

PERFORMANCE ANALYSIS OF RADAR TARGET RECOGNITION USING NATURAL FREQUENCY: FREQUENCY DOMAIN APPROACH

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Abstract—We consider the performance analysis of natural frequency-based radar target recognition in the frequency domain. Based on the probability density function (PDF) of some quantity consisting of the projections of the frequency response onto the column spaces of the matrices constructed using the natural frequencies of the specific targets, we propose to analytically calculate the probability of the correct classification, where the PDF is obtained from the inverse Fourier transform of the characteristic function. The scheme is validated by comparing the performance using the analytic method with that using the Monte-Carlo simulation.

1. INTRODUCTION

Radar [1–13] has been a sensor for detection [14–25] and tracking [13, 26] of the target. Traditionally, radar can measure the range to the target and the velocity of the target. Conceptually, the velocity of the moving target can be estimated based on the Doppler shift [14, 22, 23, 27–29]. In addition, from the strength of the signal reflected from the target, the radar cross section (RCS) [9, 10, 30–35] of the target can be estimated. However, these parameters give only a rough estimate of the general category of the actual target type. The reflected signal of the radar target can be simulated using scattering

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analysis of the radar target [36–49]. In addition to radar detection and tracking, there have been many studies on radar target recognition [3, 7, 50–59, 61–70]. The expressions of recognition, classification and identification have been used interchangeably.

Radar target recognition is achieved using radar signatures, like natural frequencies of the radar target [60–64, 67–70] high resolution range (HRR) profiles [52, 57–59, 71] of the radar target and microwave image of the radar target [1–4, 14, 24, 28, 34, 50, 53, 72–83]. Inverse synthetic aperture radar (ISAR) images [3, 21, 34, 78–83] has been one of the most popular microwave imaging techniques for radar target recognition. Useful features used for target recognition also include jet engine modulation [84] and helicopter [12, 86, 87] modulation.

It has been shown that the performance of radar target recognition can be improved by exploiting the polarization characteristics [1, 24, 49, 51, 55, 56, 76]. Recently, to detect low RCS [9, 10, 30–35] radar target, many studies have been conducted on MIMO radar, bistatic radar and multi-static radar [4, 18–20, 29, 43, 76–78, 85, 88–91]. To enhance the performance of the radar target recognition, pulse compression has also been adopted [92–94].

The performance of radar target recognition is dependent on the bandwidth of the transmitting signal of the radar. Therefore, ultra-wideband (UWB) radar [2, 5, 51, 82, 95] can be a good candidate for improving the performance of radar target recognition.

This paper is concerned with the performance analysis of the natural frequency-based radar target recognition in the frequency domain. The singularity expansion method (SEM) [60, 61] has been proposed for scattered wave expansion. The time domain electric field scattered by the target is divided into an early-time response and a late-time response. An early time response arises when the excitation wavefront traverses the target and a late time response appears as the excitation wavefront moves beyond the target. As the incident pulse passes across the target, specular reflections are returned from scattering centers, providing an early time response. The late time response of a target can be decomposed into a sum of damped sinusoids oscillating at natural frequencies determined by the geometry of the target [60, 61]. The natural frequencies of a radar target are aspect independent features of its transient electromagnetic response, and there have been many studies on the natural frequency-based radar target recognition [62–64, 67–70].

The authors presented the new formulation of the natural frequency-based radar target recognition scheme based on the least squares estimate in the time domain [62] and frequency domain [63].

The authors presented a numerical scheme for performance

analysis of the natural frequency-based target discrimination scheme in the time domain [64]. It is based on the binary hypothesis testing and a numerical evaluation of a probability density function (PDF). In this paper, we consider the problem in the frequency domain.

The performance analysis of the natural frequency-based radar target recognition in the frequency domain is considered in this paper. The difference between this paper and the previous paper [64] is as follows:

- (i) The previous performance analysis [64] is for the time domain. Our scheme is for the frequency domain. While transient late time response is real-valued, the frequency response is complex-valued.
- (ii) In the time domain approach presented [64], the zero-mean Gaussian noise with variance of σ^2 is employed. For complex-valued frequency response, it is assumed that the zero-mean Gaussian noise with equal variance is added to the real part of the frequency response and imaginary part of the frequency response, respectively. Without loss of generality, the variance of the zero-mean Gaussian noise for the complex-valued frequency response is set to $\frac{\sigma^2}{2}$.
- (iii) In the time domain, the degree-of-freedom of the chi-square distribution is M , which is the number of the natural frequencies considered. In the frequency domain, it is $2M$, not M . The real part and the imaginary part of the frequency response are the zero-mean Gaussian-distributed with variance of $\frac{\sigma^2}{2}$. Therefore, the number of the independent Gaussian random variables is two times the number of the natural frequencies.
- (iv) In the time domain, the covariance matrix of the Gaussian random vector is $\sigma^2 \mathbf{I}_{M \times M}$. In the frequency domain, the covariance matrix of the Gaussian random vector is $\frac{\sigma^2}{2} \mathbf{I}_{2M \times 2M}$. $\mathbf{I}_{M \times M}$ and $\mathbf{I}_{2M \times 2M}$ denote $M \times M$ identity matrix and $2M \times 2M$ identity matrix, respectively.

The outline of this paper is as follows:

In Section 1, we review the previous research on the natural frequency-based radar target recognition in the time domain and the frequency domain. In addition, the study on the performance analysis of the natural frequency-based radar target recognition in the time domain is described [64]. Finally, we described how our scheme proposed in this paper is different from the scheme presented in the previous paper [64].

In Section 2, we show how the frequency response of the radar target can be formulated using the natural frequencies.

Performance analysis based on the PDF for two targets is presented in Section 3. Assume that there are two targets of target 1 and target 2. We first define the projection matrix onto the column space of the matrix associated with the natural frequency of the specific target. The difference of the square of the magnitude of the projection of the response of target k onto two matrices is defined where k can be either 1 or 2. Two matrices are defined using the two sets of the natural frequencies of target 1 and target 2. This difference is denoted by $Z_{21|k}$. The characteristic function of $Z_{21|k}$ is derived, and finally the probability density function (PDF) of $Z_{21|k}$ is derived. The probability of the correct classification, given the k -th target, is calculated for both $k = 1$ and $k = 2$. Finally, the average probability of the correct classification is calculated.

In Section 4, performance analysis for two targets presented in Section 3 is extended to the case that there are more than two targets. We derive the upper and lower bounds of the probability of the correct classification.

In Section 5, we present numerical results to validate the derived analytical performance by comparing it with the simulation performance, where it is shown that the simulation performance and the analytical performance show a very good agreement for two targets and that simulation performance is between the upper and lower bounds for more than two targets. Note that the upper and lower bounds are calculated from the analytical approach. In Section 6, we present the conclusions.

2. FORMULATION IN FREQUENCY DOMAIN

It can be easily shown that, based on the late time representation using the natural frequencies, the frequency response can be written as [63], for the k -th target,

$$Y_{n|k} = U_{n|k} + H_{n|k} = \sum_{m=1}^{M_k} \frac{c_{m|k}}{j\omega_n - s_{m|k}} + H_{n|k} \quad n = 1, 2, \dots, N \quad (1)$$

where N is the number of the sampled frequency response and M_k the number of natural frequencies of the target k .

The real and imaginary parts of $H_{n|k}$ are the zero-mean Gaussian distributed with variance of $\frac{\sigma^2}{2}$, associated with $Y_{n|k}$. $s_{m|k}$, $m = 1, \dots, M$, is the natural frequencies of the k -th target. $U_{n|k}$, $n = 1, \dots, N$, is the noiseless frequency response of the k -th target.

If we define

$$\mathbf{Y}_k = [Y_{1|k} \ Y_{2|k} \ \dots \ Y_{N|k}]^T \tag{2}$$

$$\mathbf{c}_k = [c_{1|k} \ c_{2|k} \ \dots \ c_{M|k}]^T \tag{3}$$

$$\mathbf{H}_k = [H_{1|k} \ H_{2|k} \ \dots \ H_{N|k}]^T \tag{4}$$

$$\mathbf{U}_k = [U_{1|k} \ U_{2|k} \ \dots \ U_{N|k}]^T, \tag{5}$$

(1) can be written as

$$\mathbf{Y}_k = \mathbf{B}_k \mathbf{c}_k + \mathbf{H}_k = \mathbf{U}_k + \mathbf{H}_k \tag{6}$$

where $\{\mathbf{B}_k\}_{mn}$ is defined as

$$\{\mathbf{B}_k\}_{mn} = (j\omega_m - s_{n|k})^{-1}. \tag{7}$$

3. PERFORMANCE ANALYSIS FOR TWO TARGETS

Following the discussion in the previous paper [64], we define $Z_{21|k}$ as

$$Z_{21|k} = \|\mathbf{P}_2 \mathbf{Y}_k\|^2 - \|\mathbf{P}_1 \mathbf{Y}_k\|^2 = \mathbf{Y}_k^H \mathbf{P}_2 \mathbf{Y}_k - \mathbf{Y}_k^H \mathbf{P}_1 \mathbf{Y}_k \underset{\text{target 1}}{\overset{\text{target 2}}{\geq}} 0 \quad k=1,2 \tag{8}$$

where k denotes that the noisy frequency response is from the k -th target and the projection matrices \mathbf{P}_1 and \mathbf{P}_2 are defined as

$$\mathbf{P}_2 = \mathbf{B}_2 (\mathbf{B}_2^H \mathbf{B}_2)^{-1} \mathbf{B}_2^H \tag{9}$$

$$\mathbf{P}_1 = \mathbf{B}_1 (\mathbf{B}_1^H \mathbf{B}_1)^{-1} \mathbf{B}_1^H. \tag{10}$$

Note that, in (8), a Hermitian should be used instead of a transpose since \mathbf{Y}_k is a complex random vector.

From the eigen-decomposition of the matrix, $\mathbf{P}_2 - \mathbf{P}_1$, (8) can be written as

$$Z_{21|k} = \mathbf{Y}_k^H (\mathbf{P}_2 - \mathbf{P}_1) \mathbf{Y}_k = \mathbf{Y}_k^H \mathbf{V} \Lambda_{21} \mathbf{V}^H \mathbf{Y}_k = \mathbf{W}_k^H \Lambda_{21} \mathbf{W}_k \tag{11}$$

where \mathbf{W}_k is defined from $\mathbf{W}_k = \mathbf{V}^H \mathbf{Y}_k$.

In the case that the number of natural frequencies of the second target, M_2 , is greater than the number of natural frequencies of the first target, M_1 . The eigenvalues of the matrix, $\mathbf{P}_2 - \mathbf{P}_1$, are given by [98]

$$\Lambda_{21} = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_{M_2-M_1}, \dots, \lambda_{M_2+M_1}, 0, \dots, 0) \tag{12}$$

where

$$\lambda_1 = \lambda_2 = \dots = \lambda_{M_2-M_1} = 1 \tag{13}$$

$$1 > \lambda_{M_2-M_1+1} > \dots > \lambda_{M_2+M_1} > 0. \tag{14}$$

Using (12) in (11), we have

$$Z_{21|k} = F_{21|k} + G_{21|k} \quad (15)$$

$$F_{21|k} = \sum_{\ell=1}^{M_2-M_1} (W_{\ell|k})^* (W_{\ell|k}) = \sum_{\ell=1}^{M_2-M_1} |W_{\ell|k}|^2 \quad (16)$$

$$G_{21|k} = \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} (W_{\ell|k})^* (W_{\ell|k}) = \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} |W_{\ell|k}|^2. \quad (17)$$

Note that, in the frequency domain, $F_{21|k}$ and $G_{21|k}$ are defined from the sum of square of $\|W_{l|k}\|$, not the sum of square of $W_{l|k}$. On the other hand, in the time domain [64], $F_{21|k}$ and $G_{21|k}$ are defined from the sum of square of $W_{l|k}$.

Keeping in mind that (13) is true, (15) can also be written as

$$Z_{21|k} = \sum_{p=1}^{M_2+M_1} \lambda_p |W_{p|k}|^2 \quad (18)$$

$$= \sum_{p=1}^{M_2+M_1} \lambda_p \left\{ (\text{Re}(W_{p|k}))^2 + (\text{Im}(W_{p|k}))^2 \right\} \quad (19)$$

$$\lambda_1 = \lambda_2 = \dots = \lambda_{M_2-M_1} = 1. \quad (20)$$

We list some identities used for further derivation:

$$\mu_{\text{Re}(W_{p|k})} \equiv E [\text{Re}(W_{p|k})] = \text{Re} [E (W_{p|k})] \equiv \text{Re} (\mu_{W_{p|k}}) \quad (21)$$

$$\mu_{\text{Im}(W_{p|k})} \equiv E [\text{Im}(W_{p|k})] = \text{Im} [E (W_{p|k})] \equiv \text{Im} (\mu_{W_{p|k}}) \quad (22)$$

$$E \left[(\text{Re}(W_{p|k}))^2 \right] = [E (\text{Re}(W_{p|k}))]^2 + \text{var} (\text{Re}(W_{p|k})) \quad (23)$$

$$E \left[(\text{Im}(W_{p|k}))^2 \right] = [E (\text{Im}(W_{p|k}))]^2 + \text{var} (\text{Im}(W_{p|k})) \quad (24)$$

$$\begin{aligned} E \left(|W_{p|k}|^2 \right) &= E \left((\text{Re}(W_{p|k}))^2 + (\text{Im}(W_{p|k}))^2 \right) \\ &= E \left((\text{Re}(W_{p|k}))^2 \right) + E \left((\text{Im}(W_{p|k}))^2 \right) \end{aligned} \quad (25)$$

$$\begin{aligned} E \left[(\text{Re}(W_{p|k}))^4 \right] &= [E (\text{Re}(W_{p|k}))]^4 + 6 [E (\text{Re}(W_{p|k}))]^2 \\ &\quad (\text{var} (\text{Re}(W_{p|k}))) + 3 (\text{var} (\text{Re}(W_{p|k})))^2 \end{aligned} \quad (26)$$

$$\begin{aligned} E \left[(\text{Im}(W_{p|k}))^4 \right] &= [E (\text{Im}(W_{p|k}))]^4 + 6 [E (\text{Im}(W_{p|k}))]^2 \\ &\quad (\text{var} (\text{Im}(W_{p|k}))) + 3 (\text{var} (\text{Im}(W_{p|k})))^2. \end{aligned} \quad (27)$$

$\text{Re}(Y_{p|k})$ and $\text{Im}(Y_{p|k})$ are Gaussian distributed with variance of $\frac{\sigma^2}{2}$. \mathbf{W} is defined from $\mathbf{W} = \mathbf{V}^H \mathbf{Y}$ where \mathbf{V} is an unitary matrix. Therefore, $\text{Re}(W_{p|k})$ and $\text{Im}(W_{p|k})$ are also Gaussian-distributed with variance of $\frac{\sigma^2}{2}$.

Using (21)–(27) in (19), the mean of $Z_{21|k}$, assuming that the k -th target is present, is given by

$$\begin{aligned} \mu_{Z_{21|k}} &= \sum_{p=1}^{M_2+M_1} \lambda_p \left(\frac{\sigma^2}{2} + \left(\mu_{\text{Re}(W_{p|k})} \right)^2 + \frac{\sigma^2}{2} + \left(\mu_{\text{Im}(W_{p|k})} \right)^2 \right) \\ &= \sum_{p=1}^{M_2+M_1} \lambda_p \left(\sigma^2 + \left| \mu_{W_{p|k}} \right|^2 \right). \end{aligned} \tag{28}$$

From (18), we have

$$\begin{aligned} (Z_{21|k})^2 &= \left(\lambda_1 |W_{1|k}|^2 + \dots + \lambda_{M_2+M_1} |W_{M_2+M_1|k}|^2 \right)^2 \\ &= \sum_{p=1}^{M_2+M_1} \lambda_p^2 |W_{p|k}|^4 + 2 \sum_{p=1}^{M_2+M_1-1} \sum_{q=p+1}^{M_2+M_1} \lambda_p \lambda_q |W_{p|k}|^2 |W_{q|k}|^2 \\ &= \sum_{p=1}^{M_2+M_1} \lambda_p^2 \left[(\text{Re}(W_{p|k}))^4 + (\text{Im}(W_{p|k}))^4 \right. \\ &\quad \left. + 2 (\text{Re}(W_{p|k}))^2 (\text{Im}(W_{p|k}))^2 \right] \\ &\quad + 2 \sum_{p=1}^{M_2+M_1-1} \left[\sum_{q=p+1}^{M_2+M_1} \lambda_p \lambda_q \left\{ (\text{Re}(W_{p|k}))^2 + (\text{Im}(W_{p|k}))^2 \right\} \right. \\ &\quad \left. \times \left\{ (\text{Re}(W_{q|k}))^2 + (\text{Im}(W_{q|k}))^2 \right\} \right]. \end{aligned} \tag{29}$$

Using (21)–(27) in (29), $E((Z_{21|k})^2)$ are given by

$$\begin{aligned} E((Z_{21|k})^2) &= \sum_{p=1}^{M_2+M_1} \lambda_p^2 \left\{ \left(\mu_{\text{Re}(W_{p|k})} \right)^4 + 6 \left(\mu_{\text{Re}(W_{p|k})} \right)^2 \frac{\sigma^2}{2} + 3 \frac{\sigma^4}{4} \right. \\ &\quad \left. + \left(\mu_{\text{Im}(W_{p|k})} \right)^4 + 6 \left(\mu_{\text{Im}(W_{p|k})} \right)^2 \frac{\sigma^2}{2} + 3 \frac{\sigma^4}{4} \right. \\ &\quad \left. + 2 \left(\frac{\sigma^2}{2} + \left(\mu_{\text{Re}(W_{p|k})} \right)^2 \right) \left(\frac{\sigma^2}{2} + \left(\mu_{\text{Im}(W_{p|k})} \right)^2 \right) \right\} \\ &\quad + \sum_{p=1}^{M_2+M_1-1} \left[\sum_{q=p+1}^{M_2+M_1} \lambda_p \lambda_q \left(\sigma^2 + \left| \mu_{W_{p|k}} \right|^2 \right) \right. \end{aligned}$$

$$\times \left(\sigma^2 + \left| \mu_{W_{q|k}} \right|^2 \right) \Big]. \tag{30}$$

From (28) and (30), $\sigma_{Z_{21|k}}^2$ can be given by

$$\begin{aligned} \sigma_{Z_{21|k}}^2 &= \sum_{p=1}^{M_2+M_1} \lambda_p^2 \left(\left(\mu_{\text{Re}(W_{p|k})} \right)^4 + \left(\mu_{\text{Im}(W_{p|k})} \right)^4 + 4\sigma^2 \left| \mu_{W_{p|k}} \right|^2 \right. \\ &\quad \left. + 2\sigma^4 + 2 \left(\mu_{\text{Re}(W_{p|k})} \right)^2 \left(\mu_{\text{Im}(W_{p|k})} \right)^2 \right) \\ &\quad + 2 \sum_{p=1}^{M_2+M_1-1} \left[\sum_{q=p+1}^{M_2+M_1} \lambda_p \lambda_q \left(\sigma^2 + \left| \mu_{W_{p|k}} \right|^2 \right) \right. \\ &\quad \left. \times \left(\sigma^2 + \left| \mu_{W_{q|k}} \right|^2 \right) \right] - \left(\mu_{Z_{21|k}} \right)^2 \\ &= \sum_{p=1}^{M_2+M_1} \lambda_p^2 \left(\left| \mu_{W_{p|k}} \right|^4 + 4\sigma^2 \left| \mu_{W_{p|k}} \right|^2 + 2\sigma^4 \right) \\ &\quad + 2 \sum_{p=1}^{M_2+M_1-1} \left\{ \sum_{q=p+1}^{M_2+M_1} \lambda_p \lambda_q \right. \\ &\quad \left. \times \left(\sigma^2 + \left| \mu_{W_{p|k}} \right|^2 \right) \left(\sigma^2 + \left| \mu_{W_{q|k}} \right|^2 \right) \right\} - \left(\mu_{Z_{21|k}} \right)^2. \tag{31} \end{aligned}$$

In order to get the PDF from the inverse Fourier-transform [23, 96, 97] of characteristic function, the characteristic function should be sampled at discrete angular frequencies. The sampling interval $\omega_{s|k}$ is

$$\omega_{s|k} = \frac{\pi}{\zeta_k} \tag{32}$$

where ζ_k is the limit above which the value of the PDF can be practically set to be zero [5].

$$\zeta_k = \begin{cases} \zeta_k = \mu_{Z_{21|k}} + 6\sigma_{Z_{21|k}}, & \mu_{Z_{21|k}} > 0 \\ \zeta_k = - \left(\mu_{Z_{21|k}} - 6\sigma_{Z_{21|k}} \right), & \mu_{Z_{21|k}} < 0 \end{cases} \tag{33}$$

Note that $\mu_{Z_{21|k}}$ and $\sigma_{Z_{21|k}}$ can be calculated from (28) and (31), respectively.

To get an analytic expression of the characteristic function of $F_{21|k}$, we rewrite $F_{21|k}$ as

$$F_{21|k} = \sum_{\ell=1}^{M_2-M_1} \left| W_{\ell|k} \right|^2 = \sum_{\ell=1}^{M_2-M_1} \left[\left(\text{Re} \left(W_{\ell|k} \right) \right)^2 + \left(\text{Im} \left(W_{\ell|k} \right) \right)^2 \right]. \tag{34}$$

We define $F_{21,R|k}$ and $F_{21,I|k}$ as

$$F_{21,R|k} \equiv \sum_{\ell=1}^{M_2-M_1} (\text{Re}(W_{\ell|k}))^2 \tag{35}$$

$$F_{21,I|k} \equiv \sum_{\ell=1}^{M_2-M_1} (\text{Im}(W_{\ell|k}))^2. \tag{36}$$

The characteristic functions of $F_{21,R|k}$ and $F_{21,I|k}$ can be expressed as

$$\Phi_{F_{21,R|k}}(j\omega) = \frac{1}{\left(1 - j2\omega \frac{\sigma^2}{2}\right)^{\frac{M_2-M_1}{2}}} \exp\left(\frac{j\omega \sum_{p=1}^{M_2-M_1} \left(\mu_{\text{Re}(W_{p|k})}\right)^2}{\left(1 - j2\omega \frac{\sigma^2}{2}\right)}\right) \tag{37}$$

$$\Phi_{F_{21,I|k}}(j\omega) = \frac{1}{\left(1 - j2\omega \frac{\sigma^2}{2}\right)^{\frac{M_2-M_1}{2}}} \exp\left(\frac{j\omega \sum_{p=1}^{M_2-M_1} \left(\mu_{\text{Im}(W_{p|k})}\right)^2}{\left(1 - j2\omega \frac{\sigma^2}{2}\right)}\right). \tag{38}$$

Since $F_{21|k} = F_{21,R|k} + F_{21,I|k}$, we have

$$\begin{aligned} \Phi_{F_{21|k}}(j\omega) &= \Phi_{F_{21,R|k}}(j\omega) \times \Phi_{F_{21,I|k}}(j\omega) \\ &= \frac{1}{\left(1 - j\omega\sigma^2\right)^{M_2-M_1}} \exp\left(\frac{j\omega \sum_{p=1}^{M_2-M_1} \left|\mu_{W_{p|k}}\right|^2}{\left(1 - j\omega\sigma^2\right)}\right). \end{aligned} \tag{39}$$

$G_{21|k}$ can be written as

$$\begin{aligned} G_{21|k} &= \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} |W_{\ell|k}|^2 \\ &= \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} \left[(\text{Re}(W_{\ell|k}))^2 + (\text{Im}(W_{\ell|k}))^2 \right]. \end{aligned} \tag{40}$$

Similarly, $G_{21,R|k}$ and $G_{21,I|k}$ are defined as

$$G_{21,R|k} \equiv \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} (\text{Re}(W_{\ell|k}))^2 \tag{41}$$

$$G_{21,I|k} \equiv \sum_{\ell=M_2-M_1+1}^{M_2+M_1} \lambda_{\ell} (\text{Im}(W_{\ell|k}))^2. \tag{42}$$

The corresponding characteristic functions are

$$\begin{aligned} & \Phi_{G_{21,R|k}}(j\omega) \\ &= \prod_{p=M_2-M_1+1}^{M_2+M_1} \left[\frac{\lambda_p}{|\lambda_p| \left(1 - j2\omega\lambda_p \frac{\sigma^2}{2}\right)^{0.5}} \times \exp\left(\frac{j\omega \left(\mu_{\text{Re}(W_{p|k})}\right)^2 \lambda_p}{\left(1 - j2\omega\lambda_p \frac{\sigma^2}{2}\right)}\right) \right] \end{aligned} \quad (43)$$

$$\begin{aligned} & \Phi_{G_{21,I|k}}(j\omega) \\ &= \prod_{p=M_2-M_1+1}^{M_2+M_1} \left[\frac{\lambda_p}{|\lambda_p| \left(1 - j2\omega\lambda_p \frac{\sigma^2}{2}\right)^{0.5}} \times \exp\left(\frac{j\omega \left(\mu_{\text{Im}(W_{p|k})}\right)^2 \lambda_p}{\left(1 - j2\omega\lambda_p \frac{\sigma^2}{2}\right)}\right) \right]. \end{aligned} \quad (44)$$

The characteristic function of $G_{21|k}$ is

$$\begin{aligned} \Phi_{G_{21|k}}(j\omega) &= \Phi_{G_{21,R|k}}(j\omega) \times \Phi_{G_{21,I|k}}(j\omega) \\ &= \prod_{p=M_2-M_1+1}^{M_2+M_1} \frac{1}{(1 - j\omega\lambda_p\sigma^2)} \exp\left(\frac{j\omega \left|\mu_{W_{p|k}}\right|^2 \lambda_p}{(1 - j\omega\lambda_p\sigma^2)}\right). \end{aligned} \quad (45)$$

Finally, using (39) and (45), the characteristic function of $Z_{21|k}$ is

$$\Phi_{Z_{21|k}}(j\omega) = \Phi_{F_{21|k}}(j\omega) \times \Phi_{G_{21|k}}(j\omega). \quad (46)$$

Using the inverse Fourier transform, the PDF of $Z_{21|k}$ is given by

$$p_{Z_{21|k}} = \text{IFFT} \left(\Phi_{Z_{21|k}}(j\omega) \right). \quad (47)$$

The procedure for getting the PDF $p_{Z_{21|k}}$ can be summarized as

- (i) Determine the average noise variance of $\frac{\sigma^2}{2}$ of the real part and the imaginary part of $H_{n|k}$ in (1).
- (ii) Get the eigenvectors and the eigenvalues of the matrix $\mathbf{P}_2 - \mathbf{P}_1$ where \mathbf{P}_2 and \mathbf{P}_1 are given in (9) and (10), respectively.
- (iii) \mathbf{U}_k denotes the noiseless frequency response from the k -th target in (5).
- (iv) Compute the mean vector of $\mathbf{W}_k = \mathbf{V}^H \mathbf{Y}_k$, which is given by $\mu_{\mathbf{W}_k} = \mathbf{V}^H \mathbf{U}_k$.
- (v) Calculate $\mu_{Z_{21|k}}$ and $\sigma_{Z_{21|k}}^2$ using (28) and (31), respectively.
- (vi) Determine $\omega_{s|k}$ of the characteristic function using (32) and (33).
- (vii) Calculate $\Phi_{F_{21,R|k}}(j\omega)$ using (37) at integer multiples of $\omega_{s|k}$.
- (viii) Determine $\Phi_{F_{21,I|k}}(j\omega)$ using (38) at integer multiples of $\omega_{s|k}$.

- (ix) Determine $\Phi_{F_{21|k}}(j\omega)$ using (39) at integer multiples of $\omega_{s|k}$.
- (x) Calculate $\Phi_{G_{21,R|k}}(j\omega)$ using (43) at integer multiples of $\omega_{s|k}$.
- (xi) Determine $\Phi_{G_{21,I|k}}(j\omega)$ using (44) at integer multiples of $\omega_{s|k}$.
- (xii) Determine $\Phi_{G_{21|k}}(j\omega)$ using (45) at integer multiples of $\omega_{s|k}$.
- (xiii) Evaluate $\Phi_{Z_{21|k}}(j\omega)$ using (46) at integer multiples of $\omega_{s|k}$.
- (xiv) Evaluate $p_{Z_{21|k}}$ using (47).

So far, we assume that M_2 is greater than M_1 . For $M_1 > M_2$, we define

$$Z_{12} = \mathbf{Y}^H (\mathbf{P}_1 - \mathbf{P}_2) \mathbf{Y}. \tag{48}$$

In that case, the eigenvalues of the matrix $\mathbf{P}_1 - \mathbf{P}_2$ are given by

$$\Lambda_{12} = \text{diag}(\lambda_1, \dots, \lambda_{M_1-M_2}, -\lambda_{M_1-M_2+1}, \dots, -\lambda_{M_2+M_1}, 0, \dots, 0) \tag{49}$$

where

$$\lambda_1 = \lambda_2 = \dots = \lambda_{M_2-M_1} = -1. \tag{50}$$

We can use the fact the PDF of $Z_{12|k}$ is related to that of $Z_{21|k}$ as follows:

$$p_{Z_{21|k}}(z) = p_{Z_{12|k}}(-z) \tag{51}$$

The probability of the correct classification is obtained from the PDF as follows:

$$P_{I|2} = \text{Prob}(Z_{21|k} > 0 | k = 2) = \int_0^\infty p_{Z_{21|2}}(z) dz \tag{52}$$

$$P_{I|1} = \text{Prob}(Z_{21|k} < 0 | k = 1) = \int_{-\infty}^0 p_{Z_{21|1}}(z) dz \tag{53}$$

$$P_I = \sum_{m=1}^2 P_{I|m} \text{Prob}(m\text{-th target is present}) = \frac{1}{2} \sum_{m=1}^2 P_{I|m} \tag{54}$$

where $P_{I|2}$ is the probability of the correct classification given that the second target is present and $P_{I|1}$ is the probability of the correct classification given that the first target is present.

4. PERFORMANCE ANALYSIS FOR MULTIPLE TARGETS

Assuming that the k -th target is present, the probability of the correct classification can be written as

$$P_{I|k} = \text{Prob} \left[\mathbf{Y}^H \mathbf{P}_k \mathbf{Y} > \mathbf{Y}^H \mathbf{P}_1 \mathbf{Y}, \dots, \mathbf{Y}^H \mathbf{P}_k \mathbf{Y} > \mathbf{Y}^H \mathbf{P}_{k-1} \mathbf{Y}, \dots, \mathbf{Y}^H \mathbf{P}_k \mathbf{Y} > \mathbf{Y}^H \mathbf{P}_{k+1} \mathbf{Y}, \dots, \mathbf{Y}^H \mathbf{P}_k \mathbf{Y} > \mathbf{Y}^H \mathbf{P}_M \mathbf{Y} \mid k\text{th target} \right]. \tag{55}$$

Since evaluating (55) is quite challenging, we try to get the upper and lower bounds of the probability of the correct classification.

Assuming that the correct target is the k -th target, the upper and lower bounds of the correct classification are given by [5]

$$P_{I|k}^{\text{up}} = \min_{i \neq k} \left\{ \int_0^\infty p_{Z_{ki|k}}(z) dz \right\} \quad (56)$$

$$P_{I|k}^{\text{lo}} = \max \left\{ 0, 1 - \sum_{\substack{i=1 \\ i \neq k}}^M \int_{-\infty}^0 p_{Z_{ki|k}}(z) dz \right\}. \quad (57)$$

Note that the PDF's in (56) and (57) are evaluated using the scheme in Section 3.

The upper and lower bounds of the correct classification, considering all M targets, are given by

$$P_I^{\text{up}} = \frac{1}{M} \sum_{m=1}^M P_{I|m}^{\text{up}} \quad (58)$$

$$P_I^{\text{lo}} = \frac{1}{M} \sum_{m=1}^M P_{I|m}^{\text{lo}}. \quad (59)$$

5. NUMERICAL RESULTS

When we only consider two targets, we compare the analytic results in (54) with the simulation-based performance. For multiple targets, the analytic results in (59) and (58) are compared with the results based on the Monte-Carlo simulation. In getting the simulation-based performance, the probability of correct classification is obtained from 10,000 repetitions.

The noiseless frequency response is obtained via the method of moments (MoM). We calculated the back-scattered field. The frequency response up to 0.5 GHz is obtained in increments of 7.8 MHz. The incident angles for all the numerical examples are $\theta = [20^\circ \ 40^\circ \ 60^\circ \ 80^\circ]$.

The targets for the results in Fig. 1 are two straight wires of length 0.8 meter and 1.4 meter with $\frac{\text{length}}{\text{radius}} = 200$. The natural frequencies for two targets can be found in [5], where we can see that, for the frequency response up to 0.5 GHz, the number of natural frequencies for 0.8 meter-long wire and for 1.4 meter-long wire are $M_1 = 4$ and $M_2 = 8$, respectively. It is illustrated that the analytic performance obtained using (54) shows a good agreement with the performance using the

Monte-Carlo simulation. The results are also tabulated in Table 1 to clearly show the agreement between the numerical performance and the analytical performance.

The natural frequencies for two targets can be found in [64], where we can see that, for the frequency response up to 0.5 GHz, the number of the natural frequencies for 0.8 meter-long wire and for 1.4 meter-long wire are $M_1 = 4$ and $M_2 = 8$, respectively. It is illustrated that the analytic performance obtained using (54) shows a good agreement with the performance using the Monte-Carlo simulation. The results are also tabulated in Table 1 to clearly show the agreement between the numerical performance and the analytical performance.

Three straight wires of length 0.7 meter, 1 meter and 1.3 meter with $\frac{\text{length}}{\text{radius}} = 200$ are used for the results in Fig. 2. For the frequency response up to 0.5 GHz, M_1 , M_2 and M_3 are 4, 6 and 8, respectively [64]. From Fig. 2 and Table 2, we can clearly see that the simulation performance is between the upper and lower bounds, where the upper and lower bounds are analytical derived.

In Fig. 3, we consider four straight wires with different lengths of

Table 1. Analytic performance and simulated performance for two straight wires (numerical values).

$\theta = 20^\circ, M_1 = 4, M_2 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.53	0.61	0.82	0.98	1	1	1
Analytic	0.5	0.51	0.54	0.63	0.84	0.98	1	1	1
$\theta = 40^\circ, M_1 = 4, M_2 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.53	0.63	0.84	0.99	1	1	1
Analytic	0.5	0.51	0.54	0.64	0.86	0.99	1	1	1
$\theta = 60^\circ, M_1 = 4, M_2 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.51	0.53	0.64	0.87	0.99	1	1	1
Analytic	0.5	0.51	0.54	0.66	0.89	0.99	1	1	1
$\theta = 80^\circ, M_1 = 4, M_2 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.53	0.61	0.84	0.99	1	1	1
Analytic	0.5	0.51	0.54	0.63	0.86	0.99	1	1	1

0.7 meter, 1.0 meter, 1.3 meter and 1.6 meter. The natural frequencies for the frequency response for the targets can be found in [64], and the numbers of the natural frequencies for all the targets up to 0.5 GHz are $M_1 = 4$, $M_2 = 6$, $M_3 = 8$ and $M_4 = 10$. It is illustrated that the lower and upper bounds obtained from (58) and (59) show a good agreement with the performance using the Monte-Carlo simulation.

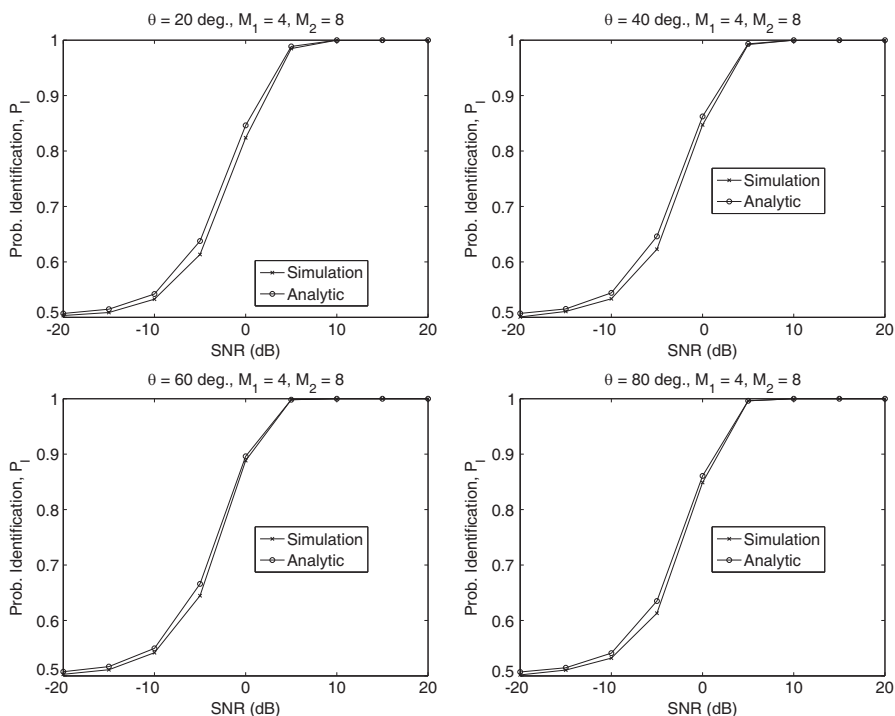
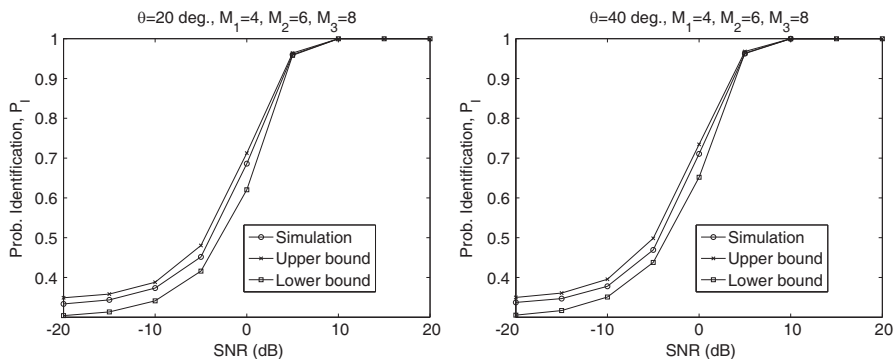


Figure 1. Analytic performance and simulated performance for two straight wires.



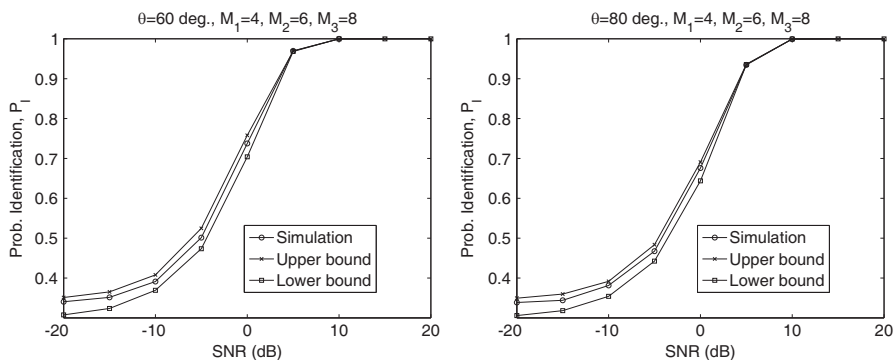


Figure 2. Analytic performance and simulated performance for three straight wires.

Table 2. Analytic performance and simulated performance for three straight wires (numerical values).

$\theta = 20^\circ, M_1 = 4, M_2 = 6, M_3 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.33	0.34	0.37	0.45	0.68	0.96	1	1	1
Upper bound	0.34	0.35	0.38	0.48	0.71	0.96	1	1	1
Lower bound	0.3	0.31	0.34	0.41	0.62	0.95	1	1	1
$\theta = 40^\circ, M_1 = 4, M_2 = 6, M_3 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.33	0.34	0.37	0.46	0.71	0.96	0.99	1	1
Upper bound	0.34	0.36	0.39	0.49	0.73	0.96	1	1	1
Lower bound	0.3	0.31	0.35	0.43	0.65	0.96	1	1	1
$\theta = 60^\circ, M_1 = 4, M_2 = 6, M_3 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.34	0.35	0.39	0.5	0.73	0.96	0.99	1	1
Upper bound	0.35	0.36	0.4	0.52	0.75	0.96	1	1	1
Lower bound	0.3	0.32	0.36	0.47	0.7	0.96	1	1	1
$\theta = 80^\circ, M_1 = 4, M_2 = 6, M_3 = 8$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.33	0.34	0.38	0.46	0.67	0.93	0.99	1	1
Upper bound	0.34	0.35	0.39	0.48	0.69	0.93	0.99	1	1
Lower bound	0.3	0.31	0.35	0.44	0.64	0.93	0.99	1	1

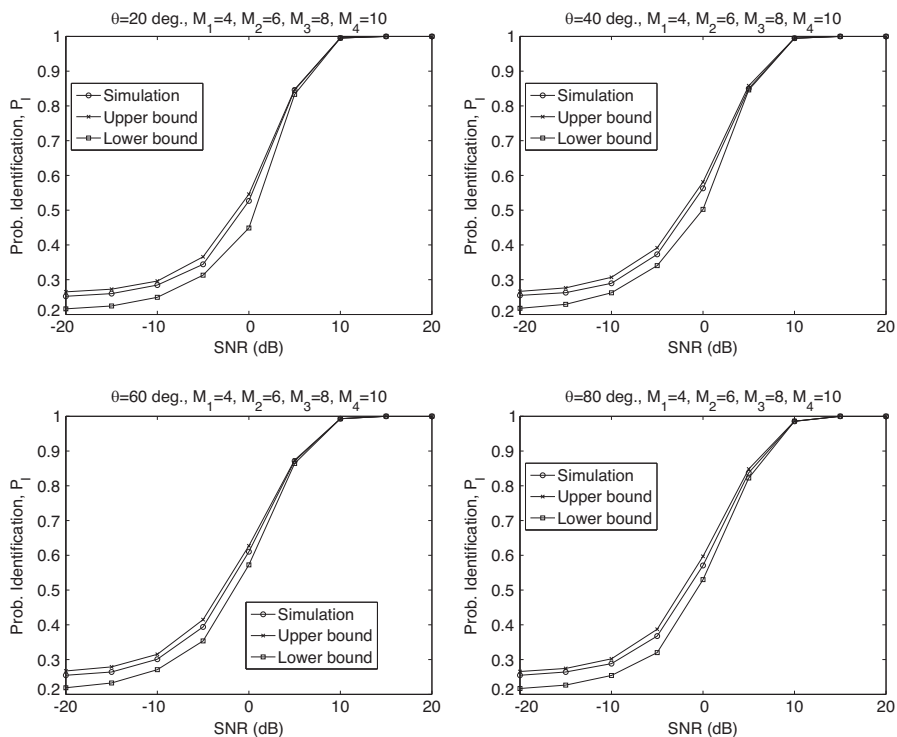


Figure 3. Analytic performance and simulated performance for four straight wires.

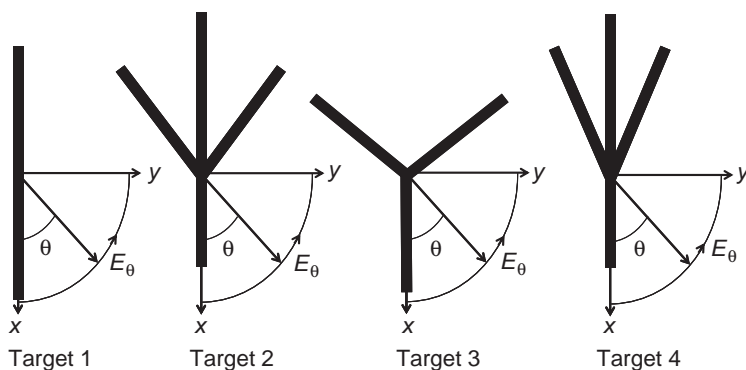


Figure 4. Four targets used for the results in Figs. 5–7.

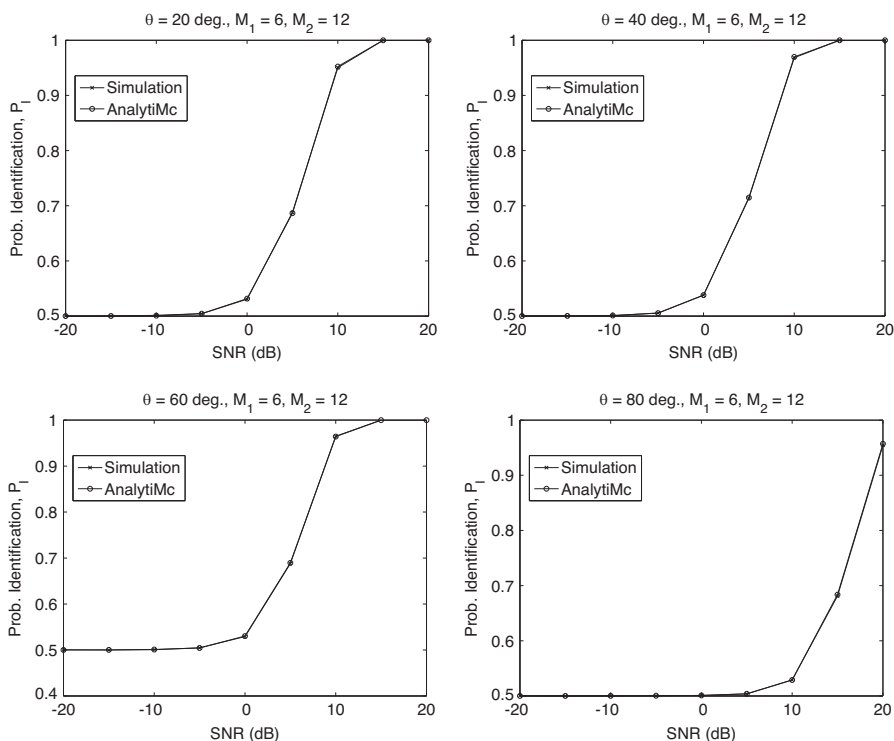


Figure 5. Analytic performance and simulated performance for target 1 and target 2 in Fig. 4.

The numerical values of the results in Fig. 3 are tabulated in Table 3, from which it is clear that the simulation performance is actually between the lower and upper bounds of the analytical performance.

In Fig. 4, we illustrate four types of targets used for the results in Figs. 5–7. For more information on the geometric shape and the corresponding natural frequencies for each target, refer to [64]. The radii for all the targets are equal to 0.5 cm.

In the fourth example, we consider target 1 and target 2 in Fig. 4 with $M_1 = 6$, $M_2 = 12$ for frequency response up to 0.5 GHz. The results in Fig. 5 show that the analytic result in (54) can predict the performance by the Monte Carlo simulation obtained from 10,000 repetitions. The numerical values of the results in Fig. 5 are shown in Table 4, where it is shown that the agreement between the analytical and simulated performances is quite excellent.

We consider target 1, target 2 and target 3 in Fig. 4 with $M_1 = 6$, $M_2 = 12$ and $M_3 = 10$ for frequency response up to 0.5 GHz in the fifth

example. The results in Table 5 show the analytic results in Fig. 6. From Table 5 and Fig. 6, it is clear that the simulation performance actually lies between the upper and lower bounds. Note that the upper and lower bounds are obtained from the analytical approach.

The results in Fig. 7 and Table 6 are for the four targets in Fig. 4

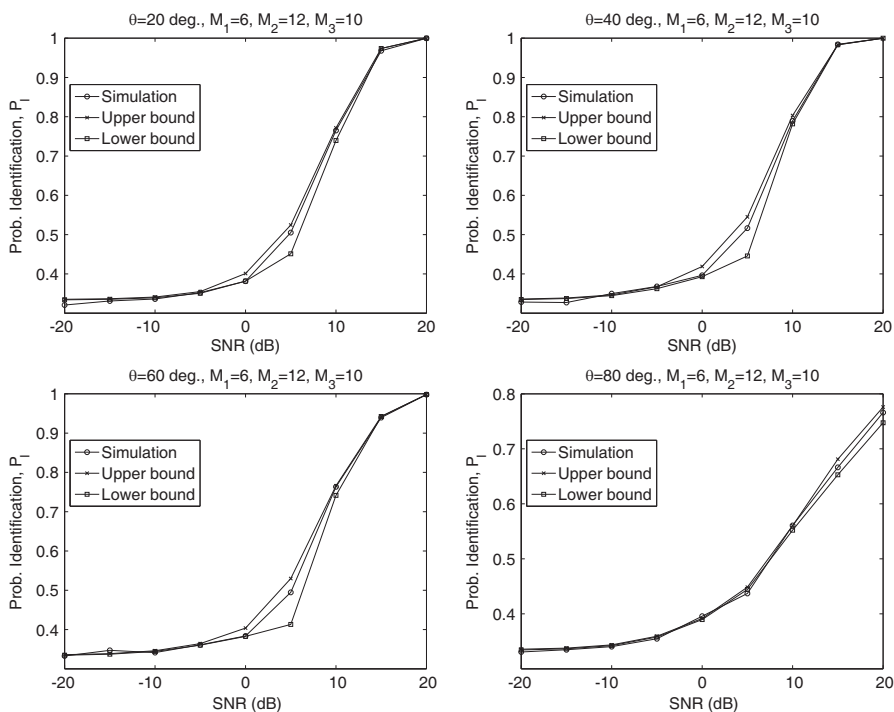
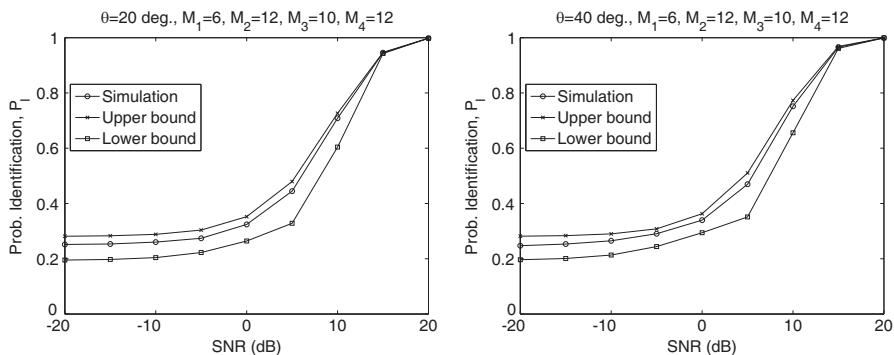


Figure 6. Analytic performance and simulated performance for target 1, target 2 and target 3 in Fig. 4.



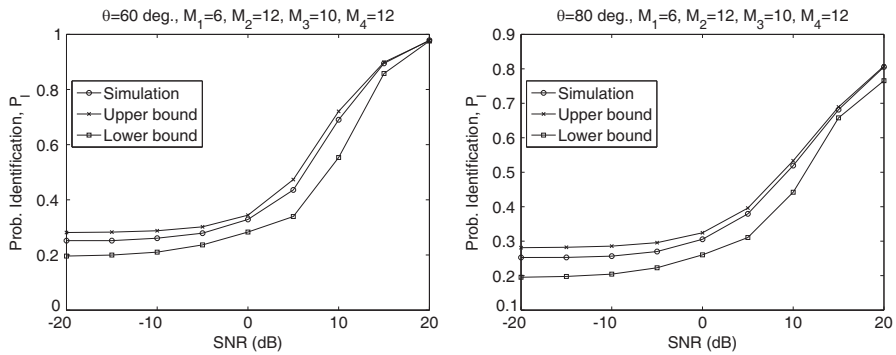


Figure 7. Analytic performance and simulated performance for all the targets in Fig. 4.

Table 3. Analytic performance and simulated performance for four straight wires (numerical values).

$\theta = 20^\circ, M_1 = 4, M_2 = 6, M_3 = 8, M_4 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.25	0.27	0.34	0.52	0.84	0.99	1	1
Upper bound	0.26	0.27	0.29	0.36	0.54	0.84	0.99	1	1
Lower bound	0.21	0.22	0.24	0.31	0.44	0.83	0.99	1	1
$\theta = 40^\circ, M_1 = 4, M_2 = 6, M_3 = 8, M_4 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.26	0.29	0.37	0.55	0.85	0.99	1	1
Upper bound	0.26	0.27	0.3	0.39	0.58	0.85	0.99	1	1
Lower bound	0.21	0.22	0.26	0.34	0.5	0.84	0.99	1	1
$\theta = 60^\circ, M_1 = 4, M_2 = 6, M_3 = 8, M_4 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.26	0.29	0.39	0.6	0.87	0.99	1	1
Upper bound	0.26	0.27	0.31	0.41	0.62	0.87	0.99	1	1
Lower bound	0.21	0.23	0.27	0.35	0.57	0.86	0.99	1	1
$\theta = 80^\circ, M_1 = 4, M_2 = 6, M_3 = 8, M_4 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.26	0.28	0.36	0.56	0.83	0.98	1	1
Upper bound	0.26	0.27	0.3	0.38	0.59	0.84	0.98	1	1
Lower bound	0.21	0.22	0.25	0.32	0.53	0.82	0.98	1	1

Table 4. Analytic performance and simulated performance for target 1 and target 2 in Fig. 4 (numerical values).

$\theta = 20^\circ, M_1 = 6, M_2 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.5	0.5	0.53	0.68	0.95	1	1
Analytic	0.5	0.5	0.5	0.5	0.53	0.68	0.95	1	1
$\theta = 40^\circ, M_1 = 6, M_2 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.5	0.5	0.53	0.71	0.96	1	1
Analytic	0.5	0.5	0.5	0.5	0.53	0.71	0.96	1	1
$\theta = 60^\circ, M_1 = 6, M_2 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.5	0.5	0.52	0.68	0.96	1	1
Analytic	0.5	0.5	0.5	0.5	0.53	0.68	0.96	1	1
$\theta = 80^\circ, M_1 = 6, M_2 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.5	0.5	0.5	0.5	0.5	0.5	0.53	0.68	0.95
Analytic	0.5	0.5	0.5	0.5	0.5	0.5	0.52	0.68	0.95

Table 5. Analytic performance and simulated performance for target 1, target 2 and target 3 in Fig. 4 (numerical values).

$\theta = 20^\circ, M_1 = 6, M_2 = 12, M_3 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.32	0.33	0.33	0.35	0.38	0.5	0.76	0.96	0.99
Upper bound	0.33	0.33	0.34	0.35	0.4	0.52	0.77	0.97	0.99
Lower bound	0.33	0.33	0.33	0.35	0.38	0.45	0.73	0.97	0.99
$\theta = 40^\circ, M_1 = 6, M_2 = 12, M_3 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.32	0.32	0.34	0.36	0.39	0.51	0.78	0.98	1
Upper bound	0.33	0.33	0.34	0.36	0.41	0.54	0.8	0.98	1
Lower bound	0.33	0.33	0.34	0.36	0.39	0.44	0.78	0.98	1
$\theta = 60^\circ, M_1 = 6, M_2 = 12, M_3 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.33	0.34	0.34	0.36	0.38	0.49	0.76	0.93	0.99
Upper bound	0.33	0.33	0.34	0.36	0.4	0.52	0.76	0.94	0.99

Lower bound	0.33	0.33	0.34	0.36	0.38	0.41	0.74	0.94	0.99
$\theta = 80^\circ, M_1 = 6, M_2 = 12, M_3 = 10$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.33	0.34	0.34	0.35	0.39	0.43	0.56	0.66	0.76
Upper bound	0.33	0.33	0.34	0.35	0.39	0.44	0.56	0.68	0.77
Lower bound	0.33	0.33	0.34	0.35	0.38	0.44	0.55	0.65	0.74

Table 6. Analytic performance and simulated performance for all the targets in Fig. 4 (numerical values).

$\theta = 20^\circ, M_1 = 6, M_2 = 12, M_3 = 10, M_4 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.26	0.26	0.26	0.31	0.45	0.71	0.94	0.99
Upper bound	0.28	0.28	0.28	0.3	0.35	0.47	0.72	0.94	0.99
Lower bound	0.19	0.19	0.2	0.22	0.26	0.32	0.6	0.94	0.99
$\theta = 40^\circ, M_1 = 6, M_2 = 12, M_3 = 10, M_4 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.25	0.26	0.28	0.33	0.47	0.76	0.96	0.99
Upper bound	0.28	0.28	0.28	0.3	0.36	0.51	0.77	0.96	0.99
Lower bound	0.19	0.2	0.21	0.24	0.29	0.35	0.65	0.96	0.99
$\theta = 60^\circ, M_1 = 6, M_2 = 12, M_3 = 10, M_4 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.25	0.25	0.26	0.28	0.32	0.43	0.68	0.88	0.97
Upper bound	0.28	0.28	0.28	0.3	0.34	0.47	0.72	0.89	0.97
Lower bound	0.19	0.19	0.21	0.23	0.28	0.33	0.55	0.85	0.97
$\theta = 80^\circ, M_1 = 6, M_2 = 12, M_3 = 10, M_4 = 12$									
SNR	-20	-15	-10	-5	0	5	10	15	20
Simulation	0.24	0.24	0.25	0.27	0.29	0.37	0.51	0.67	0.79
Upper bound	0.28	0.28	0.28	0.29	0.32	0.39	0.53	0.68	0.8
Lower bound	0.19	0.19	0.2	0.22	0.26	0.31	0.44	0.65	0.76

with $M_1 = 6$, $M_2 = 12$, $M_3 = 10$ and $M_4 = 12$. It is shown that the scheme presented in the paper can result in fairly good lower and upper bounds of the performance for multiple targets.

6. CONCLUSIONS

We considered the performance analysis of radar target recognition using natural frequency in the frequency domain. We extended the formulation in [5] to the frequency domain. The performance analysis based on the numerical evaluation of the probability density function (PDF) is presented. The derivation of the PDF is validated by comparing the analytical performance with the performance based on the Monte-Carlo simulation. To show the agreement between the analytic and simulated results, the frequency responses of simple targets are used. The results for two targets and multiple targets show that the scheme presented in this paper can be used for the performance analysis of the natural frequency-based radar target recognition in the frequency domain.

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