CONSISTENT FORMALISM FOR THE MOMENTUM OF ELECTROMAGNETIC WAVES IN LOSSLESS DISPERSIVE METAMATERIALS AND THE CONSERVATION OF MOMENTUM

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Abstract—A new formalism for electromagnetic and mechanical momentum in a metamaterial is developed by means of the technique of wave-packet integrals. The medium has huge mass density and can therefore be regarded as almost stationary upon incident electromagnetic waves. A clear identification of the momentum density and momentum flow, including their electromagnetic and mechanical parts, is obtained by employing this formalism in a lossless dispersive metamaterial (including the cases of impedance matching and mismatching with vacuum). It is found that the ratio of the electromagnetic momentum density to the mechanical momentum density depends on the impedance and group velocity of the electromagnetic wave inside the metamaterial. One of the definite results is that both the electromagnetic momentum and the mechanical momentum in the metamaterial are in the same direction as the energy flow, instead of in the direction of the wave vector. The conservation of total momentum is verified. In addition, the law of energy conservation in the process of normal incidence is also verified by using the wave-packet integral of both the electromagnetic energy density and the mechanical energy density, of which the latter is caused by the interaction between the induced electric/magnetic currents and the electric/magnetic field components of the electromagnetic wave.

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1. INTRODUCTION

The expressions/definitions for the electromagnetic momentum density and momentum stress tensor in vacuum have been clearly identified However, the expressions for and widely accepted [1]. electromagnetic momentum density and momentum stress tensor in a non-vacuum medium have been debated for over a century. The earliest expressions for the momentum density in a dielectric medium were proposed by Abraham [2] as $\vec{q} = \varepsilon_0 \mu_0 \vec{E} \times \vec{H}$ and by Minkwoski [3] differently as $\vec{q} = \vec{D} \times \vec{B}$. Many theoretical and experimental works have been carried out [4–18], trying to solve this controversy. Early experiment by Jones and Richards found that the radiation pressure on a mirror immersed in a refracting liquid medium is proportional to the refractive index of the liquid [4]. Theoretical analyzes by Gordon [5] and Peierls [7] have concluded that: (a) Abraham's momentum gives the electromagnetic part momentum of waves in the medium, but excludes the mechanical part momentum carried by the medium itself; (b) Minkwoski's momentum is actually a pseudo-momentum, which results from the invariance of physical laws with respect to the displacement of medium coordinates: This can be illustrated more clearly using both spatial (Eulerian) and material (Lagrangian) coordinates [9, 10]. (c) Minkwoski's momentum is mathematically useful in determining the radiation pressure exerted on a mirror by an incident light, though the radiation pressure origins from both the electromagnetic momentum and mechanical momentum Recent studies focus on the momentum calculation for waves in different media, from ordinary dielectrics [12] to magnetic media [13, 16], and even to dispersive media [8, 14, 15, 19]. investigations based on relativistic approaches have also been carried out [6, 11, 17, 18]. Some review papers can be found on this subject (but not for a metamaterial) [20–22].

Metamaterials with simultaneously negative permittivity and negative permeability may achieve unprecedented electromagnetic properties, such as negative refraction [23, 24] and potentially perfect imaging [25]. However, far less attention has been paid to the investigation of the momentum for electromagnetic waves in metamaterials [15, 26, 27]. Scalora et al. [15] showed that the Lorentz force in a metamaterial was consistent with the rate of change of Abraham's momentum, but they did not give a complete description/expression [like Eqs. (27) and (29) below for the impedance-mismatched case] for both the electromagnetic momentum and the mechanical momentum. Kemp et al. [26] claimed that the momentum flow in a left-handed material was opposite to the

power flow direction, but this is incorrect as we show in the present paper. Veselago has noticed the incompatibility between the photon momentum flow and the energy transfer for electromagnetic waves in metamaterials, and assumed that the momentum inside a metamaterial is in the same direction as the wave vector [27], which is also incorrect as we show in the present paper.

2. MODELING

It has been pointed out that a complete expression for the total momentum in a medium should take into account both the electromagnetic part momentum and the mechanical part momentum [6,7,11,28], though the division of the electromagnetic part and the mechanical part of the moment is not definite to some extent [21]. The continuity equations for them are

$$\frac{\partial}{\partial t}\vec{g}_{\rm em} + \nabla \cdot \bar{\bar{T}}_{\rm em} = -\vec{f}_{\rm mech} \tag{1}$$

$$\frac{\partial}{\partial t}\vec{g}_{\rm mech} + \nabla \cdot \bar{\vec{T}}_{\rm mech} = \vec{f}_{\rm mech} \tag{2}$$

where $\vec{g}_{\rm em}$, $\overline{T}_{\rm em}$, $\vec{g}_{\rm mech}$ and $\bar{T}_{\rm mech}$ represent the momentum density and momentum flow density tensor (momentum stress tensor) for the electromagnetic part and the mechanical part, respectively.

The sum of the above two equations yields the expression for the conservation of the total momentum

$$\frac{\mathrm{d}}{\mathrm{d}t} \int_{V} \vec{g}_{\mathrm{tot}} dV + \oint_{S} d\vec{S} \cdot \bar{T}_{\mathrm{tot}} = 0 \tag{3}$$

Here, \vec{g}_{tot} and \bar{T}_{tot} represent the total momentum density and momentum flow density tensor inside the medium, respectively.

By directly applying Maxwell's equations, we can obtain the following expressions for the momentum density of electromagnetic field $\vec{g}_{\rm em}$, the generalized Lorentz force density $\vec{f}_{\rm mech}$, and the electromagnetic momentum flow density $\bar{T}_{\rm em}$,

$$\vec{g}_{\rm em} = \varepsilon_0 \mu_0 \vec{E} \times \vec{H} \tag{4}$$

$$\vec{f}_{\rm mech}\!=\!-\!\!\left(\!\nabla\!\cdot\vec{P}\right)\!\vec{E}-\mu_0\!\!\left(\!\nabla\!\cdot\vec{M}\right)\!\!\vec{H}+\!\mu_0\!\!\left(\!\partial\vec{P}/\partial t\right)\!\!\times\!\!\vec{H}\!-\mu_0\varepsilon_0\!\!\left(\!\partial\vec{M}\!/\!\partial t\right)\!\!\times\!\!\vec{E}\ (5)$$

$$\bar{\bar{T}}_{\rm em} = \frac{1}{2} \left(\varepsilon_0 \vec{E} \cdot \vec{E} + \mu_0 \vec{H} \cdot \vec{H} \right) \bar{\bar{I}} - \left(\varepsilon_0 \vec{E} \vec{E} + \mu_0 \vec{H} \vec{H} \right)$$
 (6)

where $\vec{D} = \varepsilon_0 \vec{E} + \vec{P}$ and $\vec{B} = \mu_0 (\vec{H} + \vec{M})$ have been substituted. The momentum density of the electromagnetic field is in Abraham's

form, and the four terms in Eq. (5) represent the force on an electrically polarized charge by the electric field, the force on a magnetically polarized charge (i.e., the effective magnetic charge due to inhomogeneous magnetization) by the magnetic field, the force on an electrically polarized current by the magnetic field and the force on a magnetically polarized current by the electric field, The electromagnetic momentum flow density tensor relates to \vec{E} and \vec{H} , rather than \vec{D} and \vec{B} . Note that there is a long-lasting dispute about the momentum in a medium and conflicting expressions for the momentum density and momentum stress tensor Eqs. (4)–(6) are similar (but not identical) to exist [20, 21]. Einstein-Laub's expressions for the momentum density and momentum tensor [29]. It is shown in the present paper that Eqs. (4)–(6) are quite suitable for momentum analysis, since the calculated results based on our formalism gives a perfect consistency with the fundamental laws of energy conservation and momentum conservation. In fact, the same expressions have been used in [19] for energy and momentum analysis inside a dispersive medium (but not for a metamaterial).

It can be verified that $\vec{f}_{\rm mech}\delta V$ equals the mechanical momentum increase rate inside the macroscopic small volume δV [19]. In other words, the generalized Lorentz force plays the role of converting the electromagnetic momentum into the mechanical momentum, while the total momentum is conserved. Due to the action of the generalized Lorentz force, the unit cell of the medium will gain certain mechanical momentum and, therefore, be set into motion.

In general, the motion of unit cells inside the medium will not only give rise to the mechanical momentum and mechanical momentum flow, but also have an impact on the constitutive relation of the medium [10]. Therefore, the mechanical properties of a dielectric material have to be specified, in order to describe how it responses to the action of the generalized Lorentz force. To simplify the calculation, in this paper we aim at deriving an explicit expression for the momentum in a homogenous isotropic medium, which is constituted by unit cells with very large mass, i.e., huge mass density M, such that the motion of dielectrics is sufficiently small and the medium remains almost stationary and consequently the constitutive relation of the medium remains the same upon electromagnetic wave incidence.

Although velocity \vec{v} of the mass center of the unit cell is infinitesimal as a result of huge mass density M, their product $M\vec{v}$, representing the accompanied mechanical momentum density $\vec{g}_{\rm mech}$, is a comparable quantity with respect to electromagnetic momentum density $\vec{g}_{\rm em}$. Note that the mechanical momentum flow $\bar{T}_{\rm mech}$, of the order $(M\vec{v})\vec{v}$, is still negligibly small compared with electromagnetic

momentum flow $\bar{\bar{T}}_{\rm em}$.

Based on the above analysis, we can obtain a clear physical interpretation of the momentum transfer and conversion for propagating waves inside our medium model. When the leading edge of a traveling wave passes though a macroscopic small volume, the volume will not only gain a momentum carried by the electromagnetic wave itself, but also acquire a mechanical momentum carried by the infinitesimal movement of the huge mass, namely $M\vec{v}$, due to the presence of the generalized Lorentz force. The force will decrease gradually as the electromagnetic field grows inside this volume and eventually vanish when the electromagnetic field becomes time-harmonic. After that, both the electromagnetic momentum density and the mechanical momentum density of this small volume reach some steady values, and a constant momentum flow is carried by the electromagnetic field, which transmits the required momentum to establish a time-harmonic field at the new leading edge of the propagating wave.

The additional mechanical momentum density $M\vec{v}$ can be evaluated by integrating the Lorentz force over the time for establishing a time-harmonic field. For a plane wave in a dispersion-free non-magnetic dielectric with refractive index n, it has been shown that [7,12]

$$g_{\text{mech}} = \frac{n^2 - 1}{2} g_{\text{em}} \tag{7}$$

In this paper, we would like to focus on the momentum density and momentum flow in a metamaterial, which is intrinsically dispersive. Inside a dispersive medium, the medium's response to the driving of an incident field is time-dependent in a causal way, i.e., its response at time τ_0 is related not only to the driving field at time τ_0 , but also to the driving field at times previous to τ_0 . To treat this dispersive property, it is convenient to construct a wave packet, and investigate the increase of the mechanical momentum along with the establishment of this wave packet inside the medium. In the limit that the wave packet is long enough, a correct expression for the momentum density can be derived (see [14] for a dispersive dielectric case). It is interesting to notice that the momentum flow density tensor is independent of dispersion.

3. MOMENTUM DENSITY, MOMENTUM FLOW AND MOMENTUM CONSERVATION

Now we use the method of wave-packet integrals to study the case when a plane wave normally incident from vacuum into a semiinfinite medium. The electromagnetic part of the momentum inside a metamaterial can be derived by spatially integrating over a wavepacket. The calculation of the mechanical part momentum, however, requires integration of Lorentz force over both the spatial domain and the time domain. This way, the total momentum of the electromagnetic wave inside the metamaterial can be unambiguously obtained (for both its direction and magnitude). Moreover, we show below that our formalism is consistent with the fundamental law of momentum conservation.

3.1. Momentum for the Case of Matched Impedance

Now we study the case when a plane wave normally impinges from vacuum on a semi-infinite metamaterial with negative relative permittivity ε_r and negative relative permeability μ_r .

A metamaterial is always dispersive. First we assume ε_r and μ_r have the same dispersion, i.e., $\varepsilon_r(\omega) = \mu_r(\omega)$, so that its impedance matches with the vacuum impedance for all frequencies to ensure total transmission. In order to deal with a dispersive material, it is convenient to construct a wave packet by using two spectrum components whose frequencies are close to each other, similar to the treatment given in [1] for the energy density inside a dispersive dielectric medium. Since the difference δk between the two wave vectors of the two plane waves is quite small, the length scale $2\pi/\delta k$ of the two-mode superposition state would be very long and the energy of the two waves is not localized. Thus, such an electromagnetic superposition state is not a wave packet in a strict sense. Instead, it may be viewed as a "quasi wave-packet" or "long wave-packet". In this paper, for convenience, we still call it "wave packet".

In vacuum, the incident field components are

$$\begin{cases}
E_x^i = E_0 \sin(k_0^a z - \omega_a t) - E_0 \sin(k_0^b z - \omega_b t) \\
H_y^i = \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_0^a z - \omega_a t) - \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_0^b z - \omega_b t)
\end{cases}$$
(8)

where k_0^{ν} is the vacuum wave vector corresponding to ω_{ν} with $\nu = a, b$. In the metamaterial, the transmitted field components are

$$\begin{cases}
E_x^t = E_0 \sin(k_a z - \omega_a t) - E_0 \sin(k_b z - \omega_b t) \\
H_y^t = \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_a z - \omega_a t) - \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_b z - \omega_b t)
\end{cases} (9)$$

where $k_{\nu} = -\sqrt{\varepsilon_r^{\nu}\mu_r^{\nu}}k_0^{\nu} = \varepsilon_r^{\nu}k_0^{\nu}$, ε_r^{ν} and $\mu_r^{\nu} = \varepsilon_r^{\nu}$ are the wave vector, relative permittivity and relative permeability at frequency ω_{ν} with $\nu = a, b$. In the limit of $\omega_a \to \omega_b \to \omega$, the wave vector, relative permittivity and relative permeability at the central frequency ω are denoted by k, ε_r and μ_r , respectively.

Now we focus on the momentum conversion process of this wave packet during a time interval $[0,\tau]$. $\tau = 2\pi/(\omega_a - \omega_b)$ corresponds to the period of this wave packet envelope.

At t=0, the incident wave packet which locates at $z\in[-c\tau,0]$ has the following momentum

$$\begin{split} P_{\text{vac}} &= \int_{-c\tau}^{0} (g_{\text{em}})_{\text{in}} dz = \int_{-c\tau}^{0} \varepsilon_{0} \mu_{0} E_{x}^{i} H_{y}^{i} dz \\ &= \frac{\varepsilon_{0} E_{0}^{2}}{c} \int_{-c\tau}^{0} \left(\sin(k_{0}^{a} z - \omega_{a} t) - \sin(k_{0}^{b} z - \omega_{b} t) \right) \\ &\left(\sin(k_{0}^{a} z - \omega_{a} t) - \sin(k_{0}^{b} z - \omega_{b} t) \right) dz = \frac{\varepsilon_{0} E_{0}^{2}}{c} \int_{-c\tau}^{0} \\ &\left\{ 1 - \frac{\cos(2k_{0}^{a} z - 2\omega_{a} t)}{2} - \frac{\cos(2k_{0}^{b} z - 2\omega_{b} t)}{2} \\ &+ \cos\left[(k_{0}^{a} + k_{0}^{b}) z - (\omega_{a} + \omega_{b}) t \right] - \cos\left[(k_{0}^{a} - k_{0}^{b}) z - (\omega_{a} - \omega_{b}) t \right] \right\} dz (10) \end{split}$$

where c is the speed of light in vacuum.

It is noted that the one-packet spatial integral of the highly-oscillating terms $\cos(2k_0^az-2\omega_at)$, $\cos(2k_0^bz-2\omega_bt)$ and $\cos\left[(k_0^a+k_0^b)z-(\omega_a+\omega_b)t\right]$ would vanish when we take the limit of $\omega_a\to\omega_b\to\omega$, and that the wave-packet spatial integral of the slowly-oscillating term $\cos\left[(k_0^a-k_0^b)z-(\omega_a-\omega_b)t\right]$ is also zero, i.e.,

$$\begin{split} &-\frac{\varepsilon_0 E_0^2}{c} \int_{-c\tau}^0 \cos\left((k_0^a - k_0^b)z - (\omega_a - \omega_b)t\right) dz \\ &= -\frac{\varepsilon_0 E_0^2}{c} \frac{1}{k_0^a - k_0^b} \left[\sin\left((k_0^a - k_0^b)(c\tau) + (\omega_a - \omega_b)t\right) - \sin((\omega_a - \omega_b)t)\right] = 0 \end{split}$$

Therefore, the incoming momentum is easily found as

$$P_{\text{vac}} = \varepsilon_0 E_0^2 \tau \tag{11}$$

At $t=\tau$, this wave packet will completely penetrate into the metamaterial at the location $z\in[0,v_g\tau]$, where $v_g\equiv\frac{\mathrm{d}\omega}{\mathrm{d}k}=\frac{\omega_a-\omega_b}{k_a-k_b}$ is the group velocity. In this case, $v_g\equiv\frac{\mathrm{d}\omega}{\mathrm{d}k}=\frac{\mathrm{d}\omega}{\mathrm{d}(\omega\varepsilon_r/c)}=\frac{c}{\mathrm{d}(\omega\varepsilon_r)/\mathrm{d}\omega}$ is always positive since a normal dispersion, i.e., $\mathrm{d}\varepsilon_r/\mathrm{d}\omega>0$, is assumed in our investigation. The total momentum inside the metamaterial consists of two parts, the electromagnetic part and the mechanical part. Similar to the calculation of P_{vac} , electromagnetic part momentum P_{em} , and spatially averaged momentum density g_{em} are

$$P_{\rm em} = \int_0^{v_g \tau} g_{\rm em} dz = \int_0^{v_g \tau} \varepsilon_0 \mu_0 E_x^t H_y^t dz = \varepsilon_0 E_0^2 v_g \tau / c \qquad (12)$$

$$g_{\rm em} = P_{\rm em}/v_q \tau = \varepsilon_0 E_0^2/c \tag{13}$$

The mechanical part of the momentum is produced by the action of Lorentz force, so that it can be calculated by integrating the Lorentz force exerted on this wave packet, i.e.,

$$P_{\text{mech}} = \int_0^{\tau} \int_0^{v_g t} f_{\text{mech}}(z, t) dz dt$$
 (14)

In this situation, the z component of Lorentz force, f_z^{mech} , becomes

$$f_z^{\text{mech}} = \mu_0 \varepsilon_0 \Big[-\omega_a \left(\varepsilon_r^a - 1 \right) E_0 \cos \left(k_a z - \omega_a t \right) + \omega_b \left(\varepsilon_r^b - 1 \right) E_0 \cos \left(k_b z - \omega_b t \right) \Big] H_y^t + \mu_0 \varepsilon_0 \Big[-\omega_a \left(\mu_r^a - 1 \right) \sqrt{\varepsilon_0 / \mu_0} E_0 \cos \left(k_a z - \omega_a t \right) + \omega_b \left(\mu_r^b - 1 \right) \sqrt{\varepsilon_0 / \mu_0} E_0 \cos \left(k_b z - \omega_b t \right) \Big] E_x^t$$
(15)

After calculation (see Appendix), it is found that the mechanical momentum is

$$P_{\text{mech}} = \varepsilon_0 \mu_0 \sqrt{\varepsilon_0/\mu_0} E_0^2 \tau \left[(\varepsilon_r^a - 1) \omega_a - (\varepsilon_r^b - 1) \omega_b \right] / (k_a - k_b) \quad (16)$$

In the limit of $\omega_a \to \omega_b \to \omega$, we obtain the following mechanical momentum inside this wave packet

$$P_{\text{mech}} = \varepsilon_0 E_0^2 \tau \left(\frac{d(\omega \varepsilon_r)}{d\omega} - 1 \right) / \frac{d(\omega \varepsilon_r)}{d\omega} = \varepsilon_0 E_0^2 \tau (1 - v_g/c)$$
 (17)

and subsequently the following spatially averaged mechanical momentum density is obtained

$$g_{\text{mech}} = P_{\text{mech}}/v_g \tau = \varepsilon_0 E_0^2 (1/v_g - 1/c)$$
(18)

It follows from Eqs. (11), (12) and (17) that $P_{\text{vac}} = P_{\text{em}} + P_{\text{mech}}$, which means that the law of momentum conservation holds during the period when the electromagnetic wave penetrates into the metamaterial from vacuum (see Fig. 1).

Since a total momentum of $P_{\rm em} + P_{\rm mech}$ is transmitted into the metamaterial from the vacuum during a time interval $[0, \tau]$, the total momentum flow in metamaterial is

$$p_z^{\text{tot}} = (P_{\text{em}} + P_{\text{mech}})/\tau = \varepsilon_0 E_0^2$$
 (19)

This result is in agreement with the theoretical prediction by the momentum flow density tensor $T_{zz}^{\text{tot}} = T_{zz}^{\text{em}} = \varepsilon_0 E_0^2$ in the metamaterial, according to Eq. (6).

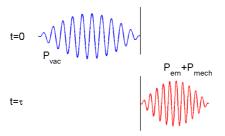


Figure 1. Illustration of momentum conservation at the interface between vacuum and an impedance-matched metamaterial. The incident wave packet (in blue) carries momentum P_{vac} , while the transmitted wave packet (in red) carries momentum $P_{\text{em}} + P_{\text{mech}}$.

In a brief summary, for a +z propagating plane wave in a metamaterial, our results show that

$$\begin{cases} k = -\sqrt{\varepsilon_r \mu_r} k_0 = \varepsilon_r k_0 \\ S_z = \sqrt{\varepsilon_0/\mu_0} E_0^2 \\ p_z^{\text{tot}} = \varepsilon_0 E_0^2 \\ g_{\text{em}} = S_z/c^2 \\ g_{\text{mech}} = (c/v_g - 1)g_{\text{em}} \end{cases}$$
(20)

It is worth noticing that, inside the metamaterial the wave vector is in -z direction, but the momentum density and momentum flow (both the electromagnetic part and mechanical part) of the plane wave is in +z direction (as the energy flow). Therefore, we conclude that, within the classical electrodynamics framework, the total momentum of electromagnetic waves in a metamaterial has the same direction as the energy flow, instead of as the wave vector [26, 27].

3.2. Momentum for the Case of Mismatched Impedance

In this situation, we would like to perform a calculation on a more general case, i.e., $\varepsilon_r(\omega) \neq \mu_r(\omega)$, to show that our formalism is applicable for more general situations.

The components for the incident field, reflected field and transmitted field in this case are as follows,

$$\begin{cases}
E_x^i = E_0 \sin(k_0^a z - \omega_a t) - E_0 \sin(k_0^b z - \omega_b t) \\
H_y^i = \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_0^a z - \omega_a t) - \sqrt{\varepsilon_0/\mu_0} E_0 \sin(k_0^b z - \omega_b t)
\end{cases} (21)$$

$$\begin{cases}
E_x^r = r_a E_0 \sin(k_0^a z - \omega_a t) - r_b E_0 \sin(k_0^b z - \omega_b t) \\
H_y^r = -\sqrt{\varepsilon_0/\mu_0} r_a E_0 \sin(k_0^a z - \omega_a t) + \sqrt{\varepsilon_0/\mu_0} r_b E_0 \sin(k_0^b z - \omega_b t)
\end{cases} (22)$$

$$\begin{cases}
E_x^t = t_a E_0 \sin(k_a z - \omega_a t) - t_b E_0 \sin(k_b z - \omega_b t) \\
H_y^t = \sqrt{\varepsilon_0 \varepsilon_r^a / \mu_0 \mu_r^a} t_a E_0 \sin(k_a z - \omega_a t) \\
-\sqrt{\varepsilon_0 \varepsilon_r^b / \mu_0 \mu_r^b} t_b E_0 \sin(k_b z - \omega_b t)
\end{cases}$$
(23)

Here,
$$r_{\nu} = \frac{1 - \sqrt{\varepsilon_r^{\nu}/\mu_r^{\nu}}}{1 + \sqrt{\varepsilon_r^{\nu}/\mu_r^{\nu}}}$$
, $t_{\nu} = \frac{2}{1 + \sqrt{\varepsilon_r^{\nu}/\mu_r^{\nu}}}$, and $k_{\nu} = -\sqrt{\varepsilon_r^{\nu}\mu_r^{\nu}}k_0^{\nu}$ are the

reflection coefficients, transmission coefficients (for the electric field), and wave vector corresponding to ω_{ν} with $\nu=a,\ b$, respectively. In the limit of $\omega_a \to \omega_b \to \omega$, the reflection coefficient, transmission coefficient and wave vector at the central frequency ω will be denoted by r_0 , t_0 and k, respectively.

Again, we focus on the momentum conversion process of this wave packet during a time interval $[0, \tau]$ with $\tau = 2\pi/(\omega_a - \omega_b)$.

At t=0, the incident wave packet which locates at $z\in[-c\tau,0]$ has the following momentum

$$P_{\text{vac}} = \int_{-c\tau}^{0} (g_{\text{em}})_{\text{in}} dz = \int_{-c\tau}^{0} \varepsilon_0 \mu_0 E_x^i H_y^i dz = \varepsilon_0 E_0^2 \tau$$
 (24)

At $t=\tau$, part of this wave packet will penetrate into the metamaterial at the regime $z\in[0,v_g\tau]$, while another part of this wave packet will be reflected backward to regime $z\in[-c\tau,0]$. Note that the group velocity $v_g\equiv\frac{\mathrm{d}\omega}{\mathrm{d}k}=\frac{\mathrm{d}\omega}{\mathrm{d}(-\omega\sqrt{\varepsilon_r\mu_r}/c)}$ is also positive in this case, since a normal dispersion, i.e., $\mathrm{d}\varepsilon_r/\mathrm{d}\omega>0$, and $\mathrm{d}\mu_r/\mathrm{d}\omega>0$ is assumed. The reflected wave packet which locates at $z\in[-c\tau,0]$ has the following momentum

$$P_{\text{vac2}} = \int_{-c\tau}^{0} (g_{\text{em}})_{\text{reflection}} dz = \int_{-c\tau}^{0} \varepsilon_0 \mu_0 E_x^r H_y^r dz = -\varepsilon_0 E_0^2 r_0^2 \tau \quad (25)$$

The total momentum inside the metamaterial consists of two parts, i.e., the electromagnetic part and the mechanical part. They are shown to have the following expressions (see Appendix A)

$$P_{\rm em} = \varepsilon_0 E_0^2 \tau \sqrt{\frac{\varepsilon_r}{\mu_r}} t_0^2 / \frac{\mathrm{d}(-\sqrt{\varepsilon_r \mu_r} \omega)}{\mathrm{d}\omega}$$
 (26)

$$g_{\rm em} = \frac{P_{\rm em}}{v_g \tau} = \varepsilon_0 E_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} t_0^2 / c \tag{27}$$

$$P_{\text{mech}} = \varepsilon_0 E_0^2 \tau t_0^2 \frac{1}{2} \left[\frac{\varepsilon_r}{\mu_r} \frac{d}{d\omega} \left(\sqrt{\frac{\mu_r}{\varepsilon_r}} (\varepsilon_r - 1)\omega \right) + \frac{d}{d\omega} \left(\sqrt{\frac{\varepsilon_r}{\mu_r}} (\mu_r - 1)\omega \right) \right] / \frac{d(-\sqrt{\varepsilon_r \mu_r \omega})}{d\omega}$$
(28)

$$g_{\text{mech}} = \frac{P_{\text{mech}}}{v_g \tau}$$

$$= \varepsilon_0 E_0^2 t_0^2 \frac{1}{2} \left[\frac{\varepsilon_r}{\mu_r} \frac{d}{d\omega} \left(\sqrt{\frac{\mu_r}{\varepsilon_r}} (\varepsilon_r - 1)\omega \right) + \frac{d}{d\omega} \left(\sqrt{\frac{\varepsilon_r}{\mu_r}} (\mu_r - 1)\omega \right) \right] / c \quad (29)$$

The above expressions (24)–(29) are the limiting results when $\omega_a \to \omega_b \to \omega$. Through some tedious derivation, we can still show that $P_{\text{vac}} = P_{\text{vac}2} + P_{\text{em}} + P_{\text{mech}}$ (see Appendix), which is the expression for momentum conservation in this situation (see Fig. 2). The mechanical momentum can be rewritten in a compact way,

$$P_{\text{mech}} = \varepsilon_0 E_0^2 \tau \left(1 + r_0^2 - t_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} \frac{v_g}{c} \right)$$
 (30)

$$g_{\text{mech}} = \varepsilon_0 E_0^2 \left(1 + r_0^2 - t_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} \frac{v_g}{c} \right) / v_g \tag{31}$$

It is shown that the mechanical momentum is in the same direction as the energy flow, since

$$\begin{split} P_{\mathrm{mech}} &= \varepsilon_0 E_0^2 \tau \left(1 + r_0^2 - t_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} \frac{v_g}{c} \right) \\ &> \varepsilon_0 E_0^2 \tau \left(1 + r_0^2 - t_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} \right) \\ &= \varepsilon_0 E_0^2 \tau \left(t_0^2 \frac{1 + \frac{\varepsilon_r}{\mu_r}}{2} - t_0^2 \sqrt{\frac{\varepsilon_r}{\mu_r}} \right) = \frac{\varepsilon_0 E_0^2 \tau t_0^2}{2} \left(1 - \sqrt{\frac{\varepsilon_r}{\mu_r}} \right)^2 \ge 0 \quad (32) \end{split}$$

It is noted that the total momentum flow in the metamaterial is

$$p_z^{\text{tot}} = (P_{\text{em}} + P_{\text{mech}})/\tau = (1 + r_0^2) \varepsilon_0 E_0^2$$
 (33)

which is again consistent with momentum flow density tensor

$$T_{zz}^{\text{tot}} = T_{zz}^{\text{em}} = \frac{1}{2} \left[\varepsilon_0 (E_x^t)^2 + \mu_0 (H_y^t)^2 \right] = \frac{1}{2} \varepsilon_0 E_0^2 t_0^2 \left(1 + \frac{\varepsilon_r}{\mu_r} \right)$$
(34)

by the aid of $(1 + r_0^2)/t_0^2 = (1 + \varepsilon_r/\mu_r)/2$.

Based on the above calculation, we again conclude that inside a metamaterial, both the electromagnetic momentum and the mechanical momentum are in the same direction as the energy flow,

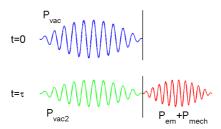


Figure 2. Illustration of momentum conservation at the interface between vacuum and an impedance-mismatched metamaterial. The incident wave packet (in blue) carries momentum P_{vac} , while the reflected wave (in green) carries momentum P_{vac2} , and the transmitted wave packet (in red) carries momentum $P_{\text{em}} + P_{\text{mech}}$.

instead of as the wave vector [see Eqs. (26), (28) and (32)]. Note that the ratio of the electromagnetic momentum density to the mechanical momentum density depends on the impedance and group velocity of electromagnetic waves inside the metamaterial and simple relation (7) is no longer valid for double negative dispersive metamaterials [see Eqs. (27) and (29)]. For the previous impedance-matched case, the ratio can be simplified to a simple expression which depends only on the group velocity, as given by the last term of Eq. (20). Nevertheless, simple relation (7) is not valid for the impedance-matched case, either.

4. ENERGY DENSITY, ENERGY OF WAVE PACKET AND ENERGY CONSERVATION

In the previous section, we have utilized the formalism of Yaghjian [19], where the field variables are \vec{E} and \vec{H} , to show that the law of momentum conservation is preserved when the electromagnetic wave is launched into the metamaterial. We can derive the Poynting-type theorem using the formalism of Yaghjian [19], which is given by

$$\frac{\partial}{\partial t} \left(\frac{1}{2} \varepsilon_0 \vec{E}^2 + \frac{1}{2} \mu_0 \vec{H}^2 \right) + \nabla \cdot \left(\vec{E} \times \vec{H} \right) = - \left(\frac{\partial \vec{P}}{\partial t} \cdot \vec{E} + \mu_0 \frac{\partial \vec{M}}{\partial t} \cdot \vec{H} \right)$$
(35)

This means that the power density that leads to the mechanical energy of the induced (polarized) electric and magnetic currents is $(\partial \vec{P}/\partial t) \cdot \vec{E} + (\mu_0 \partial \vec{M}/\partial t) \cdot \vec{H}$.

Since $\varepsilon_0 \vec{E}^2/2 = \mu_0 \vec{H}^2/2$ for the electromagnetic wave in vacuum, the incident electromagnetic energy density in vacuum is $w_{\text{vac}} = \varepsilon_0 \vec{E}^2$,

which can be expressed explicitly by

$$w_{\text{vac}} = \varepsilon_0 \left[E_0 \sin \left(k_0^a z - \omega_a t \right) - E_0 \sin \left(k_0^b z - \omega_b t \right) \right]^2$$

$$= \varepsilon_0 E_0^2 - \varepsilon_0 E_0^2 \cos \left(\left(k_0^a - k_0^b \right) z - \left(\omega_a - \omega_b \right) t \right)$$

$$-\varepsilon_0 E_0^2 \left\{ \frac{\cos 2(k_0^a z - \omega_a t)}{2} + \frac{\cos 2(k_0^b z - \omega_b t)}{2} \right.$$

$$-\cos \left(\left(k_0^a + k_0^b \right) z - \left(\omega_a + \omega_b \right) t \right) \right\}$$
(36)

The electromagnetic energy of a wave packet which locates at $z \in [-c\tau, 0]$ is defined by

$$E_{\text{vac}} = \int_{-c\tau}^{0} w_{\text{vac}}(z, t) dz \tag{37}$$

Note that the one-packet spatial integral of the highly-oscillating terms [where $\cos 2(k_0^{\nu}z - \omega_{\nu}t)$ or $\cos \left((k_0^a + k_0^b)z - (\omega_a + \omega_b)t\right)$ are involved] would vanish when we take the limit of $\omega_a \to \omega_b \to \omega$, and that the wave-packet spatial integral of the slowly-oscillating term $[-\varepsilon_0 E_0^2 \cos \left((k_0^a - k_0^b)z - (\omega_a - \omega_b)t\right)]$ in $w_{\text{vac}}(z,t)$ is also zero, i.e.,

$$-\varepsilon_0 E_0^2 \int_{-c\tau}^0 \cos\left(\left(k_0^a - k_0^b\right) z - (\omega_a - \omega_b)t\right) dz$$

$$= -\varepsilon_0 E_0^2 \frac{1}{k_0^a - k_0^b} \left[\sin\left(\left(k_0^a - k_0^b\right)(c\tau) + (\omega_a - \omega_b)t\right) - \sin\left((\omega_a - \omega_b)t\right)\right]$$

$$= 0 \tag{38}$$

Therefore, it follows that the incident electromagnetic energy of a wave packet is $E_{\text{vac}} = \varepsilon_0 E_0^2 c\tau$.

In the following part, we shall consider the problem of energy conservation during the electromagnetic interaction when the electromagnetic wave is launched normally into the metamaterial. We will address two cases, namely, the impedance matching and mismatching cases, to show that the total energy in these processes is also conserved.

4.1. Energy Conservation in the Case of Matched Impedance

When the impedance of the metamaterial is matched with that of vacuum, the explicit expression for electromagnetic energy $E_{\rm em} = \int_0^{v_g \tau} w_{\rm em}(z,t) dz$ in the metamaterial can be obtained in a similar manner as for obtaining electromagnetic energy $E_{\rm vac}$ in vacuum. It can be readily verified that the wave-packet spatial integral of the

highly-oscillating terms involved in the electromagnetic energy density $w_{\rm em}(z,t)$ in the metamaterial vanishes under the condition of $\omega_a \to \omega_b \to \omega$. Besides, the wave-packet integral of the slowly-oscillating term $-\varepsilon_0 E_0^2 \cos((k_a - k_b)z - (\omega_a - \omega_b)t)$ in $w_{\rm em}(z,t)$ can be expressed by

$$-\varepsilon_0 E_0^2 \int_0^{v_g \tau} \cos\left((k_a - k_b)z - (\omega_a - \omega_b)t\right) dz$$

$$= -\varepsilon_0 E_0^2 \frac{1}{k_a - k_b} \sin\left((k_a - k_b)z - (\omega_a - \omega_b)t\right) \Big|_0^{v_g \tau}$$

$$= -\varepsilon_0 E_0^2 \frac{1}{k_a - k_b} \left[\sin\left((k_a - k_b)v_g \tau - (\omega_a - \omega_b)t\right) - \sin\left(-(\omega_a - \omega_b)t\right)\right]$$

$$= 0$$
(39)

Therefore, the electromagnetic energy of a wave packet propagating in the metamaterial is $E_{\rm em} = \varepsilon_0 E_0^2 v_a \tau$.

Let us now consider the interaction energy, which is the mechanical energy acquired by the induced electric and magnetic currents. The energy transferred by the electric interaction, of which the power density is $\left(\partial\vec{P}/\partial t\right)\cdot\vec{E}$, in one wave packet is

$$W_e = \int_0^\tau \left(\int_0^{v_g t} \frac{\partial \vec{P}}{\partial t} \cdot \vec{E} dz \right) dt \tag{40}$$

where the induced electric current density is given by

$$\frac{\partial P}{\partial t} = -\omega_a(\varepsilon_r^a - 1)\,\varepsilon_0 E_0 \cos\left(k_a z - \omega_a t\right) + \omega_b \left(\varepsilon_r^b - 1\right) \varepsilon_0 E_0 \cos(k_b z - \omega_b t) \tag{41}$$

The explicit expression for the electric power density is

$$\frac{\partial P}{\partial t} \cdot E = \left(-\omega_a(\varepsilon_r^a - 1)\varepsilon_0 E_0 \cos(k_a z - \omega_a t) + \omega_b \left(\varepsilon_r^b - 1 \right) \varepsilon_0 E_0 \cos(k_b z - \omega_b t) \right) \\
\times \left(E_0 \sin(k_a z - \omega_a t) - E_0 \sin(k_b z - \omega_b t) \right) \\
= \varepsilon_0 E_0^2 \left[\frac{-\omega_a(\varepsilon_r^a - 1) \sin 2(k_a z - \omega_a t) - \omega_b(\varepsilon_r^b - 1) \sin 2(k_b z - \omega_b t)}{2} \right] \\
+ \varepsilon_0 E_0^2 \left[\omega_a(\varepsilon_r^a - 1) \frac{\sin((k_a + k_b)z - (\omega_a + \omega_b)t) - \sin((k_a - k_b)z - (\omega_a - \omega_b)t)}{2} \right] \\
+ \varepsilon_0 E_0^2 \left[\omega_b \left(\varepsilon_r^b - 1 \right) \frac{\sin((k_b + k_a)z - (\omega_b + \omega_a)t) - \sin((k_b - k_a)z - (\omega_b - \omega_a)t)}{2} \right] \\$$
(42)

It should be noticed that the wave-packet integral of the highly-oscillating terms in $(\partial \vec{P}/\partial t) \cdot \vec{E}$ vanishes when the limit process of

 $\omega_a \to \omega_b \to \omega$ is taken. Thus, the only interesting term in $(\partial \vec{P}/\partial t) \cdot \vec{E}$ that deserves consideration is the following slowly-oscillating term

$$A(z,t) = -\frac{\varepsilon_0 E_0^2}{2} \left[\omega_a \left(\varepsilon_r^a - 1 \right) - \omega_b \left(\varepsilon_r^b - 1 \right) \right] \sin \left(\left(k_a - k_b \right) z - \left(\omega_a - \omega_b \right) t \right)$$
(43)

Thus, the acquired mechanical energy of the induced electric current can be rewritten as

$$W_{e} = \int_{0}^{\tau} \left(\int_{0}^{v_{g}t} A(z,t) dz \right) dt$$

$$= -\frac{\varepsilon_{0} E_{0}^{2}}{2} \left[\omega_{a}(\varepsilon_{r}^{a} - 1) - \omega_{b}(\varepsilon_{r}^{b} - 1) \right]$$

$$\int_{0}^{\tau} \left[\int_{0}^{v_{g}t} \sin\left((k_{a} - k_{b})z - (\omega_{a} - \omega_{b})t \right) dz \right] dt$$

$$= -\frac{\varepsilon_{0} E_{0}^{2}}{2} \left[\omega_{a}(\varepsilon_{r}^{a} - 1) - \omega_{b}(\varepsilon_{r}^{b} - 1) \right]$$

$$\frac{-1}{k_{a} - k_{b}} \int_{0}^{\tau} \left[\cos\left((k_{a} - k_{b})z - (\omega_{a} - \omega_{b})t \right) \Big|_{0}^{v_{g}t} \right] dt$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2} \left[\omega_{a}(\varepsilon_{r}^{a} - 1) - \omega_{b}(\varepsilon_{r}^{b} - 1) \right]$$

$$\frac{1}{k_{a} - k_{b}} \left\{ \frac{\sin\left[\left((k_{a} - k_{b})v_{g} - (\omega_{a} - \omega_{b}) \right) \tau \right]}{(k_{a} - k_{b})v_{g} - (\omega_{a} - \omega_{b})} - \frac{\sin\left((\omega_{a} - \omega_{b})\tau \right)}{\omega_{a} - \omega_{b}} \right\}$$
 (44)

Since the group velocity of the electromagnetic wave is $v_g = (\omega_a - \omega_b) / (k_a - k_b)$, the $\omega_a \rightarrow \omega_b \rightarrow \omega$ limit of the term $\sin \left[((k_a - k_b)v_g - (\omega_a - \omega_b)) \tau \right] / \left[(k_a - k_b)v_g - (\omega_a - \omega_b) \right]$ is τ . Thus, the mechanical energy transferred by the electric interaction reads

$$W_e = \frac{\varepsilon_0 E_0^2}{2} \left[\frac{d(\omega \varepsilon_r)}{dk} - \frac{d\omega}{dk} \right] \tau = \frac{\varepsilon_0 E_0^2}{2} \left(c - v_g \right) \tau = \frac{\varepsilon_0 E_0^2}{2} \left(1 - \frac{v_g}{c} \right) c\tau \tag{45}$$

when the $\omega_a \to \omega_b \to \omega$ limit is taken into account.

We now consider the mechanical energy W_m transferred by the magnetic interaction $\mu_0(\partial \vec{M}/\partial t) \cdot \vec{H}$. For the present case of impedance matching, we have the relation $\mu_0(\partial \vec{M}/\partial t) \cdot \vec{H} = (\partial \vec{P}/\partial t) \cdot \vec{E}$, which implies $W_m = W_e$. Hence, the total mechanical energy W_{mech} caused by the electromagnetic interaction $(\partial \vec{P}/\partial t) \cdot \vec{E} + \mu_0(\partial \vec{M}/\partial t) \cdot \vec{H}$ in one wave packet (propagating in the metamaterial) is given by

$$W_{\text{mech}} = W_e + W_m = \varepsilon_0 E_0^2 \left(1 - \frac{v_g}{c} \right) c\tau \tag{46}$$

It can be found that there exists a relation of $E_{\text{vac}} = E_{\text{em}} + W_{\text{mech}}$, i.e., the incident electromagnetic energy in vacuum equals the sum

of the mechanical energy caused by the induced currents and the electromagnetic energy of the wave propagating in the metamaterial.

4.2. Energy Conservation in the Case of Mismatched Impedance

The incident electromagnetic energy of one wave packet in vacuum is $E_{\text{vac}} = \varepsilon_0 E_0^2 c\tau$. Obviously, the electromagnetic energy of one wave packet reflected back into vacuum is $E_{\text{vac}2} = \varepsilon_0 E_0^2 r_0^2 c\tau$. We will calculate the transmitted electromagnetic energy density $\varepsilon_0 \vec{E}^2/2 + \mu_0 \vec{H}^2/2$ in the metamaterial. The explicit expression for the electric energy density is given by

$$\frac{1}{2}\varepsilon_{0}E_{t}^{2} = \frac{1}{2}\varepsilon_{0}\left[t_{a}E_{0}\sin(k_{a}z - \omega_{a}t) - t_{b}E_{0}\sin(k_{b}z - \omega_{b}t)\right]^{2}
= \frac{1}{4}\varepsilon_{0}E_{0}^{2}\left(t_{a}^{2} + t_{b}^{2}\right) - \frac{1}{2}\varepsilon_{0}E_{0}^{2}t_{a}t_{b}\cos\left((k_{a} - k_{b})z - (\omega_{a} - \omega_{b})t\right)
- \frac{1}{2}\varepsilon_{0}E_{0}^{2}\left\{\frac{\cos 2(k_{a}z - \omega_{a}t)}{2}t_{a}^{2} + \frac{\cos 2(k_{b}z - \omega_{b}t)}{2}t_{b}^{2}
- t_{a}t_{b}\cos\left((k_{a} + k_{b})z - (\omega_{a} + \omega_{b})t\right)\right\}.$$
(47)

In the same fashion as the previous analysis, the spatial integrals of both the highly- and slowly-oscillating terms in one wave packet vanish. Thus, the electric energy, $E_e = \int_0^{v_g \tau} \frac{1}{2} \varepsilon_0 E_t^2 dz$, of the wave propagating inside the metamaterial is

$$E_e = \frac{1}{4}\varepsilon_0 E_0^2 \left(t_a^2 + t_b^2\right) v_g \tau \tag{48}$$

On the other hand, the explicit expression for the magnetic energy density is found to be

$$\frac{1}{2}\mu_{0}H_{t}^{2} = \frac{1}{2}\mu_{0}\frac{1}{\eta_{0}^{2}} \left[\frac{1}{\eta_{a}} t_{a} E_{0} \sin(k_{a}z - \omega_{a}t) - \frac{1}{\eta_{b}} t_{b} E_{0} \sin(k_{b}z - \omega_{b}t) \right]^{2}$$

$$= \frac{1}{4}\varepsilon_{0}E_{0}^{2} \left(\frac{1}{\eta_{a}^{2}} t_{a}^{2} + \frac{1}{\eta_{b}^{2}} t_{b}^{2} \right) - \frac{1}{2}\varepsilon_{0}E_{0}^{2} \frac{t_{a}t_{b}}{\eta_{a}\eta_{b}} \cos\left((k_{a} - k_{b})z - (\omega_{a} - \omega_{b})t\right)$$

$$- \frac{1}{2}\varepsilon_{0}E_{0}^{2} \left\{ \frac{\cos 2(k_{a}z - \omega_{a}t)}{2} \frac{1}{\eta_{a}^{2}} t_{a}^{2} + \frac{\cos 2(k_{b}z - \omega_{b}t)}{2} \frac{1}{\eta_{b}^{2}} t_{b}^{2} \right.$$

$$- \frac{t_{a}t_{b}}{\eta_{a}\eta_{b}} \cos\left((k_{a} + k_{b})z - (\omega_{a} + \omega_{b})t\right) \right\}$$

$$(49)$$

where $\eta_0 \equiv \sqrt{\frac{\mu_0}{\varepsilon_0}}$ is the vacuum impedance and $\eta_{\nu} \equiv \sqrt{\frac{\mu_r^{\nu}}{\varepsilon_r^{\nu}}}$ is the relative impedance corresponding to ω_{ν} , with $\nu = a$, b. In the limit

of $\omega_a \to \omega_b \to \omega$, the relative impedance corresponding to the central frequency ω will be denoted by η_r . As the spatial integrals of both the highly- and slowly-oscillating terms in one wave packet vanish, the magnetic energy of a wave packet in the metamaterial is

$$E_m = \int_0^{v_g \tau} \frac{1}{2} \mu_0 H_t^2 dz = \frac{1}{4} \varepsilon_0 E_0^2 \left(\frac{1}{\eta_a^2} t_a^2 + \frac{1}{\eta_b^2} t_b^2 \right) v_g \tau \tag{50}$$

Therefore, the total electromagnetic energy (for one wave packet) in the metamaterial is expressed by

$$E_{\rm em} = E_e + E_m = \frac{1}{4} \varepsilon_0 E_0^2 \left[\left(1 + \frac{1}{\eta_a^2} \right) t_a^2 + \left(1 + \frac{1}{\eta_b^2} \right) t_b^2 \right] v_g \tau \tag{51}$$

which can be rewritten as $E_{\rm em} = \frac{1}{2} \varepsilon_0 E_0^2 (1 + \frac{1}{\eta_r^2}) t_0^2 v_g \tau$ if we take the limit of $\omega_a \to \omega_b \to \omega$.

Now we shall consider the mechanical energy acquired through the induced electric current. The power density of the electric interaction is

$$\frac{\partial P}{\partial t} \cdot E$$

$$= \varepsilon_0 E_0^2 \left[\frac{-\omega_a(\varepsilon_r^a - 1)t_a^2 \sin 2(k_a z - \omega_a t) - \omega_b(\varepsilon_r^b - 1)t_b^2 \sin 2(k_b z - \omega_b t)}{2} \right]$$

$$+ \varepsilon_0 E_0^2 \left[\omega_a(\varepsilon_r^a - 1)t_a t_b \frac{\sin((k_a + k_b)z - (\omega_a + \omega_b)t)}{-\sin((k_a - k_b)z - (\omega_a - \omega_b)t)} \right]$$

$$+ \varepsilon_0 E_0^2 \left[\omega_b(\varepsilon_r^b - 1)t_a t_b \frac{\sin((k_b + k_a)z - (\omega_b + \omega_a)t)}{-\sin((k_b - k_a)z - (\omega_b - \omega_a)t)} \right]$$
(52)

Note that we only need to consider the slowly-oscillating term

$$B(z,t) = -\frac{\varepsilon_0 E_0^2}{2} t_a t_b \left[\omega_a(\varepsilon_r^a - 1) - \omega_b(\varepsilon_r^b - 1) \right] \sin\left((k_a - k_b)z - (\omega_a - \omega_b)t \right)$$

Thus, the transferred energy, $W_e = \int_0^\tau \left(\int_0^{v_g t} \frac{\partial \vec{P}}{\partial t} \cdot \vec{E} dz \right) dt$, caused by the electric interaction can be explicitly expressed by

$$W_e = \int_0^{\tau} \left(\int_0^{v_g t} B(z, t) dz \right) dt = -\frac{\varepsilon_0 E_0^2}{2} t_a t_b \left[\omega_a(\varepsilon_r^a - 1) - \omega_b(\varepsilon_r^b - 1) \right]$$
$$\int_0^{\tau} \left[\int_0^{v_g t} \sin\left((k_a - k_b)z - (\omega_a - \omega_b)t \right) dz \right] dt$$

$$= \frac{\varepsilon_0 E_0^2}{2} t_a t_b \left[\omega_a(\varepsilon_r^a - 1) - \omega_b(\varepsilon_r^b - 1) \right] \frac{1}{k_a - k_b}$$

$$\int_0^{\tau} \left[\cos\left((k_a - k_b) v_g t - (\omega_a - \omega_b) t \right) - \cos\left(-(\omega_a - \omega_b) t \right) \right] dt$$

$$= \frac{\varepsilon_0 E_0^2}{2} t_a t_b \left[\omega_a(\varepsilon_r^a - 1) - \omega_b(\varepsilon_r^b - 1) \right] \frac{1}{k_a - k_b}$$

$$\left\{ \frac{\sin\left[((k_a - k_b) v_g - (\omega_a - \omega_b)) \tau \right]}{(k_a - k_b) v_g - (\omega_a - \omega_b)} - \frac{\sin\left((\omega_a - \omega_b) \tau \right)}{\omega_a - \omega_b} \right\}$$

$$= \frac{\varepsilon_0 E_0^2}{2} t_a t_b \left[\omega_a(\varepsilon_r^a - 1) - \omega_b(\varepsilon_r^b - 1) \right] \frac{1}{k_a - k_b} \tau.$$

The $\omega_a \to \omega_b \to \omega$ limit of the above result reads

$$W_e = \frac{\varepsilon_0 E_0^2}{2} t_0^2 \left[\frac{\mathrm{d}(\omega \varepsilon_r)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau \tag{53}$$

which can be rewritten as

$$W_{e} = \frac{\varepsilon_{0} E_{0}^{2}}{2} t_{0}^{2} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \frac{1}{\eta_{r}} \right)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2} t_{0}^{2} \left[\frac{c}{\eta_{r}} + \omega \sqrt{\mu_{r} \varepsilon_{r}} \frac{1}{\eta_{r}^{2}} \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau \tag{54}$$

Here, relations $k = -\omega\sqrt{\mu_r\varepsilon_r}/c$ and $d\left(-\omega\sqrt{\mu_r\varepsilon_r}\right)/dk = c$ have been used.

The energy density of the magnetic interaction is given by

$$\begin{split} \mu_0 \frac{\partial M}{\partial t} \cdot H &= \frac{1}{2} \varepsilon_0 E_0^2 \left[-\frac{1}{\eta_a^2} \omega_a(\mu_r^a - 1) t_a^2 \sin 2(k_a z - \omega_a t) \right. \\ &\left. -\frac{1}{\eta_b^2} \omega_b \left(\mu_r^b - 1 \right) t_b^2 \sin 2(k_b z - \omega_b t) \right] \\ &\left. + \varepsilon_0 E_0^2 \left[\omega_a(\mu_r^a - 1) \frac{t_a t_b}{\eta_a \eta_b} \frac{\sin \left((k_a + k_b) z - (\omega_a + \omega_b) t \right)}{2} \right. \right. \\ &\left. + \varepsilon_0 E_0^2 \left[\omega_b \left(\mu_r^b - 1 \right) \frac{t_a t_b}{\eta_a \eta_b} \frac{\sin \left((k_b + k_a) z - (\omega_b + \omega_a) t \right)}{2} \right] \right] \end{split}$$

Similarly, the wave-packet integrals of all the highly-oscillating terms vanish, and only the following slowly-oscillating term

$$C(z,t) = -\frac{\varepsilon_0 E_0^2}{2} \frac{t_a t_b}{\eta_a \eta_b} \left[\omega_a(\mu_r^a - 1) - \omega_b \left(\mu_r^b - 1 \right) \right]$$
$$\sin \left((k_a - k_b) z - (\omega_a - \omega_b) t \right)$$

will be integrated for obtaining the magnetic interaction energy. The result is as follows

$$W_{m} = \int_{0}^{\tau} \left(\int_{0}^{v_{g}t} C(z,t) dz \right) dt$$

$$= -\frac{\varepsilon_{0} E_{0}^{2}}{2} \frac{t_{a} t_{b}}{\eta_{a} \eta_{b}} \left[\omega_{a} (\mu_{r}^{a} - 1) - \omega_{b} (\mu_{r}^{b} - 1) \right]$$

$$= \int_{0}^{\tau} \left[\int_{0}^{v_{g}t} \sin \left((k_{a} - k_{b})z - (\omega_{a} - \omega_{b})t \right) dz \right] dt$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2} \frac{t_{a} t_{b}}{\eta_{a} \eta_{b}} \left[\omega_{a} (\mu_{r}^{a} - 1) - \omega_{b} (\mu_{r}^{b} - 1) \right] \frac{1}{k_{a} - k_{b}}$$

$$\left\{ \frac{\sin \left[((k_{a} - k_{b})v_{g} - (\omega_{a} - \omega_{b})) \tau \right]}{(k_{a} - k_{b})v_{g} - (\omega_{a} - \omega_{b})} - \frac{\sin \left((\omega_{a} - \omega_{b})\tau \right)}{\omega_{a} - \omega_{b}} \right\}$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2} \frac{t_{a} t_{b}}{\eta_{a} \eta_{b}} \left[\omega_{a} (\mu_{r}^{a} - 1) - \omega_{b} (\mu_{r}^{b} - 1) \right] \frac{1}{k_{a} - k_{b}} \tau$$
(55)

The $\omega_a \to \omega_b \to \omega$ limit of the above formula gives $W_m = \frac{\varepsilon_0 E_0^2}{2} \frac{t_0^2}{\eta_r^2} \left[\frac{\mathrm{d}(\omega \mu_r)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau$. It can be rewritten as

$$W_{m} = \frac{\varepsilon_{0} E_{0}^{2}}{2} \frac{t_{0}^{2}}{\eta_{r}^{2}} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \eta_{r} \right)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2} t_{0}^{2} \left[\frac{c}{\eta_{r}} - \omega \sqrt{\mu_{r} \varepsilon_{r}} \frac{1}{\eta_{r}^{2}} \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} - \frac{1}{\eta_{r}^{2}} \frac{\mathrm{d}\omega}{\mathrm{d}k} \right] \tau \tag{56}$$

Hence, the total mechanical energy caused by the induced electromagnetic currents inside the metamaterial is

$$W_{\text{mech}} = W_e + W_m = \varepsilon_0 E_0^2 t_0^2 \left[\frac{1}{\eta_r} - \frac{1}{2} \left(1 + \frac{1}{\eta_r^2} \right) \frac{v_g}{c} \right] c\tau$$
 (57)

Now we have obtained the incident electromagnetic energy $E_{\rm vac} = \varepsilon_0 E_0^2 c \tau$ in vacuum, the reflected electromagnetic energy $E_{\rm vac2} = \varepsilon_0 E_0^2 r_0^2 c \tau$, and the propagating electromagnetic energy $E_{\rm em} = \frac{1}{2} \varepsilon_0 E_0^2 \left(1 + 1/\eta_r^2\right) t_0^2 v_g \tau$ in the metamaterial. By aid of relation $r_0^2 + t_0^2/\eta_r = 1$, one can find that the law of energy conservation, $E_{\rm vac} = E_{\rm vac2} + E_{\rm em} + W_{\rm mech}$, is preserved in this process.

5. DISCUSSION AND CONCLUSION

The momentum of an electromagnetic wave in a medium has been studied for over a hundred years, and yet contradictive conclusions have been obtained by different approaches. Concerning the more complicated case of negative index metamaterial, which is not only polarized and magnetized, but also intrinsically dispersive, some attempts have been made to study the momentum of electromagnetic waves inside the metamaterial. In [27], the incompatibility between the photon momentum flow and the energy transfer for electromagnetic waves in a metamaterial has been pointed out. The inconsistency is supposed to stem from particle-wave duality [27]. Another formalism, based on the classical electromagnetic theory, has been developed The definitions of momentum density, momentum stress tensor and Lorentz force, are different from those in our present paper. Especially, the Lorentz force vanishes inside a lossless medium according to their formalism, and the force arising from the sudden change of electromagnetic stress tensor at the interface between vacuum and the metamaterial lacks a physical explanation [26].

In contrast, the present formalism provides a clear illustration of the electromagnetic momentum and the mechanical momentum, by defining the Lorentz force at the very beginning. Momentum conversion from the electromagnetic part into the mechanical part has been clearly demonstrated. The calculated results are consistent with the law of momentum conservation. Our additional calculation on energy flow shows that our formalism also obeys the law of energy conservation.

In summary, we have developed a theoretical approach for the momentum in dispersive media with huge mass density. A clear identification of the momentum density and momentum flow, for both the electromagnetic part and the mechanical part, has been obtained by implementing this formalism for a lossless dispersive metamaterial. Contrary to previous studies on this subject [26, 27], our results show that the momentum density and momentum flow inside a metamaterial definitely have the same direction as the energy flow, rather than as the wave vector. We expect that our formalism may pave the way for easing the long-lasting dispute, or solving the incompatibility proposed recently in [27].

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APPENDIX A.

Here we show the calculation of the mechanical momentum and the proof of momentum conservation for the case of mismatched impedance. The impedance matching case can be regarded as a special case of impedance mismatching.

A.1. Calculation of Mechanical Momentum for the Case of Mismatched Impedance

For the case of normal incidence, the generalized force is simplified to

$$\vec{f}_{\text{mech}} = \mu_0 \left(\partial \vec{P} / \partial t \right) \times \vec{H} - \mu_0 \varepsilon_0 \left(\partial \vec{M} / \partial t \right) \times \vec{E}$$
 (A1)

Now we define $f_e = \mu_0(\partial \vec{P}/\partial t) \times \vec{H}$, $f_h = -\mu_0 \varepsilon_0(\partial \vec{M}/\partial t) \times \vec{E}$, then the mechanical momentum acquired by the induced electric current is given by

$$\begin{split} P_e &= \int_0^\tau \int_0^{v_g t} f_e(z,t) dz dt \\ &= \frac{\varepsilon_0 E_0^2}{c} \int_0^\tau \int_0^{v_g t} \\ &\left\{ \frac{\partial}{\partial t} \left[\left(\varepsilon_r^a - 1 \right) t_a \sin(k_a z - \omega_a t) - \left(\varepsilon_r^b - 1 \right) t_b \sin(k_b z - \omega_b t) \right] \right\} dz dt \\ &\times \left[t_a \sqrt{\frac{\varepsilon_r^a}{\mu_r^a}} \sin(k_a z - \omega_a t) - t_b \sqrt{\frac{\varepsilon_r^b}{\mu_r^b}} \sin(k_b z - \omega_b t) \right] \right\} dz dt \\ &= \frac{\varepsilon_0 E_0^2}{c} \int_0^\tau \int_0^{v_g t} \\ &\left\{ \frac{\left[\left(-\omega_a \right) \left(\varepsilon_r^a - 1 \right) t_a \cos(k_a z - \omega_a t) \right.}{- \left(-\omega_b \right) \left(\varepsilon_r^b - 1 \right) t_b \cos(k_b z - \omega_b t) \right]} \right\} dz dt \\ &\times \left[t_a \sqrt{\frac{\varepsilon_r^a}{\mu_r^a}} \sin(k_a z - \omega_a t) - t_b \sqrt{\frac{\varepsilon_r^b}{\mu_r^b}} \sin(k_b z - \omega_b t) \right] \right\} dz dt \end{split}$$

$$= \frac{\varepsilon_0 E_0^2}{c} \int_0^\tau \int_0^{r_g t} \int_0^{r_g t} \int_0^{r_g t} \int_0^{r_g t} \int_0^{r_g t} \sin(k_a z - \omega_a t) \int_0^{r_g t} \sin(k_a z - \omega_a t) \int_0^{r_g t} \sin(k_b z - \omega_b t) \int_0^{r_g t} \int_0^{$$

After integration over the spatial coordinate z, we obtain

$$P_{e} = \frac{\varepsilon_{0} E_{0}^{2}}{c} \int_{0}^{\tau} \left\{ (-\omega_{a})(\varepsilon_{r}^{a} - 1)t_{a}^{2} \sqrt{\frac{\varepsilon_{r}^{a}}{\mu_{r}^{a}}} \frac{1}{4k_{a}} \left[\cos(2\omega_{a}t) - \cos(2k_{a}v_{g}t - 2\omega_{a}t) \right] \right. \\ \left. + \omega_{a}(\varepsilon_{r}^{a} - 1)t_{a}t_{b} \sqrt{\frac{\varepsilon_{r}^{b}}{\mu_{r}^{b}}} \frac{1}{2} \left\{ \frac{\cos[(\omega_{a} + \omega_{b})t] - \cos[(k_{a} + k_{b})v_{g}t - (\omega_{a} + \omega_{b})t]}{k_{a} + k_{b}} \right. \\ \left. + \frac{\cos[(\omega_{b} - \omega_{a})t] - \cos[(k_{b} - k_{a})v_{g}t - (\omega_{b} - \omega_{a})t]}{k_{b} - k_{a}} \right\} \right\} dt \\ \left. + \omega_{b}(\varepsilon_{r}^{b} - 1)t_{b}t_{a} \sqrt{\frac{\varepsilon_{r}^{a}}{\mu_{r}^{a}}} \frac{1}{2} \left\{ \frac{\cos[(\omega_{a} + \omega_{b})t] - \cos[(k_{a} + k_{b})v_{g}t - (\omega_{a} + \omega_{b})t]}{k_{a} - k_{b}} \right\} \\ \left. - \omega_{b}(\varepsilon_{r}^{b} - 1)t_{b}^{2} \sqrt{\frac{\varepsilon_{r}^{b}}{\mu_{r}^{b}}} \frac{1}{4k_{b}} \left[\cos(2\omega_{b}t) - \cos(2k_{b}v_{g}t - 2\omega_{b}t) \right] \right\} \right\}$$

It should be noted that, in the limit of $\omega_a \to \omega_b \to \omega$, all the above terms will vanish after integrating over the time interval $[0, \tau]$, except

the term $\cos[(k_b - k_a)v_g t - (\omega_b - \omega_a)t]$, whose value is exactly one, given that $v_g = \frac{\omega_b - \omega_a}{k_b - k_a}$. Thus, the mechanical momentum caused by the electric current interaction is

$$\begin{split} P_{e} &= \frac{\varepsilon_{0} E_{0}^{2}}{c} \frac{\tau}{2(k_{a} - k_{b})} \left[\omega_{a}(\varepsilon_{r}^{a} - 1) \sqrt{\frac{\varepsilon_{r}^{b}}{\mu_{r}^{b}}} - \omega_{b}(\varepsilon_{r}^{b} - 1) \sqrt{\frac{\varepsilon_{r}^{a}}{\mu_{r}^{a}}} \right] t_{a} t_{b} \\ &= \frac{\varepsilon_{0} E_{0}^{2}}{c} \frac{\tau}{2(k_{a} - k_{b})} \left[\omega_{a}(\varepsilon_{r}^{a} - 1) \sqrt{\frac{\mu_{r}^{a}}{\varepsilon_{r}^{a}}} - \omega_{b}(\varepsilon_{r}^{b} - 1) \sqrt{\frac{\mu_{r}^{b}}{\varepsilon_{r}^{b}}} \right] \sqrt{\frac{\varepsilon_{r}^{a} \varepsilon_{r}^{b}}{\mu_{r}^{a} \mu_{r}^{b}}} t_{a} t_{b} \quad (A2) \end{split}$$

In the limit $\omega_a \to \omega_b \to \omega$, we obtain

$$P_{e} = \frac{\varepsilon_{0} E_{0}^{2} \tau}{2} t_{0}^{2} \frac{\varepsilon_{r}}{\mu_{r}} \frac{\frac{\mathrm{d}}{\mathrm{d}\omega} \left(\omega(\varepsilon_{r} - 1) \sqrt{\frac{\mu_{r}}{\varepsilon_{r}}}\right)}{\frac{\mathrm{d}(-\omega\sqrt{\varepsilon_{r}\mu_{r}})}{\mathrm{d}\omega}}$$
(A3)

In a similar way, we obtain

$$P_h = \int_0^\tau \int_0^{v_g t} f_h(z, t) dz dt = \frac{\varepsilon_0 E_0^2 \tau}{2} t_0^2 \frac{\frac{\mathrm{d}}{\mathrm{d}\omega} \left(\sqrt{\frac{\varepsilon_r}{\mu_r}} (\mu_r - 1)\omega\right)}{\frac{\mathrm{d}(-\sqrt{\varepsilon_r \mu_r}\omega)}{\mathrm{d}\omega}}$$
(A4)

Finally, we obtain the momentum expression (28).

A.2. The Proof of $P_{\text{vac}} = P_{\text{vac}2} + P_{\text{em}} + P_{\text{mech}}$ for the Case of Mismatched Impedance

In Appendix A.1, the expression for the momentum inside the metamaterial, Eq. (28), is decomposed into two parts,

$$P_{\text{mech}} = P_e + P_m \tag{A5}$$

where $P_e = \frac{\varepsilon_0 E_0^2}{2c} \frac{t_0^2}{\eta_r^2} \frac{\mathrm{d}[\omega(\varepsilon_r - 1)\eta_r]}{\mathrm{d}k} \tau$, $P_m = \frac{\varepsilon_0 E_0^2}{2c} t_0^2 \frac{\mathrm{d}[\omega(\mu_r - 1)/\eta_r]}{\mathrm{d}k} \tau$ with $\eta_r = \sqrt{\frac{\mu_r}{\varepsilon_r}}$ and $k = -\frac{\omega}{c} \sqrt{\varepsilon_r \mu_r}$. The two parts can be further rewritten as

$$P_{e} = \frac{\varepsilon_{0} E_{0}^{2}}{2c} \frac{t_{0}^{2}}{\eta_{r}^{2}} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \right)}{\mathrm{d}k} - \frac{\mathrm{d}(\omega \eta_{r})}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2c} \frac{t_{0}^{2}}{\eta_{r}^{2}} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \right)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \eta_{r} - \omega \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2c} \frac{t_{0}^{2}}{\eta_{r}^{2}} \left[c - v_{g} \eta_{r} - \omega \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} \right] \tau \tag{A6}$$

$$P_{m} = \frac{\varepsilon_{0} E_{0}^{2}}{2c} t_{0}^{2} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \right)}{\mathrm{d}k} - \frac{\mathrm{d} \left(\omega / \eta_{r} \right)}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2c} t_{0}^{2} \left[\frac{\mathrm{d} \left(-\omega \sqrt{\mu_{r} \varepsilon_{r}} \right)}{\mathrm{d}k} - \frac{\mathrm{d}\omega}{\mathrm{d}k} \frac{1}{\eta_{r}} + \frac{\omega}{\eta_{r}^{2}} \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} \right] \tau$$

$$= \frac{\varepsilon_{0} E_{0}^{2}}{2c} t_{0}^{2} \left[c - \frac{v_{g}}{\eta_{r}} + \frac{\omega}{\eta_{r}^{2}} \frac{\mathrm{d}\eta_{r}}{\mathrm{d}k} \right] \tau \tag{A7}$$

Consequently, we have the total mechanical momentum

$$P_{\text{mech}} = \frac{\varepsilon_0 E_0^2}{2c} t_0^2 \left[\left(1 + \frac{1}{\eta_r^2} \right) c - \frac{2v_g}{\eta_r} \right] \tau \tag{A8}$$

As we have obtained the electromagnetic momentum of one wave packet in vacuum and the metamaterial, i.e., Eqs. (24), (25) and (26), which are rewritten here as

$$P_{\text{vac}} = \varepsilon_0 E_0^2 \tau \tag{A9}$$

$$P_{\text{vac2}} = -\varepsilon_0 E_0^2 r_0^2 \tau \tag{A10}$$

$$P_{\rm em} = \varepsilon_0 E_0^2 \tau t_0^2 \frac{v_g}{\eta_r c} \tag{A11}$$

By the aid of $(1 + r_0^2)/t_0^2 = (1 + 1/\eta_r^2)/2$, we can show that

$$P_{\text{vac}} = P_{\text{vac2}} + P_{\text{em}} + P_{\text{mech}} \tag{A12}$$

This means that the momentum is conserved in this process.

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