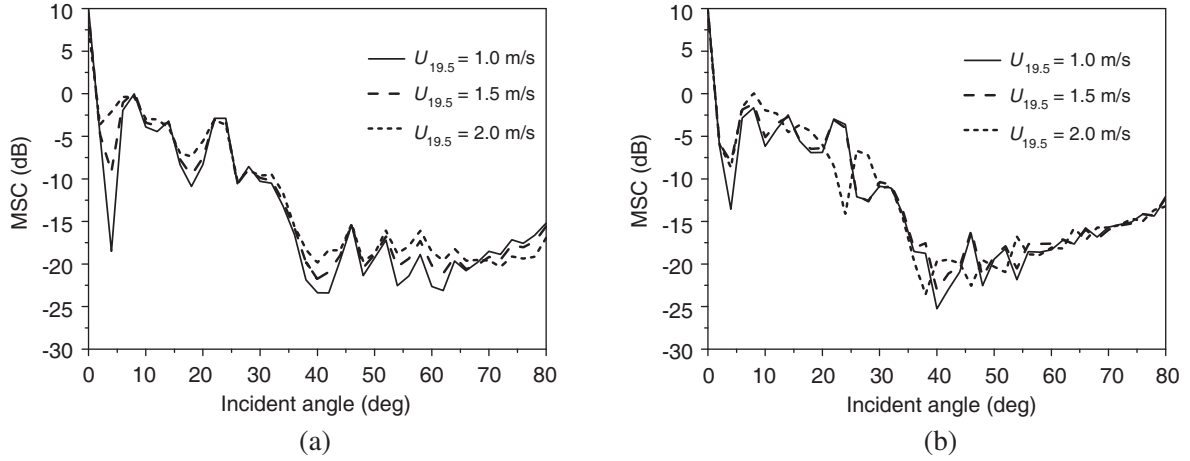


Figure 7. Scattering for an airfoil above the sea with breaking wave 6 for different wind speeds. (a) HH . (b) VV .

average their scattering field to obtain a stable result.

The influence on monostatic scattering coefficient (MSC) with the evolution of the breaking waves is studied. Figure 6 shows the scattering coefficients for HH and VV cases when the breaking wave develops from profile 1 to profile 18. For both HH and VV cases, the scattering shows an increase near 10° as the breaking wave develops.

The scattering results of the model of breaking wave 6 on the sea for HH and VV polarizations are given in Figures 7(a) and 7(b). The scattering coefficient near 10° increases with the wind speed increasing. In our model, it also can be seen from Figure 7 that the scattering coefficient for HH have a more clear variation than VV case in some angles. From 35° to 65° , the MSC shows an increase when the wind speed varies from 1.0 m/s to 2.0 m/s. On the contrary, the scattering coefficient decreases from 70° to 80° .

4. CONCLUSIONS

In this paper, an iterative analytical-numerical method is designed to study two-dimensional electromagnetic scattering from a target located above the sea with breaking waves. Based on the geometrical model of a target above the sea with breaking waves, an iterative KA-BIM is developed to study the approximate model of the realistic sea for the first time. In the iterative algorithm, the initial fields on the traditional PM sea surface can be estimated by KA. Then the scattering field deduced by KA region on the BIM region can be obtained. The scattering wave from the KA region can be seen as an incident source which impinges upon the target and the breaking wave. Based on the BIM theory, the field information on the target and the breaking wave can be worked out. After iteration, the scattering model is able to yield stable and precise results when the fields on the target and the breaking wave reach convergence. To improve the simulation precision of the scattering from the sea, the whole sea is divided into two regions: breaking wave region and PM sea region. The scattering from the breaking waves is exactly studied by BIM, and KA is used to analyze the scattering from the PM sea. The numerical comparisons show that the hybrid method can not only provides accurate results but also save lots of memory and calculation time. It is worthwhile to note that by combining the hybrid method with accelerated boundary integral method, such as FBM and MLFMA, the efficiency of the hybrid method can be further improved.

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